

# Computer simulation of surface modification with ion beams

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## Cluster Field Evaporation Mechanism of RF vacuum breakdown

### Abstract

A new mechanism of RF-breakdown has been predicted by atomistic simulations of a nanoscale copper tip in a strong local RF-field consisting of tearing away a large group of tip atoms in a strong electric field that are typical for high-voltage linacs. According to these results, the energetics of evaporation makes it easier to evaporate a large group of atoms, rather than by a mechanism which goes forward consecutively, or "one-by-one", as it was previously thought. Such a group (cluster) of Cu tip material contains from 10 to 100 Cu-ions and will be evaporated within a half-period of the RF-field. Therefore, the vacuum space inside the RF-cavity would be filled by such chunks torn off from the cavity surface which is initially rough on a nanometer scale. During the next half-period of RF-field, it could strike back upon the surface, with energy of about 1kV, thus leading to the vacuum breakdown. The obtained results are compared with the experimental data on the Field-Emission Microscopy tip fracture observations. Further experimental verification of the predicted cluster evaporation effect is discussed.

## New Mechanism of RF-breakdown in Linacs

$$F_{cv} = \frac{1}{nr_0} \left( \Lambda + \sum_i^n I_i - n\phi - \frac{3.6n^2}{r_0} \right) \sqrt{V/\Lambda}$$

$\Lambda$ , eV	$\phi$ , eV	$r_0$ , Å	$I_1$ , eV	$I_2$ , eV	$I_3$ , eV	$E_{aff}$ , eV	$F^{1+}$ , GV/m
3.50	4.60	1.25	7.73	20.29	36.83	1.23	30

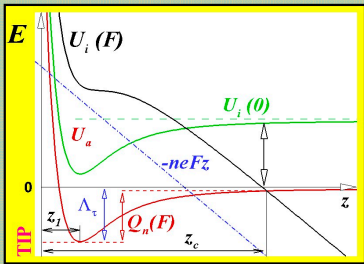


Fig. 1. Potential energy diagram for field evaporation of metal surface in vacuum. The tip's surface is placed on the left part of the diagram. The dot-dash line schematically shows the neutral atom potential energy  $U_i$ , the dash curve is the ion potential energy zero field, double dot-dash curve is the ion potential energy of the applied electric field  $U_i(F)$ ,  $-neFz$  is the energy of the charge  $+ze$  in the electric field  $F$  directed toward vacuum, and the solid curve is the potential energy of an ion in the field  $F$  [Fig].

### Temperature dependence of the RF-breakdown critical field

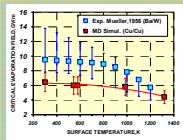


Fig. 3. Temperature dependence of critical evaporation field for removing cluster of ~200 Cu ions obtained from simulation.

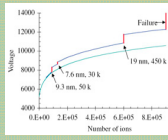
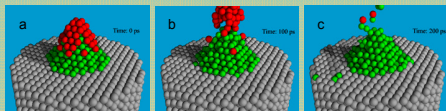


Fig. 4. Abrupt discontinuities in the voltage vs. number of ions in a field evaporation system show evidence for large clusters produced at field ion microscope tips.

### Atomistic modeling of the cluster field evaporation mechanism



$Nq$  charges were placed on the top of the tip, the total number of charges was constant. However, the values of each of them were changed periodically:  $Nq = Nm \sin(\omega t)$ ,  $\omega$  – the field frequency. This means that the charges were following the rf-field instantaneously.

Fig. 5. Time evolution is shown of the shape of a nano-scale Cu tip on the top of rf-cavity, under a periodic electric field with maximum of 10 GV/m and frequency of 1.25 GHz at 650 K. The time instants are as follows: a) initial instant; b) 100 ps; and c) 200 ps after the start of the computation

## Metal Tip Field Evaporation Model

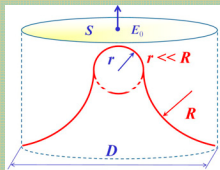


Fig. 2. Schematic of the tip on the top of rf-cavity. The curvature radius at the top  $r$  is much smaller than that of the body, i.e.  $r \ll R$ .  $E_s = E + E^*$ , where  $E$  is the electric field produced by the tip and  $E^*$  is the field generated by the rest of the cavity surface. Assuming  $E^* = E/2$ , we obtain the field  $E^*$  that acts on the tip's surface element.  $D = 10\text{Å}$ .

$$E_s = 10 \text{ GV/m}$$

$$E_s = 8.85 \times 10^{-12} \text{ F/m}$$

$$D = 55 - 125 \text{ Å}$$

$$S = \pi D^2/4 = (0.2 - 1.2) \times 10^{-16} \text{ m}^2$$

$$e = 1.6 \times 10^{-19} \text{ C}$$

Gauss's Law gives:

$$Nq = Q/e = \epsilon E_0 S/e$$

$$Nq \approx 13 - 66$$

### Field evaporated clusters are subjects to dark-current ionization

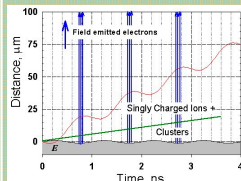
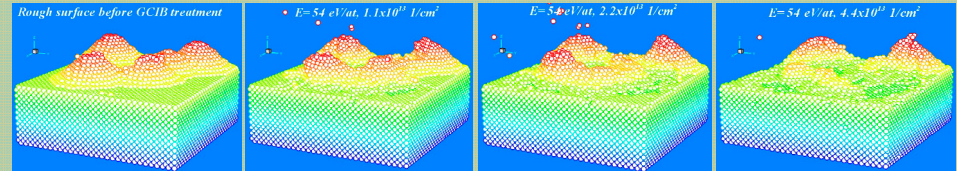


Fig. 6. Behavior of ions emitted from asperities in an electric field of 50 MV/m. The initial ion velocity comes from the local field of 10 GV/m operating over dimensions of 0.1 nm. Field emitted electron beams are produced when the electric field reverses, and these electron beams can further ionize the ions near the emitter.

## Summary

- The RF-vacuum breakdown occurs in either copper ("warm") or niobium superconducting ("cold") cavities.
- One of the most possible mechanisms at electric field gradients as high as 10 GV/m is due to electrode surface irregularities including scratches, whiskers, crater rims, cracks, grain boundaries, oxidized areas, organic adsorbed species, and dust particles.
- The cavity surface periodically have negative or positive electric potentials. During the negative half-period of the electric field, the breakdown occurs when the local field-emitted current (dark-current) density from a given site reaches  $10^{11} \text{ A/m}^2$  and causes enough heat dissipation to melt and vaporize surface material.
- Field emitted electrons could easily ionize such atomic clouds at the near-surface region and form plasma that may erode the cavity surface.
- Niobium surface modification dynamics treated by cluster ion irradiation was studied based on a Kuramoto-Sivashinsky surface dynamics equation that was further modified by adding a random crater formation mechanism. Based on the analysis of the available experimental data and existing theoretical models, a new concept of plasma formation and surface breakdown model was developed.
- Atomistic simulation model of the vacuum RF-breakdown has been developed and applied to study a picosecond-scale dynamics of the nanometer scale tip on the top of the cavity surface under applied high-voltage gradient.
- Our work showed that a new physical effect exists that consists of tearing out a small chunk (cluster of atoms) of the surface material in a high surface electric gradient and such metal clusters would fall out the near-the-surface region of the cavity.
- They could easily be ionized by the dark-current and hence hit back the cavity surface thus leading to the vacuum breakdown.
- Based on this study, a surface smoothinging method is proposed consisting of the treatment of cavity surfaces by accelerated gas (argon) cluster ion beams that is capable of reducing the surface roughness up to a theoretical limit.

## Atomistic simulation of Gas Cluster Ion Beam treatment



Evolution of a rough Cu surface built by placing 5 hills, with the average heights of 3.6 nm, on the top of a Cu (100) surface during irradiation with 54 eV/atom cluster ions with the following ion doses: a) initial, b)  $1.1 \times 10^{13}$ , c)  $2.2 \times 10^{13}$ , and d)  $4.4 \times 10^{13}$  ions/cm<sup>2</sup>.

## Continuum surface dynamics

The dynamics of a non-equilibrium surface profile could be determined from the equation:

$$\frac{dh(r,t)}{dt} = \eta \nabla^2 h(r,t) + \nu \Delta h(r,t) - k \nabla^4 h(r,t) + f_{MC}$$

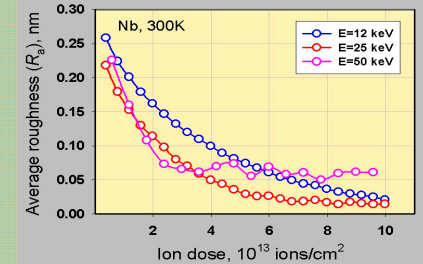
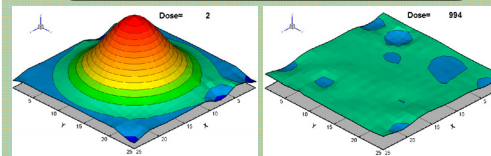
$\eta$  – viscosity term;

$\nu$  – surface tension term;

$D_s$  – surface diffusion term

$f_{MC}$  – crater formation term

$$k = \frac{D_s \gamma \Omega^2 n_0}{k_B T}$$



Dose dependence of the average Nb surface roughness for multiple Ar92 cluster ion impacts, with energies of 12, 25, and 50 eV/atom obtained by MD simulation.

## AFM images of GCIB treatment of Niobium surfaces

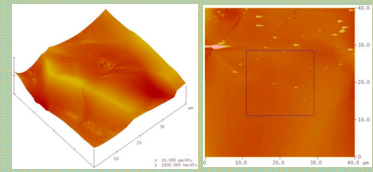


Fig. 1. Initial (unprocessed) Cornell Nb sample. (Epion MA)  
Fig. 2. Processed by GCIB (NF3 + O2) (Epion MA)

Image to the left (Fig. 1) shows an initial (unprocessed) Cornell Nb sample. Altogether 9 samples were analyzed by Atomic Force Microscope before and after the cluster ion irradiation. The sample #1 (Fig. 1) had the following statistics before the irradiation: Scan size 40.00  $\mu\text{m}$ , Scan rate – 0.2502 Hz, Number of samples 256, Date scale 1  $\mu\text{m}$ . Image z-range: 288.01 nm, Image raw mean: 113.51 nm; Image Rms = 53.176 nm; Image Ra = 45.299 nm, Image Rmax = 288.01 nm. The sample #4 (Fig. 2) (after the processing by GCIB): Z-range 200 nm; raw mean 30.194 nm; Rms = 9.125 nm; mean roughness Ra = 6.289 nm; Max. height Rmax = 206.62 nm.