

Searching for baryon number violation in the BaBar dataset and elsewhere

LEPP Journal Club

Cornell University

M. Bellis

Department of Physics and Astronomy
Siena College

Aug. 24th, 2012



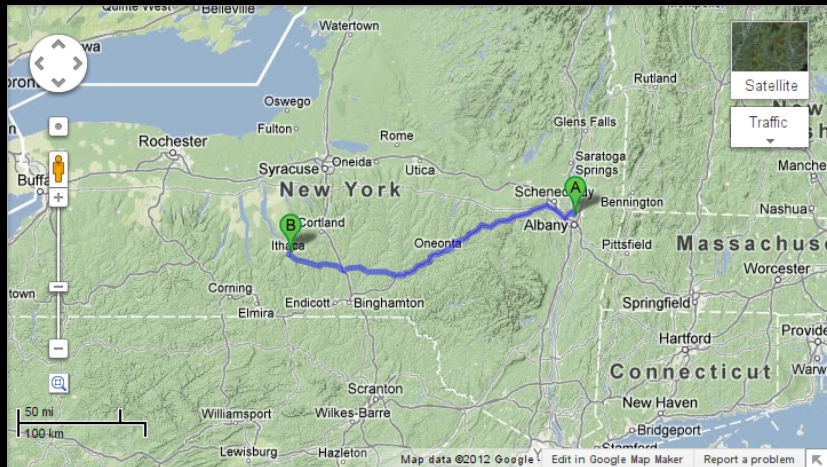
Siena College



Katherine Ollier / Flickr



<http://www.techvalleyconnect.com>



<http://maps.google.com>

Jim was my thesis advisor.

LEPP JOURNAL CLUB

Jim Napolitano

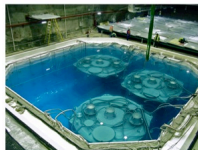
Rensselaer Polytechnic Institute



Discovery of Reactor Antineutrino Disappearance at Daya Bay

Over the past decade, terrestrial experiments have proven that neutrino oscillations explain the solar neutrino problem and the atmospheric neutrino anomaly. These phenomena rely on neutrino mixing between the first and second, and second and third, neutrino generations, respectively. However, other experiments put limits on mixing between the first and third generations, and suggested a rather small mixing angle θ_{13} . Knowledge of this mixing angle is critical if we are ever to use leptogenesis to explain the baryon asymmetry of the Universe.

The Daya Bay Reactor Neutrino Experiment has recently made a conclusive measurement of θ_{13} , and the value turns out to be larger than most anyone expected. We will discuss some of the recent experimental history concerning this mixing angle, and the Daya Bay experiment in detail. We will conclude with potential consequences for the next generation of neutrino experiments, in the US and abroad.



Friday

April 20, 4:00pm

301 Physical Sciences Building
(Refreshments, 3:45pm)

- 1 Motivation
- 2 BaBar
- 3 Blind analyses
- 4 Our search
- 5 Other places to look
- 6 Summary

Motivation

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- Our universe is **matter**...not **anti-matter**.



NASA/ESA [JMA]

Motivation

- Our universe is **matter**...not **anti-matter**.
- $t =$ early universe:
 - matter = anti-matter



NASA/ESA [JMA]

Motivation

- Our universe is **matter**...not **anti-matter**.
- $t =$ early universe:
 - $\text{matter} \approx \text{anti-matter}$
- $t =$ now:
 - $\text{matter} \neq \text{anti-matter}$
- How do we know?
 - Cosmic ray's are mostly matter.
 - γ -ray spectrum.
- Universe is compartmentalized?
 - *Very difficult theoretically.*



NASA/ESA [JMA]

Sakharov conditions

- Andrei Sakharov (1967)
- "*Violation of CP Invariance, c Asymmetry, and Baryon Asymmetry of the Universe.*"
- *Three conditions* required for matter (baryon) asymmetry. [Sak67]

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 - ① Early universe is out of thermal equilibrium.
 - Rate for $\psi_{B=0} \rightarrow \psi_{B \neq 0}$ is different than for $\psi_{B \neq 0} \rightarrow \psi_{B=0}$.
 - **The universe is cooling!**

Sakharov conditions

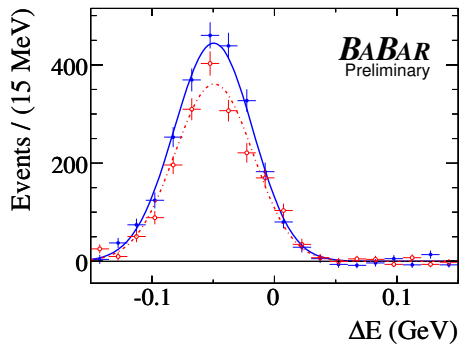
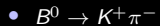
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 - Decay rates are different for *matter* and *anti-matter*.

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 - **The universe is cooling!**
 - 2 C and CP-violation
 - Decay rates are different for *matter* and *anti-matter*.
 - 3 Baryon number violation
 - Implies sum of baryons + anti-baryons is a *non-conserved quantity*.
- Let's look at these last two...

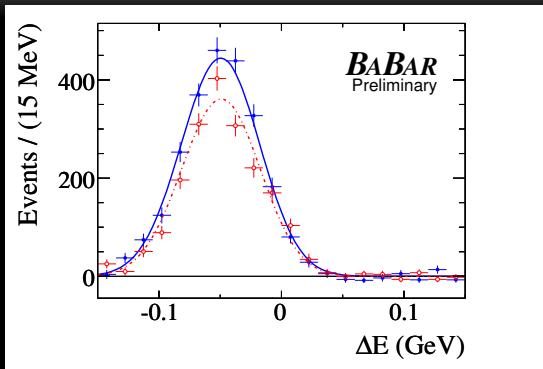
Direct CP-violation

- Direct CP-violation



Direct CP-violation

- Direct CP-violation
 - $B^0 \rightarrow K^+ \pi^-$ 
 - $\bar{B}^0 \rightarrow K^- \pi^+$ 
- *Decay rates are different!*
- $A_{CP} \approx -0.1$
- Sakharov condition # 2!



Baryon number violation

- Baryon number violation actually *does* exist in the Standard Model.
 - Sphaleron, a non-perturbative process.
 - Occurs at very high temperatures. $T = 100\text{GeV}$. (10^{15}K)
 - Only found immediately after the big bang.
- Sakharov condition # 3!

Motivation

- Does this predict our asymmetric universe?
 - B for *baryon*
 - $B + \bar{B}$ annihilations in the early universe produced photons.
 - Asymmetry parameter (η).

$$\eta = \frac{N_B - N_{\bar{B}}}{N_\gamma}$$
$$\approx 10^{-9}$$

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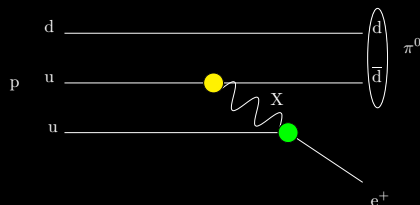
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- Combination of observed CP -violation and theoretical BNV in Standard Model is insufficient by 10 orders of magnitude!!!
- Additional CP violation? *Much work out there...no smoking gun.*
- Additional BNV?
- 1974, "*Unity of All Elementary Particle Forces.*", Georgi and Glashow
 - Proton decay mediated by heavy bosons (X & Y) which couple to *quarks* and *leptons*.
- Many Grand Unified Theories \Rightarrow BNV
- How would proton decay work?

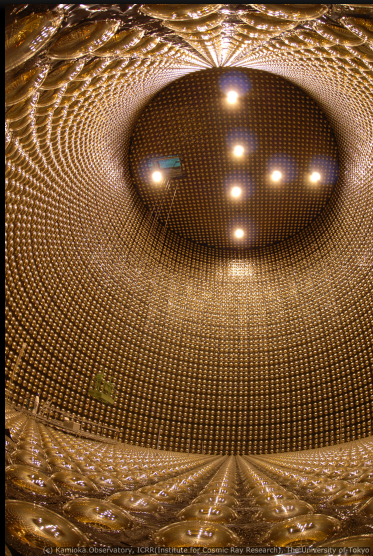
New interaction with new *gauge bosons*.

- X has charge $q = +\frac{1}{3}$
 - $X \rightarrow q + q$
 - $X \rightarrow q + l$
- **B-L is the conserved quantity**



Leptoquark limits and predictions

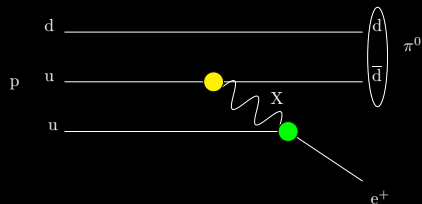
Experimental work
Super-Kamiokande
Proton lifetime $> 10^{29}$ years!



Kamioka Observatory, ICRR (Institute for Cosmic Ray Research), The University of Tokyo[KO]

Proton decay

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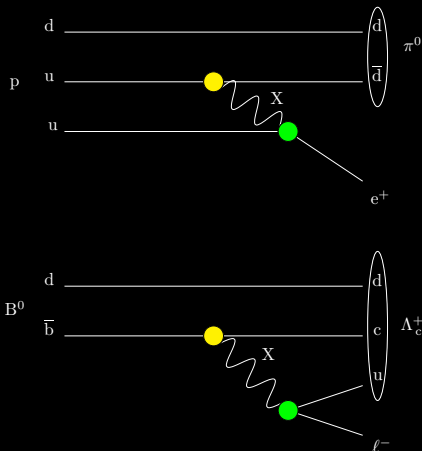
Proton decay

- X is $q = \frac{1}{3}$
 - $X \rightarrow q + q$
 - $X \rightarrow q + l$
- **B-L is conserved quantity.**
- Hypothesize a flavour/generation dependence to this interaction...

$$\begin{pmatrix} X_{ud}^- & X_{cd}^- & X_{td}^- \\ X_{us}^- & X_{cs}^- & X_{ts}^- \\ X_{ub}^- & X_{cb}^- & X_{tb}^- \end{pmatrix}$$

$$\begin{pmatrix} X_{ue}^- & X_{ce}^- & X_{te}^- \\ X_{u\mu}^- & X_{c\mu}^- & X_{t\mu}^- \\ X_{u\tau}^- & X_{c\tau}^- & X_{t\tau}^- \end{pmatrix}$$

- $B^0 \rightarrow \Lambda_c^+ \ell^-$
 - $B^+ \rightarrow \Lambda^0 \ell^+$
- $\ell = \mu$ or e



Experimental and theoretical constraints

Experimental work

- Tevatron, HERA, LHC searches for leptoquarks.
 $M_{LQ} > 300 - 1000 \text{ GeV}/c^2$

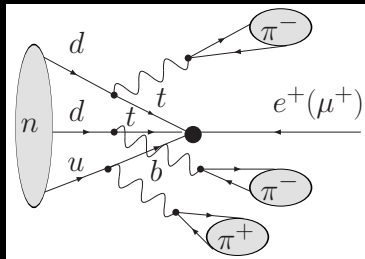
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Theoretical work

- “Baryon number violation involving higher generations.”, Hou, et.al.[HNS05]
 - Uses proton decay to constrain upper limits.
 - $\mathcal{B}(B^0 \rightarrow \Lambda_c^+ \ell^-) < 4 \times 10^{-29}$
 - “Despite our findings, we believe it is still worth to look for BNV processes in τ , charm, B , and maybe in the future in top decays.”



BaBar and SLAC

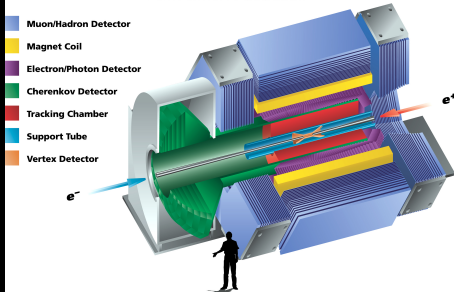
SLAC and BaBar



SLAC and BaBar

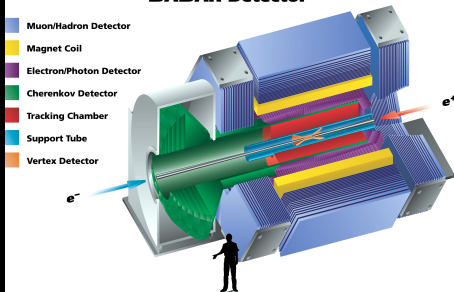


BABAR Detector



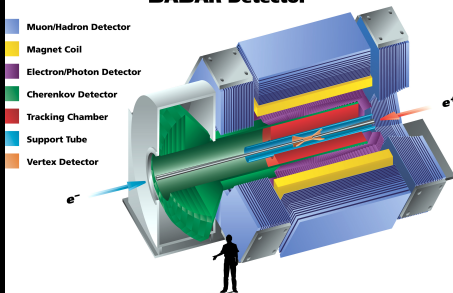
- BaBar at SLAC
- 1999-2008

BABAR Detector



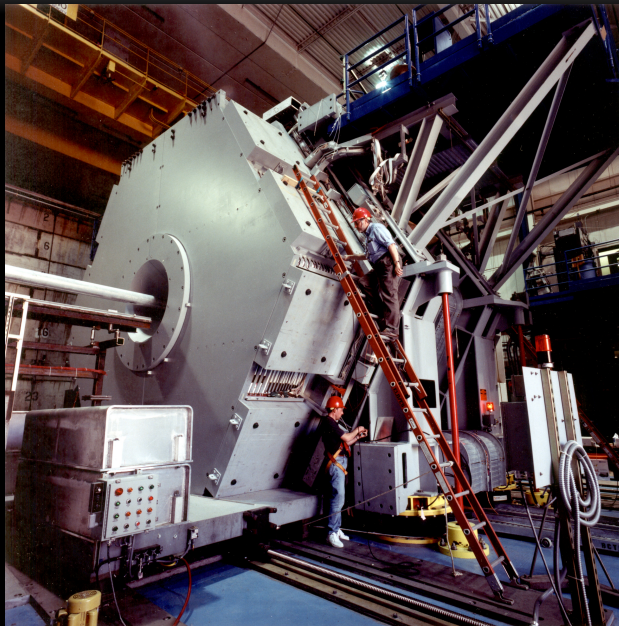
- BaBar at SLAC
- 1999-2008
- PEP-II asymmetric e^+e^- collider
 - Ran on $\Upsilon(4S)$
 - Instantaneous $\mathcal{L} = 10^{-34} \text{ cm}^{-2} \text{ s}^{-1}$
- 1 billion B mesons

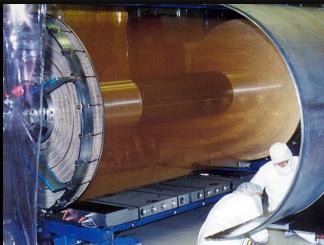
BABAR Detector



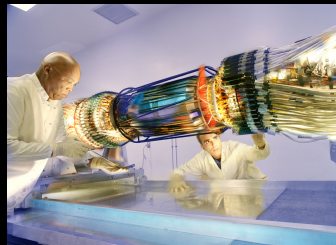
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- 1 billion B mesons
- *Backgrounds (or other physics!)*
 - $e^+e^- \rightarrow u\bar{u}/d\bar{d}/s\bar{s}/c\bar{c}$
 - $e^+e^- \rightarrow e^+e^-/\mu^+\mu^-/\tau^+\tau^-$
- 400+ papers and counting.
- Excellent momentum and spatial resolution.

BaBar at SLAC

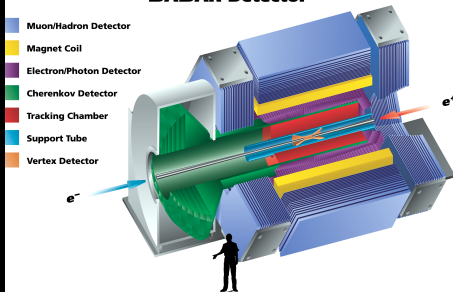




Layers of detectors

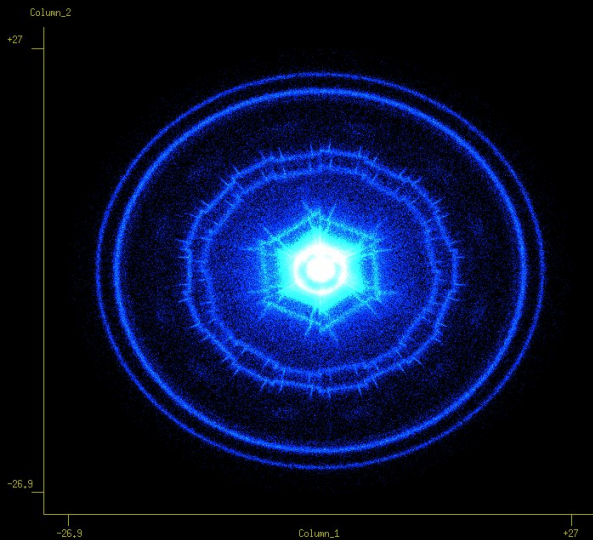


BABAR Detector



- Sophisticated particle ID.
- Inner silicon vertex detector.
 - Energy, spatial position (momentum)
- Drift chambers.
 - Energy, momentum
- Cerenkov detector (DIRC)
 - Velocity (π/K ID)
- Muon detection in outer region
 - Timing, spatial position
- All fed into a **neural net** algorithm.
- Gives analysts **6 levels**.
 - For each particle type (e, μ, p, π, K)
 - Vary purity/efficiency.
 - Function of kinematics.

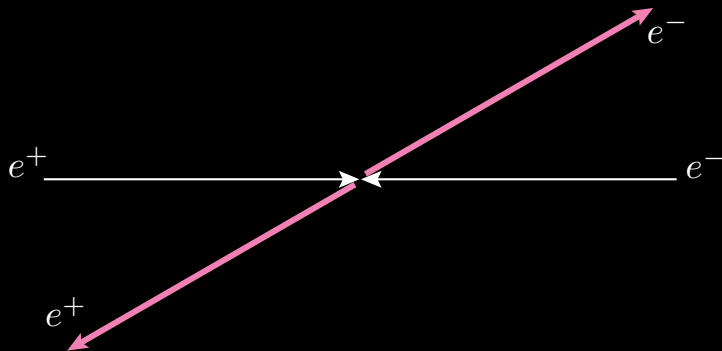
Pair production



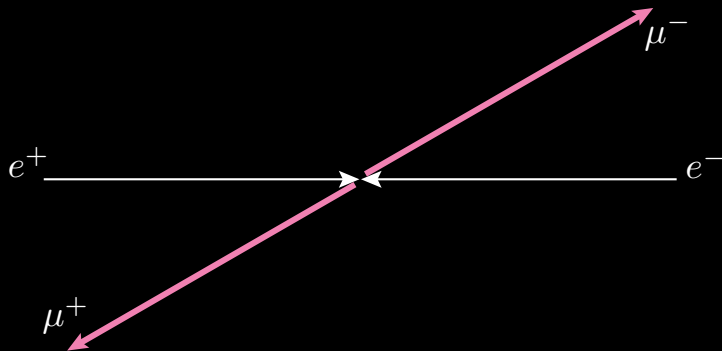
b quark production at an e^+e^- collider



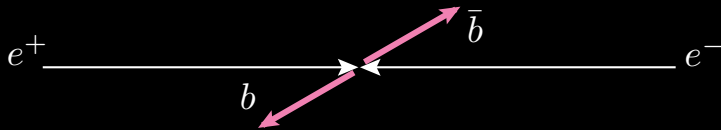
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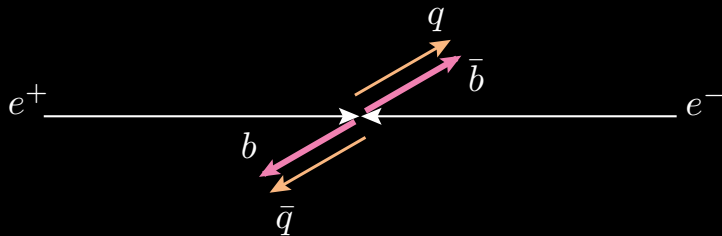
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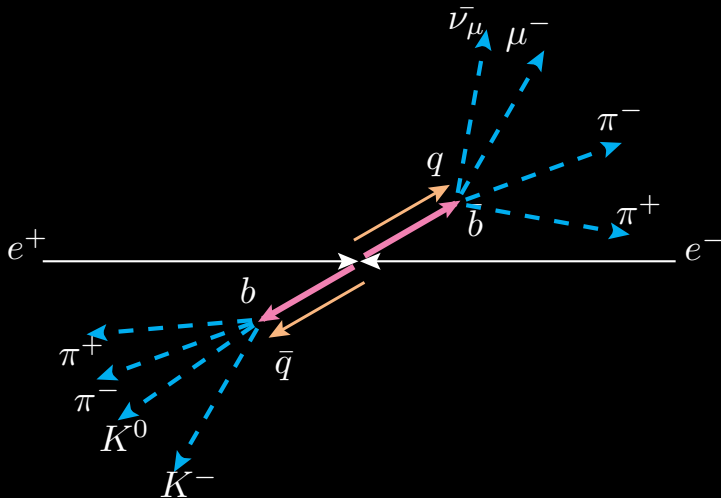
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b quark production at an e^+e^- collider



$$B^0 \rightarrow \Lambda_c l^-$$

$$B^- \rightarrow \Lambda l^-$$

$$B^- \rightarrow \bar{\Lambda} l^-$$

Our search

$$l = \mu \text{ or } e$$

$$B^0 \rightarrow \Lambda_c^+ l^-$$

\rightarrow

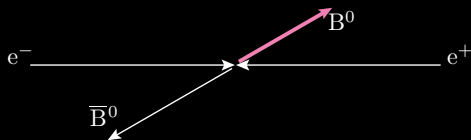


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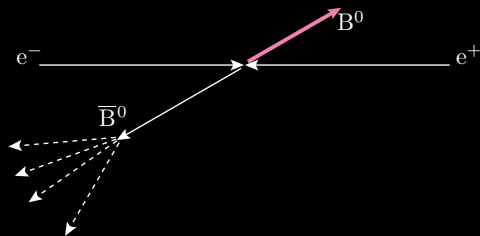


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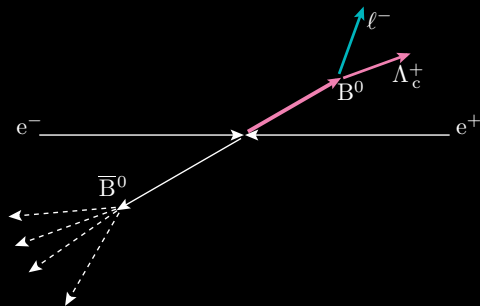


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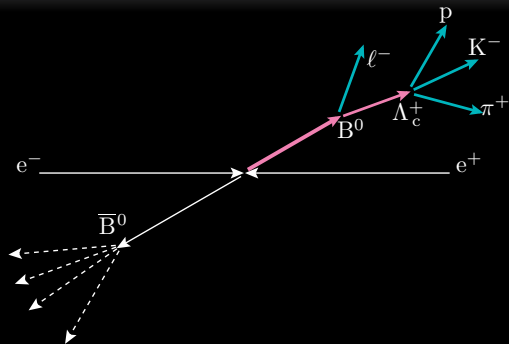


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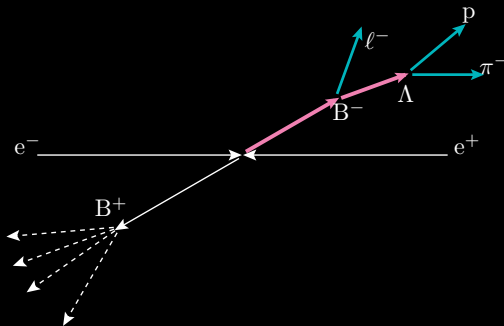
$$\Lambda_c^+ \rightarrow p K^- \pi^+$$

$$B^- \rightarrow \Lambda^0 l^-$$

$$\Lambda^0 \rightarrow p \pi^-$$

$$B^- \rightarrow \bar{\Lambda}^0 l^-$$

$$\bar{\Lambda}^0 \rightarrow \bar{p} \pi^-$$

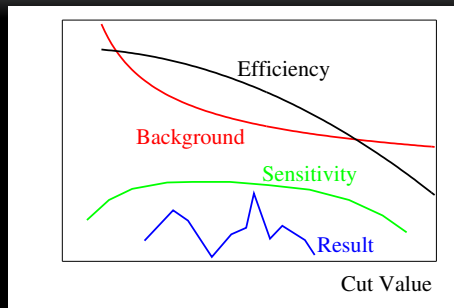


- Experimental requirements:
 - Cleanly identify **3-4** charged particles.
 - Demonstrate they came from a *B* meson.

Blind analyses

Blind searches

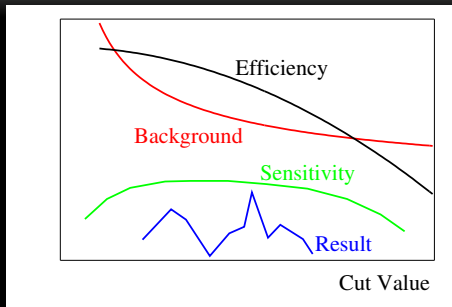
Blind searches (Roodman, [Roo03])



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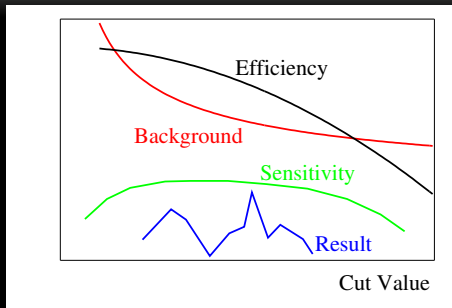
- *Experimenter's bias.*



Blind searches

Blind searches (Roodman, [Roo03])

- *Experimenter's bias.*
- Medical field: double blind trials.
- Electron e/m , Dunnington (1933)



Blind searches

Blind searches (Roodman, [Roo03])

- *Experimenter's bias.*
- Medical field: double blind trials.
- Electron e/m , Dunnington (1933)
- *History of measurements?*
- How do you guard against this?
- Don't look!

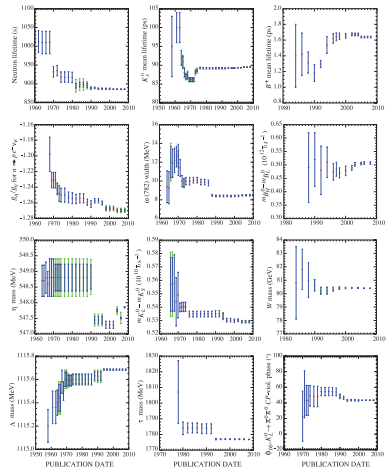


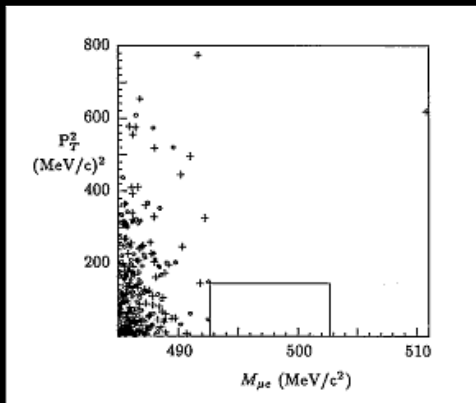
Figure 2: A historical perspective of values of a few particle properties tabulated in this Review as a function of date of publication of the Review. A full error bar indicates the quoted error; a thick-lined portion indicates the same but without the "scale factor."

Hidden signal box.

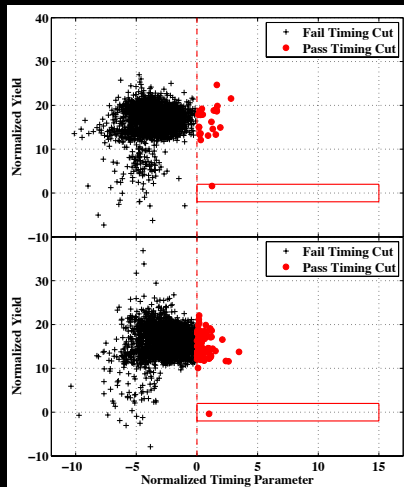
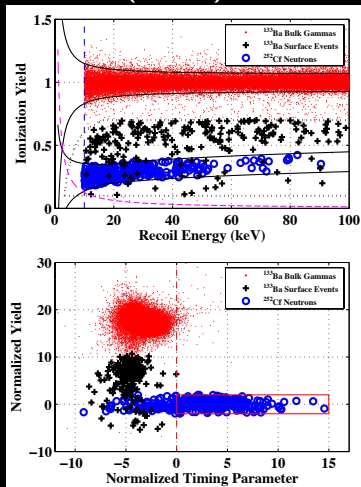
- $K_L^0 \rightarrow \mu^\pm e^\mp$
- Ariska, **PRL 70**, 1993
- FNAL, E791

Kinematics dictates region of interest.

Other approaches: hidden answer, random noise.

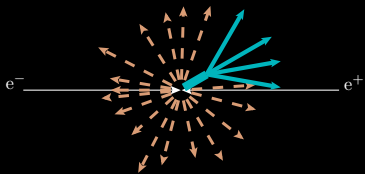


CDMS (2009), dark matter search

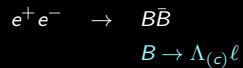


Our search

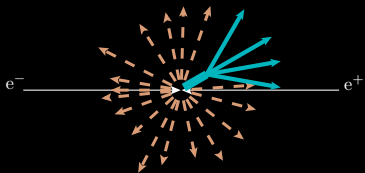
Signal and backgrounds



Signal



Signal and backgrounds

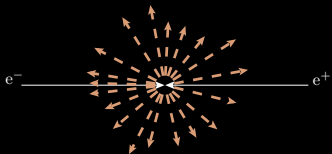


Signal

$$e^+e^- \rightarrow B\bar{B}$$

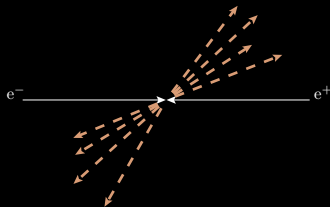
$$B \rightarrow \Lambda_{(c)}\ell$$

Backgrounds



$$e^+e^- \rightarrow B\bar{B}$$

$B \rightarrow$ Standard Model decays

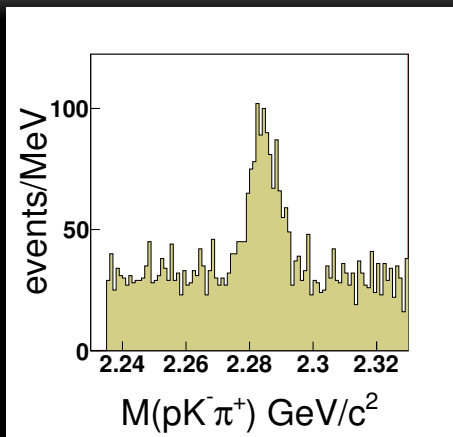


$$e^+e^- \rightarrow q\bar{q} \quad (q = c, s, u, d)$$

$$e^+e^- \rightarrow l^+l^- \quad (l = \tau, \mu, e)$$

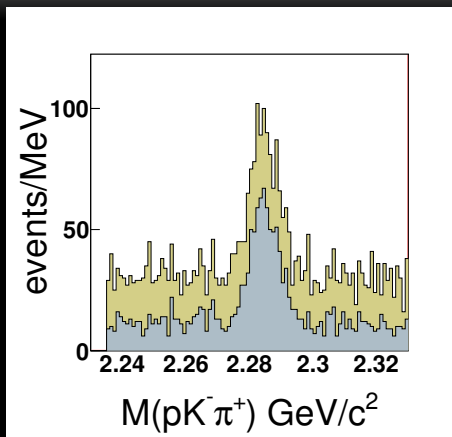
Particle Identification (PID)

- Optimize PID for kinematics.
- e.g. $\Lambda_c^+ \rightarrow pK^-\pi^+$
- Loose PID



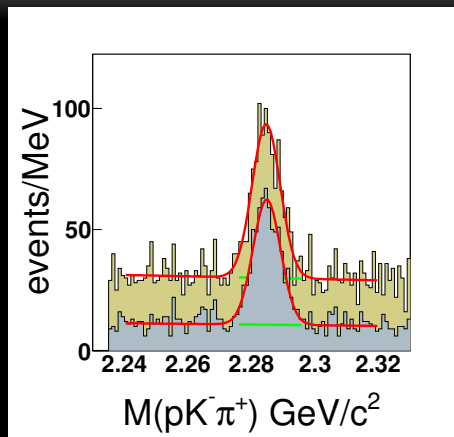
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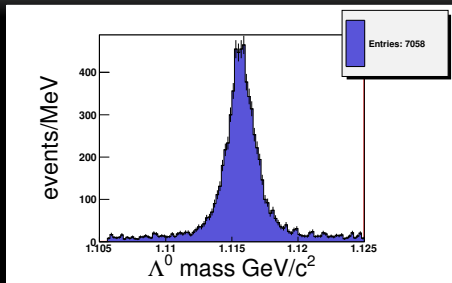
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- Some set of PID criteria.
- Use Λ_c^+ *efficiency* and *background rejection* to optimize selection.
- Λ : $c\tau = 7.89$ cm
- Select **transverse flight length > 0.2 cm** and loose PID criteria.



Multivariate discriminator

TMVA <http://tmva.sourceforge.net/>

- Six variables: $B \cos(\theta)$ CM and 5 shape variables
- Neural network algorithm was used.

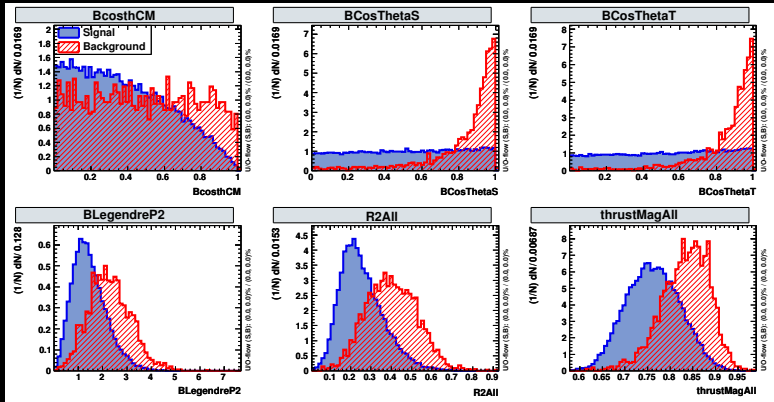


Figure: $\Lambda_c \mu^-$ Comparison between signal MC and $q\bar{q}$ MC.

Optimization of candidate selection.

- Punzi figure of merit. (*PHYSTAT 2003*) [?]
 - Strike a balance between *setting upper limit for null results* and *observation of a small signal*.

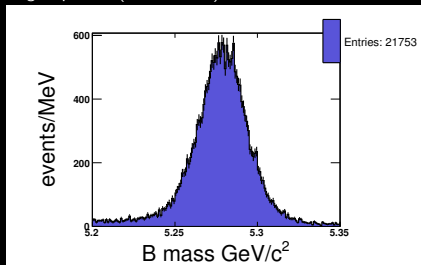
$$\text{f.o.m.} = \frac{\epsilon_S}{\sqrt{B + a/2}}$$

- a is significance (# of σ).
 - For this analysis, $a = 5$.
- ϵ_S is the efficiency of the signal.
- B is the number of background candidates.

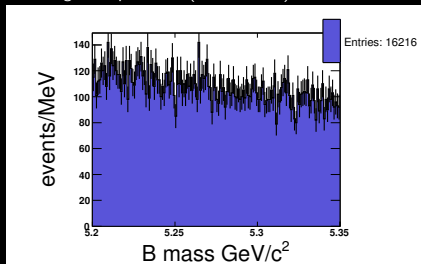
Discriminating variables

- B mass
- **Beam energy** resolution is better than B energy.

Signal process (Monte Carlo)



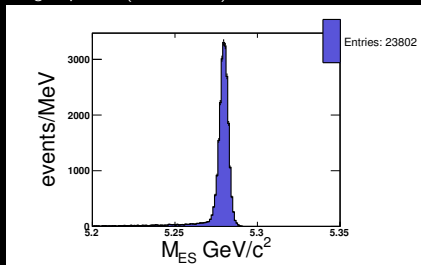
All background processes (Monte Carlo)



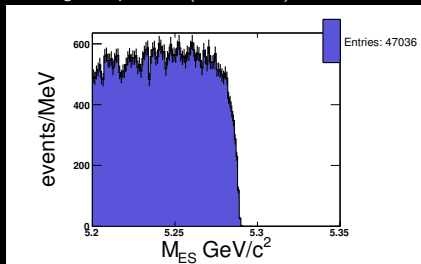
Discriminating variables

- B mass
- Beam energy resolution is better than B energy.
- $m_{ES} = \sqrt{\frac{1}{4}s - (p_B^*)^2}$

Signal process (Monte Carlo)



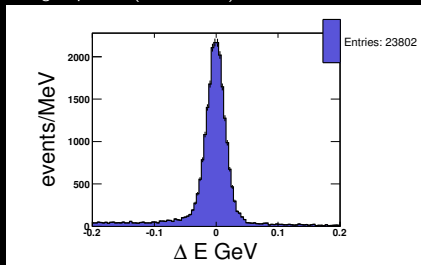
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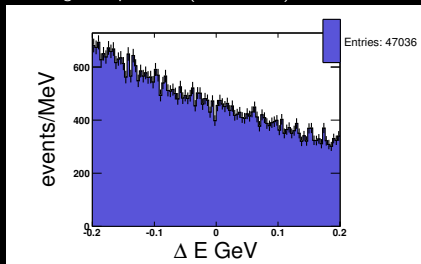
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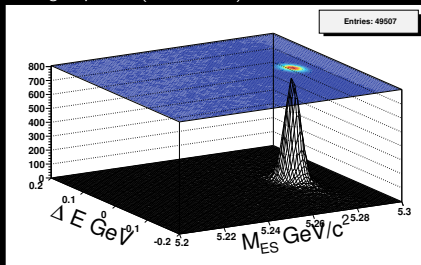
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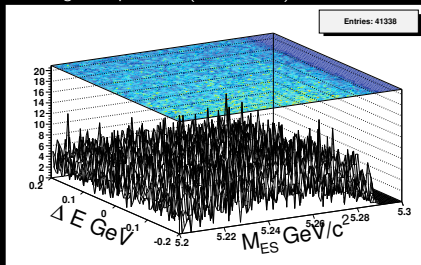
Discriminating variables

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- $\Delta E = E_B^* - \frac{1}{2}\sqrt{s}$
- **Discriminating power in 2D plane.**
- **Signal region is blinded during candidate selection optimization!**

Signal process (Monte Carlo)



All background processes (Monte Carlo)

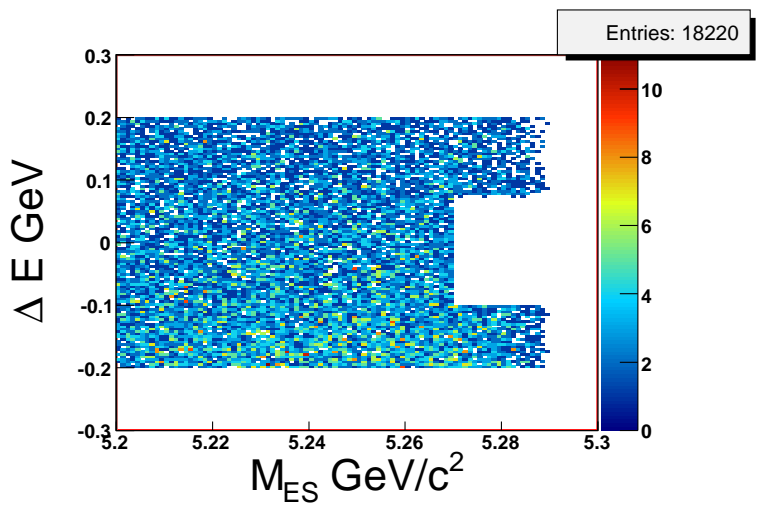


Fitting procedure

- Optimized **PID selection** and **neural net selection** with *blinded data* and *MC samples*.
- Determine *probability density functions (PDFs)* used to describe signal and background.
- Fit using *unbinned extended likelihood method*
- Define criteria for quoting upper limits and significance of signal.
- Many ($\sim 100k$) Monte Carlo studies to determine **sensitivity** and **possible bias**.

Figure: Fit to simulated data

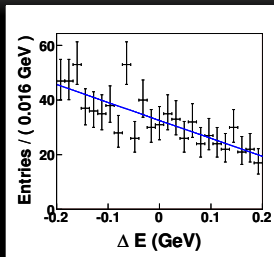
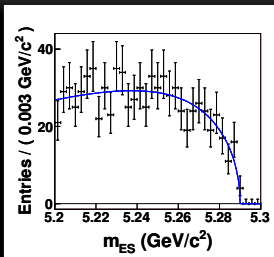
Our blind data



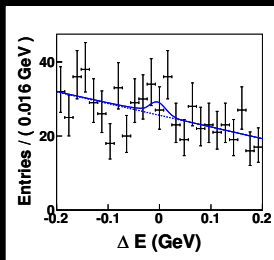
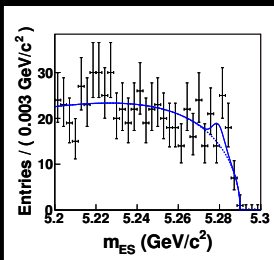
Results!

Results

$$B \rightarrow \Lambda_c^+ \mu^-$$

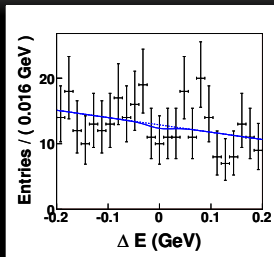
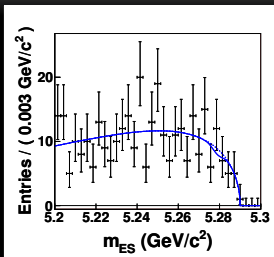


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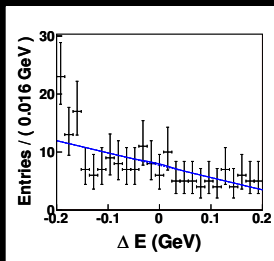
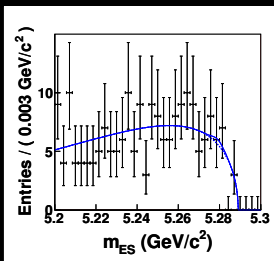


Results

$$B \rightarrow \Lambda^0 \mu^-$$

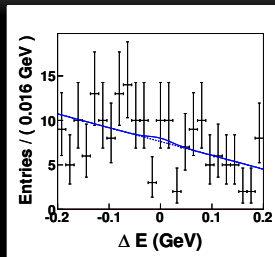
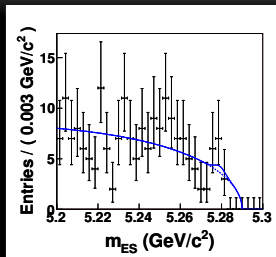


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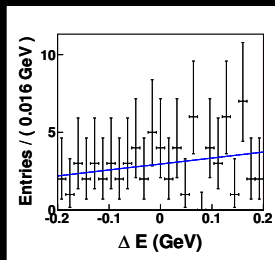
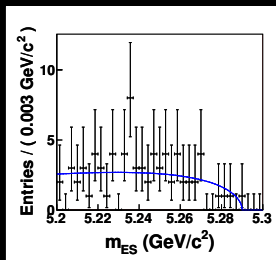


Results

$$B \rightarrow \bar{\Lambda}^0 \mu^-$$



$$B \rightarrow \bar{\Lambda}^0 e^-$$



No significant signal is observed

Decay mode	Upper limit (90% CL)
$B^0 \rightarrow \Lambda_c^+ \mu$	180×10^{-8}
$B^0 \rightarrow \Lambda_c^+ e$	520×10^{-8}
$B^- \rightarrow \Lambda^0 \mu$	6.2×10^{-8}
$B^- \rightarrow \Lambda^0 e$	8.1×10^{-8}
$B^- \rightarrow \Lambda^0 \mu$	6.1×10^{-8}
$B^- \rightarrow \bar{\Lambda}^0 e$	3.2×10^{-8}

Most significant branching fraction:

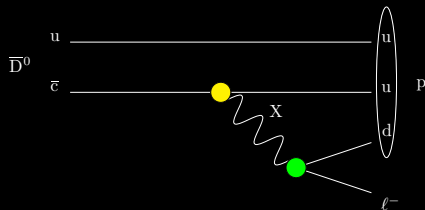
$$\mathcal{B}(B^0 \rightarrow \Lambda_c^+ e^-) = (190_{-90}^{+130}) \times 10^{-8} \text{ at } 2.4\sigma$$

First *experimental* upper limits on these processes. [dAS⁺11]

Other places to look

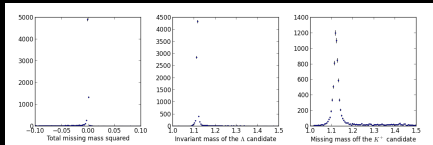
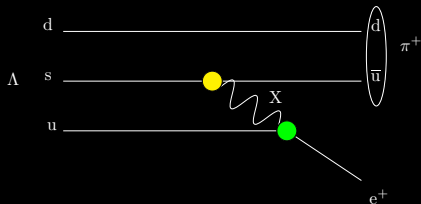
Rubin, et al. (CLEO Collaboration, 2009)

"Search for $D^0 \rightarrow \bar{p}e^+$ and $D^0 \rightarrow pe^-$ " [R⁺09]

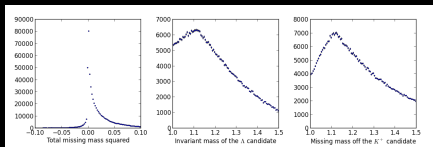


Λ decays (Jefferson Lab)

Current analysis...

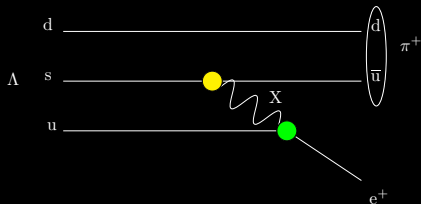


- Jefferson Lab (CLAS)
- $\gamma p \rightarrow K^+ \Lambda$
 $\Lambda \rightarrow \text{meson} + \text{lepton}$
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Blind analysis!
- Parallel analysis: ROOT and python
<https://github.com/mattbellis/lichen>

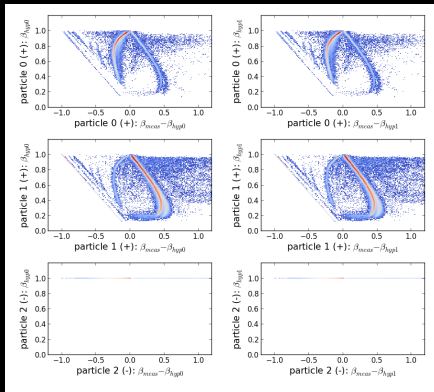


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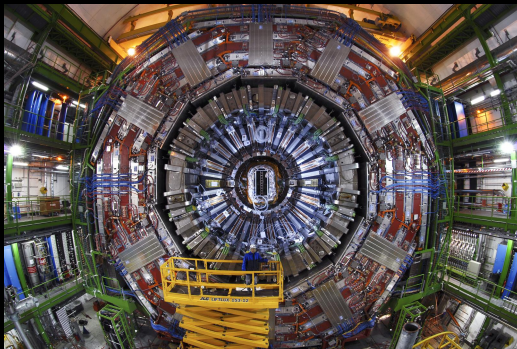
t -quark decays (LHC)

Davidson and Verdier, (2011)

"Leptoquarks decaying to a top quark and a charged lepton at hadron colliders" [DV11]

Dong, et al. (2011)

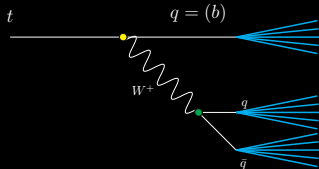
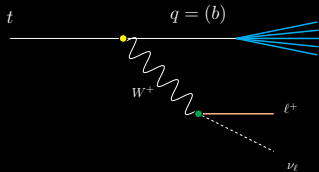
"Baryon number violation at the LHC: the top option" [DDG⁺12]



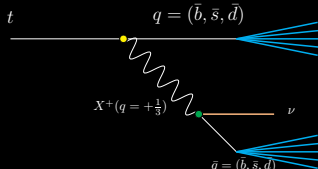
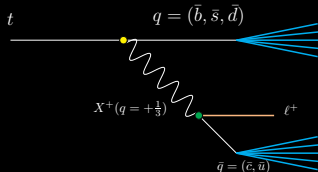
Decays of top quark to leptoquarks?

t -quark decays (LHC)

Standard Model decays



Baryon-/Lepton-number violating decays



Summary

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Something is missing in our picture.
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Thanks for your time!

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Backup slides