Theoretical advances in SRF

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INTRODUCTION

Possible mechanisms behind the low-field, medium-field and high-field parts of Q(H) curve?



• Low - H slope:

Linear BCS + residual resistance R_i. Hypersound generation and acoustic resonances

Medium – H slope

Nonlinear BCS resistance. Heating and nonequilibrium effects

• High - H slope

Vortex penetration, grain boundaries and flux focusing. Hotspots and thermal breakdown

BCS and residual surface resistance

$$R_{s} = \frac{A \omega^{2}}{T} \exp\left(-\frac{\Delta}{k_{B}T}\right) + R_{i}$$

 $R_i \sim 1-20 n\Omega$

Constant R_i at $T \rightarrow 0$ for small H_0 Is inconsistent with the BCS theory

Mechanisms of R_i are likely unrelated to superconductivity

Field, temperature and frequency dependences of R_i are poorly understood

Effect of surface oxides (hydrides) or more fundamental mechanisms?



Figure 16. Measured temperature dependence of the surface resistance of a Nb cavity at 1.3 GHz. In this semi-log plot, the linear region gives an energy gap of $\Delta = 1.9kT_c$. The residual resistance is 3 n Ω .



Padamsee, SUST 14, R28 (2001)

Sound generation by rf field



Rf oscillating force generates a hypersound wave with the wavelength $\Lambda = s/f = 1.75 \ \mu m$ for f = 2 GHz, c_s = 3.5 km/s

Λ is much greater than the London penetration depth $\lambda = 40$ nm, but much smaller than the wall thickness d = 2-3 mm

Nearly ideal reflection ($\Re \approx 1$) due to large acoustic mismatch between Nb and He Standing sound wave unlike traveling wave does not cause rf dissipation ... ?

$$\Re = \left(\frac{s_{Nb}\rho_{Nb} - s_{He}\rho_{He}}{s_{Nb}\rho_{Nb} + s_{He}\rho_{He}}\right)^2 \approx 0.996$$

Generation of transverse sound by rf electric field

Halbritter, JAP 42, 82 (1971); Passow, PRL 28, 427 (1972); Kartheuser and Rodriguez, JAP, 47, 700 (1967); Scharnberg, JAP 48, 3462 (1977)

$$R_{i} = \frac{\mu_{0}^{2} n e^{2} \lambda^{4} \omega^{2} s^{3}}{M (s^{2} + \omega^{2} \lambda^{2})^{2}} \left(\frac{l}{l + \xi_{0}}\right)^{2},$$

$$\frac{R_{i}}{R_{BSC}} \propto \frac{p_{F}}{Ms} \frac{T}{\Delta} \exp\left(\frac{\Delta}{T}\right) \quad (clean) \qquad \qquad \frac{R_{i}}{R_{BSC}} \propto \frac{p_{F}}{Ms} \frac{T}{\Delta} \frac{l\lambda}{(l + \xi_{0})^{2}} \exp\left(\frac{\Delta}{T}\right) \quad (dirty)$$

Taking $p_F/Ms \sim 2 \times 10^{-3}$ for Nb, we get $R_i/R_{bcs} \sim 1$ at 2K in the clean limit, the ratio R_i/R_{bcs} decreasing as the rf surface layer gets dirtier.



Experiment: Kneisel et al (1971) + later works

Generation of longitudinal sound by rf magnetic pressure

$$s^{2}u'' - \ddot{u} = \frac{BH}{2\lambda\rho}e^{-2x/\lambda}\cos 2\omega t, \qquad u'(0) = 0$$

Propagating wave:

$$u = \frac{iBH\lambda s}{16\rho(s^2 + \omega^2\lambda^2)\omega} \exp\left(\frac{2i\omega}{s}x\right)$$

Rf dissipation: $Q = R_i H^2/2 = 4\omega^2 s\rho |u|^2/2$

$$R_i = \frac{B^2 s^3}{64\rho(s^2 + \omega^2 \lambda^2)^2}$$

Independent of ω and T for Λ>>λ
 Quadratic in rf field

For B = 100 mT, s = 3.5 km/s, ρ = 8.5 g/cm³, we get R_i = 0.08n Ω

Effect of sound attenuation and reflection

$$s^{2}u'' + \omega^{2}u + i\gamma\omega u = -\frac{eE_{0}}{\rho}\Theta(q,\omega)e^{-x/\lambda + i\omega t}$$

Acoustic Q factor $\omega/\gamma \sim 10^7$ at 2K provides very weak attenuation $\gamma d \ll s$

$$R_{i} = \frac{R_{i0}\sinh(\gamma d / s)}{\cosh(\gamma d / s) - \cos(2\omega d / s)}$$

Sound reflection makes \mathbf{R}_{i} negligible unless the resonance condition

 $\omega d = \pi sn$, n = 1, 2, 3 ... is satisfied:

$$n\Lambda = d$$

$$\cong \omega \frac{k_B T}{M s^2} \left(\frac{T}{\theta_D}\right)^3$$





Acoustic hotspots

Distribution function of acoustic resonance frequencies due to:

1. Smooth thickness wall variation by $\Delta d \gg \Lambda \sim 1 \mu m$ on the scale $\sim d = 2-3 mm$ 2. Spectrum of rf frequencies in coupled cavities

Averaging with the thickness distribution function F(x):



Hotsport in the regions where the local thickness d(x,y) satisfies the resonance condition nA = d

 $\Delta d >> \Lambda$

d

X



Averaged R_i is of the order of R_{i0} and depends neither on the small γ nor the shape of F(x). Effect of sound scattering and generation of Rayleigh surface waves

BCS rf dissipation

- Thermal activation of normal electrons $n_r = n_0 (\pi T/2\Delta)^{1/2} exp(-\Delta/T)$
- Accelerating electric field $E(z,t) = \mu_0 \omega \lambda H_{\omega} e^{-\lambda |z|} sin\omega t$
- Scattering mechanisms and normal state conductivity: $\sigma_n = e^2 n_0 I/p_F$, $p_F = \hbar (3\pi^2 n_0)^{1/3}$
- Surface: from specular to diffusive
- Normal skin effect (I << λ): multiple impurity scattering in the λ belt: R_s ~ ($\mu_0^2 \omega^2 \lambda^3 \sigma_n \Delta/T$)exp(- Δ/T)
- $\begin{array}{ll} & \mbox{Anomalous skin effect (} I >> \lambda): \mbox{ scattering by the gradient of the ac field E(z):} \\ & \mbox{ Effective } \sigma_{eff} \sim e^2 n_0 \lambda / p_{F;} \quad I \rightarrow \lambda \end{array}$

 ${\rm R}_{\rm s}$ is independent of bulk impurities



Low-frequency R_s for a clean (I >> λ) type II superconductor

Linear BCS surface resistance for small-amplitude rf field $H \ll H_cT/T_c$

$$R_{s} \propto \frac{\mu_{0}^{2} \omega^{2} \lambda^{4} \Delta n_{0}}{k_{B} T p_{F}} \left[\ln \left(\frac{\Delta}{\hbar \omega} \right) + C_{0} \right] \exp \left(-\frac{\Delta}{k_{B} T} \right)$$

Logarithmic term $In\omega$ comes from the BCS coherence factors

Density of thermally-activated electrons:

$$n_r = n_0 \left(\frac{\pi k_B T}{2\Delta}\right)^{1/2} \exp\left(-\frac{\Delta}{k_B T}\right)$$

The main R_s nonlinearity in strong rf fields comes from the dependence of $n_r(J)$ on J

Effect of current on thermal activation



Rocking "tilted" electron spectrum in the current-carrying state $J = J_0 cos \omega t$

$$E(p) = \pm \sqrt{\Delta^2 + (p^2 / 2m - E_F)^2} \pm \vec{p}_F \vec{v}_s(t)$$

Superfluid velocity v_s(t)

$$v_s(t) = \frac{J(t)}{n_s e}$$

• Reduction of the gap from Δ to Δ - $p_F |v_s|$ increases density of thermally-activated normal electrons $n_r(J)$, thus increasing R_s

 General theory requires solving kinetic equation for the electron distribution function taking into the account impurity and electron-phonon scattering

Simple model: density of normal electrons

If J(x) varies weakly over the coherence length ξ , then:



- Thermodynamic critical field $H_c = \phi_0/2^{3/2}\pi\lambda\xi$.
- The nonlinearity becomes more pronounced at lower T for $H > H_cT/T_c << H_c$

Simple model: nonlinear rf surface resistance



At small fields $R_s(H)$ gets a quadratic correction in H, but for $\beta_0 > 1$, the surface resistance increases exponentially with the rf field amplitude.

For T = 2K and T_c = 9.2K, the parameter β_0 varies from 0 at H = 0 to 9.7 at H = H_c

Theory of nonlinear R_s for a clean type-II superconductor, λ>>ξ, ωτ_r < 1

Solving a kinetic equation for the electron distribution function with the account of the BCS coherence factors. Superimposed dc and ac field: $H(t) = H_{dc} + H_0 cos\omega t$:

$$R_{s}(\beta) = \frac{\omega^{2}}{T} \exp\left(-\frac{\Delta}{k_{B}T}\right) \sum_{n=0}^{\infty} g_{n}(\beta) \left[A(\omega) + \frac{1}{2n+1}A\left(\frac{\omega}{2n+1}\right)\right],$$

$$g_n = \frac{(2n+1)!!}{2^{n-1}(2n)!(n+2)!(n+1)} \int_0^{\pi} \sin^2 t (\beta_{dc} + \beta_0 \cos t)^{2n} \frac{dt}{\pi},$$

$$R_{bcs} = \omega^2 \frac{A(\omega)}{T} \exp\left(-\frac{\Delta}{k_B T}\right), \qquad A \propto \frac{\Delta \mu_0^2 n_0 e^2 \lambda^4}{p_F} \ln \frac{k_B T \Delta \xi^2}{\hbar^2 \omega^2 \lambda^2}$$

The simple model gives very similar temperature, field and frequency dependencies of $R_s(T,H,\omega)$

Dependence of the nonlinear $R_s(T,H,\omega)$ on dc field unrelated to vortices

Example: low rf amplitude

Theory (to the accuracy of small logarithmic terms in ω):

$$R_{s}(H) \cong \left[1 + \frac{\pi^{2}}{384} \left(\frac{\Delta}{k_{B}T}\right)^{2} \left(4H_{dc}^{2} + H_{0}^{2}\right)\right] R_{bcs}$$

The simple model captures the correct field and temperature dependence of the nonlinear $\rm R_{\rm s}$

For Nb at T = 2K, the nonlinear contribution is essential even for $H_0 < H_c$

$$R_{s}(H_{0}, 2K) \cong \left(1 + 2\left(\frac{H_{0}}{H_{c}}\right)^{2}\right) R_{bcs}(2K)$$

The BCS nonlinearity becomes more pronounced at lower temperatures

Effect of impurities on $\Delta(J)$



In the clean limit $\Delta(J)$ is independent of J at low T (J. Bardeen, Rev. Mod. Phys. 34, 667 (1962)).

Dirty 40 nm layer near the Nb surface can decrease the nonlinearity of R_s

Kinetics of normal electrons

- Bulk of Nb cavities is usually clean enough to ensure I >> $\lambda \sim 40$ nm, but the dirtiness of the rf surface layer is unclear
- For $I >> \lambda$, the normal state resistivity is irrelevant to the rf surface resistance.
- Quasi-static rf resistance $\omega \ll \Delta$ (good approximation for SC cavities)
- Quasi-equilibrium Fermi-Dirac distribution function for normal electrons: $2\pi \tau_r f << 1$
- Recombination time due to electron-phonon collisions (Kaplan et al, PRB 14, 8454 (1976))

$$\tau_r^{-1} = \tau_0^{-1} \left(\frac{\pi T}{T_c}\right)^{1/2} \left(\frac{2\Delta}{T_c}\right)^{5/2} \exp\left(-\frac{\Delta}{T}\right)$$

TESLA single cell cavity (f = 1.3 GHz, T = 2K, τ_0^{-1} = 6.7 GHz), $\tau_r^{-1} \approx 0.03$ GHz

Nonequilibrium effects can be important for strong rf fields $H_a \sim H_c$

Analytical thermal breakdown model



$$\frac{\partial}{\partial x}\kappa(T)\frac{\partial T}{\partial x} + \frac{1}{2}H_{\omega}^{2}R_{s}(T_{m})\delta(x) = 0$$

Instead of numerically solving this ODE, one can solve much simpler equations for T_m and T_s



Kapitza thermal flux: $q = \alpha(T,T_0)(T - T_0)$

For a general case of thermal quench, see Gurevich and Mints, Reviews of Modern Physics 59, 941 (1987), & Argonne workshop, 2004. $\frac{1}{2}H_0^2 R_s(T_m) = \kappa(T_0)(T_m - T_s)/d,$ $\int_{T_0}^{T_s} \kappa(T)dT = d\alpha(T_s, T_0)(T_s - T_0)$

Maximum temperature

BCS + residual surface resistance R_i

$$R_{s} = \frac{A\omega^{2}}{T} \exp\left(-\frac{\Delta}{T}\right) + R_{i}$$

Since $T_m - T_0 \ll T_0$ even H_b , we may take κ and h at $T = T_0$, and obtain the equation for $H(T_m)$:



$$H_0^2 = \frac{2T_m(T_m - T_0)\tilde{\alpha}}{[A\omega^2 \exp(-\Delta/T_m) + T_m R_i]}, \qquad \tilde{\alpha} = \frac{\alpha}{1 + d\alpha/\kappa}$$

Breakdown rf field

Thermal runaway occurs at a rather weak overheating:

$$T_{m} - T_{0} \approx \frac{T_{0}^{2}}{\Delta} = \frac{T_{0}^{2}}{1.86 T_{c}} = 0.23 K,$$
$$H_{b}^{2} = \frac{2h \kappa T_{0}^{3}}{(\kappa + dh) R_{0} T_{c} \Delta e} \exp\left(\frac{\Delta}{T_{0}}\right)$$

For $\kappa >> d\alpha$, the breakdown field is limited by the Kapitza resistance, $\alpha(T)=kT_0^3$. Thus,

$$H_b = \left(\frac{2k}{R_0 T_c e\Delta}\right)^{1/2} T_0^3 \exp\left(\frac{\Delta}{2T_0}\right)$$

For low T, the BCS nonlinearity becomes important



is minimum at $T_0 = \Delta/6$

Q-factor (linear resistance)



Q versus H_0 for $T_0 = 2.2K$ and different $R_i/R_{BCS}(T_0) = 0$, 0.2 and 0.5 (top to bottom).

Thermal breakdown for nonlinear BCS resistance

Bi-quadratic equation for $H_0(T_m)$:

$$\left[1+C\left(\frac{T_c}{T}\right)^2\left(\frac{H_0}{H_c}\right)^2\right]H_0^2 = \frac{2\alpha\kappa T_m(T_m-T_0)}{A\omega^2(d\alpha+\kappa)}\exp\left(\frac{\Delta}{T_m}\right)$$

Breakdown field

$$H_b^2 = \frac{T^2 H_c^2}{2C\Delta^2} \left(\sqrt{1 + \frac{4C\Delta^2 H_{b0}^2}{T^2 H_c^2}} - 1 \right)$$

Q-factor (nonlinear resistance)



Q(H₀) for linear and nonlinear models for $\kappa = 20$ W/mK at T₀ = 2K and R_i = 0. (b) Same as in (a), except that the Kapitza coefficient α is doubled, from 0.5 W/cm²K to 1 W/cm²K.

The BCS nonlinearity increases the medium and high field Q slope

P.Bauer et al. - Comparison of Cavity data with TFBM using lin or lin+non-lin BCS



Is thermal breakdown uniform?



Defects can trigger thermal breakdown, Padamsee, Knobloch, Hays (1998), K. Saito, opening talk.

Different types of defects: 1. Local inhomogeneities in BCS resistance (oxide patches) 2. Normal inclusions, 3. Defects which facilitate vortex penetration (GBs, flux focusing)



Temperature map $Q_0 = 5.6 \ 10^9$ $B_p = 102 \text{ mT}$ 0.16 -0.14 -0.12 -0.1 -0.08 **∆T (K)** CEBAF Single cell cavity after 120C 48h air baking -0.06 ζ 1E+11 □ T=2K -0.04 10 -0.02 19 Azimuth **ര്** 1E+10 22 Bottom S15 25 28 Top Iris 3 34 1E+09 From Gigi Giovati, JLab (2005). 0 10 20 30 40 50 60 70 80 90 100 110 120 130

B_p (mT)

Temperature map



Effect of hotspots



Regions of radius r_0 where A(x,y) or H(x,y) is locally enhanced (impurities, GBs, thicker oxide patches, field focusing near surface defects, local vortex penetration, etc.)

$$\kappa \nabla^2 T - \widetilde{\alpha}(T)(T - T_0) + q(T, H, r) = 0$$

 $T(x,y) = T_s + \delta T(x,y)$, where T_s satisfies the uniform heat balance $\alpha(T_a)(T_a - T_0) = q_0(T_s, H)$, and $\delta T(x,y)$ is a disturbance due to defects:

$$\kappa \nabla^2 \delta T - \left(\widetilde{\alpha} - \frac{\partial q}{\partial T} \right) \delta T + \delta q = 0$$

Excess heat generation $\delta q = H^2 \delta R/2 + R \delta H^2/2$ in the region of radius r₀

Temperature distribution

$$\delta T(r) = \frac{\Gamma}{2\pi\kappa} K_0\left(\frac{r}{L}\right), \qquad r < r_0, \qquad \Gamma = \int \delta q(x, y) dx dy$$

A hotspot produces a temperature disturbance $\delta T(r)$, which spreads along the cavity wall over the distance L >> r₀ greater than the defect size

$$L = \frac{L_h}{\sqrt{1 - f(H/H_b)}}, \qquad L_h = \sqrt{\frac{d\kappa}{\tilde{\alpha}}}$$

Where $f(H/H_b) = (\partial q/\partial T)/\alpha \rightarrow 1$ at $H \rightarrow H_b$

L increases with H and diverges at the uniform breakdown field, $H = H_b$



Weak hotspots

- Dimensionless SC defect strength (both R_s and field focusing) • Ohmic defects, $\Gamma_n(H) = H^2 \Re_n/2$, or a vortex thermal switch:
- Weak hotspots: $\eta \ll 1$. For $\kappa = 20$ W/mK, $T_0 = 2K$ and $\alpha = 0.5$ W/cm²K, $L_h \approx 3$ mm. Hotspots with $r_0 < 1$ mm are weak, even for strong inhomogeneity, $\delta A \sim A$ or $\delta H^2 \sim H^2$
- Maximum hotspot temperature T_m

$$T_m = T_s(H) + \frac{\eta}{2}(T_s - T_0) \ln \frac{1.12L}{r_0}$$

For η = 0.3, L/r_0 = 10, T_0 = 2K, we obtain T_m – T_s = 0.08K

Penetration of vortices along GBs through oscillating surface barrier



E(t)

GB as a hotspot site: reduced flux penetration field

Deformation of the vortex core during flux penetration along GBs.

Transformation of the Abrikosov to the Josephson and mixed Abrikosov-Josephson (AJ) vortices

Dissipation due to vortex oscillations in RF field

AJ vortex

Averaged BCS surface resistance

Extra dissipation in a hotspot:

$$\widetilde{\alpha}\int \delta T(x, y)dxdy = \frac{\pi}{2}L^2H^2\eta_s R_s(T_s) + \Gamma_n(H)\frac{L^2}{L_h^2}$$

$$L \cong \frac{L_h}{\sqrt{1 - \left(\frac{H}{H_{b0}}\right)^2}}$$

Global surface resistance with the account of non-overlapping hotspots:

$$\widetilde{R}_{s}(T,H) = R_{s}(T,H) \left[1 + \frac{g}{1 - (H_{0}/H_{b0})^{2}} \right] + \frac{R_{n}(H_{0})}{1 - (H_{0}/H_{b0})^{2}}, \qquad g = <\eta > \frac{\pi L_{h}^{2}}{\ell_{s}^{2}}$$

R_s(H) is the uniform surface resistance, ℓ_s is the mean spacing between hotspots, H_{b0} is the uniform breakdown field, R_n = $2 < \Gamma_n > /H^2 \ell_n^2$

Nonlinear contribution to the global R_s due to expansion of hotspots with H.

Example: linear BCS+hotspots (R_i = 0)

Thermal balance equation for the mean temperature T(H)

$$\frac{R_{s}(T,H)}{2}H^{2} = \tilde{\alpha}(T-T_{0})$$
Explicit dependence H₀(T):

$$\frac{H_{0}^{2}}{H_{b0}^{2}} = \frac{1}{2}(1+g+u(\theta)) - \sqrt{\frac{1}{4}(1+g+u(\theta))^{2} - f(\theta)}$$
f = $\theta \exp(1-\theta)$, u = (g_n + e θ)exp(- θ),
 $\theta = (T - T_{0})\Delta/k_{B}T_{0}^{2}$, g_n = $R_{n}/R_{s}(T_{0})$

Maximum in $H_0(T)$ at the breakdown field H_b above which stable thermal balance is impossible

Q(H) for the linear BCS+hotspots ($\Gamma_n = 0$)



Hotspots reduce the breakdown field:

Hotspots increase the high-field Q slope:

$$H_{b} \cong \left(1 - \frac{\sqrt{g}}{2}\right) H_{b0},$$
$$\frac{Q_{0}}{Q_{b}} = \frac{(1 + \sqrt{g})e}{1 + g} > e$$



• Ultimate cavity performance (in the absence of vortex penetration) is limited by nonlinear BCS pairbreaking and heating effects.

- Acoustic resonances and mechanisms of the residual resistance
- Hotspots limit the high-field cavity performance:
- New mechanism of nonlinearity, which can offset the BCS nonlinearity,
- Reduce the breakdown field
- Increase the high-field Q slope
- Mechanisms of hotspot formation
- Acoustic hotspots
- Vortex penetration along GBs
- Nonuniform surface oxide layers

Challenges

- Understanding nonequilibrium superconductivity and impurity surface scattering on nonlinear BCS resistance and rf breakdown
- Dynamics of vortex penetration and dissipation in rf field