

# Imaging at the Nanoscale - Electron and X-Ray Beams

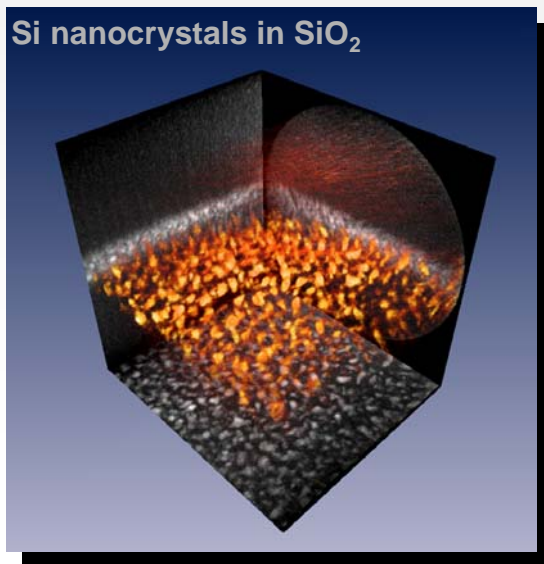


David Muller

*Judy Cha, Peter Ercius, Lena Fitting, Jerome Hyun, Aycan Yurtsever,  
Applied and Engineering Physics, Cornell University*

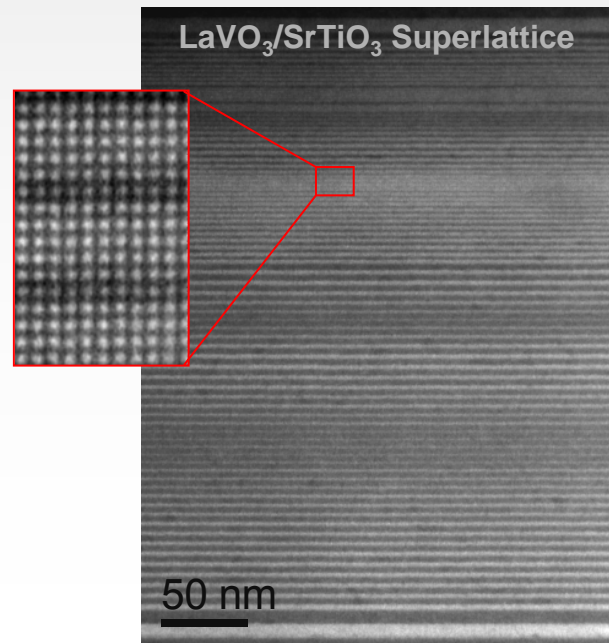
## Nanoparticles

Si nanocrystals in  $\text{SiO}_2$



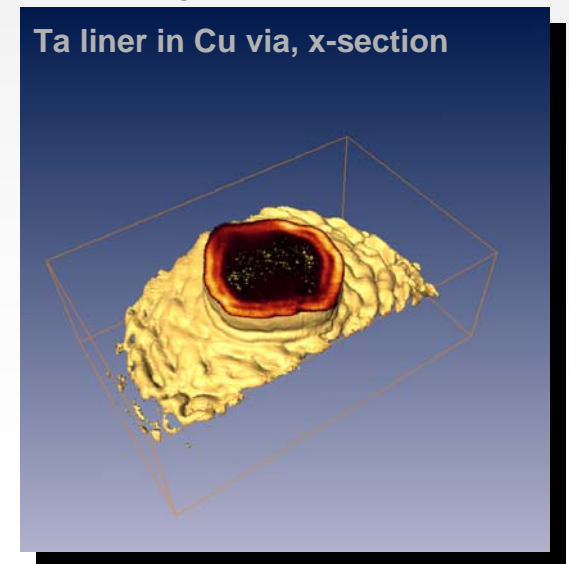
## New Materials

$\text{LaVO}_3/\text{SrTiO}_3$  Superlattice



## Integrated Circuits

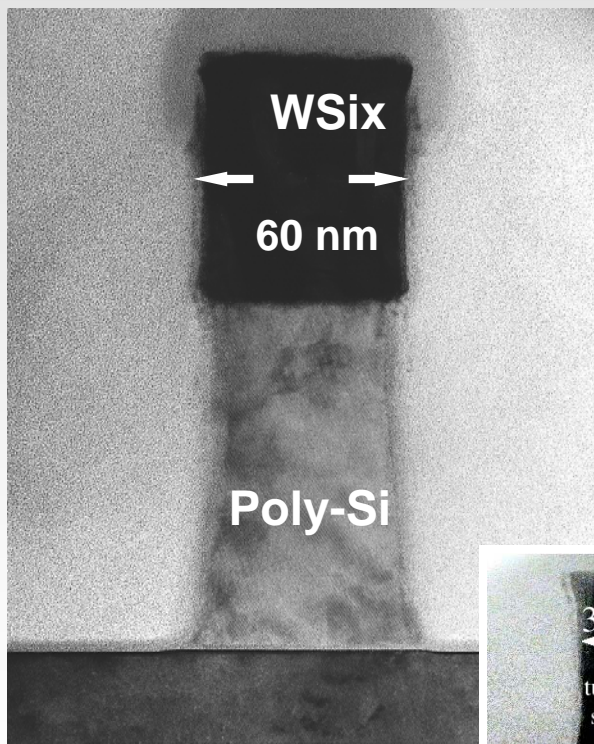
Ta liner in Cu via, x-section



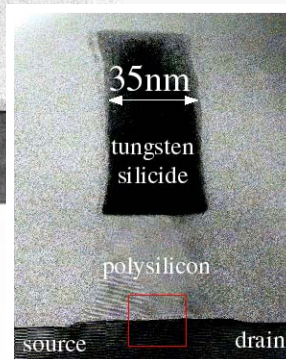
# Similar challenges (and tools) for semiconductor and life sciences



Commercial CMOS

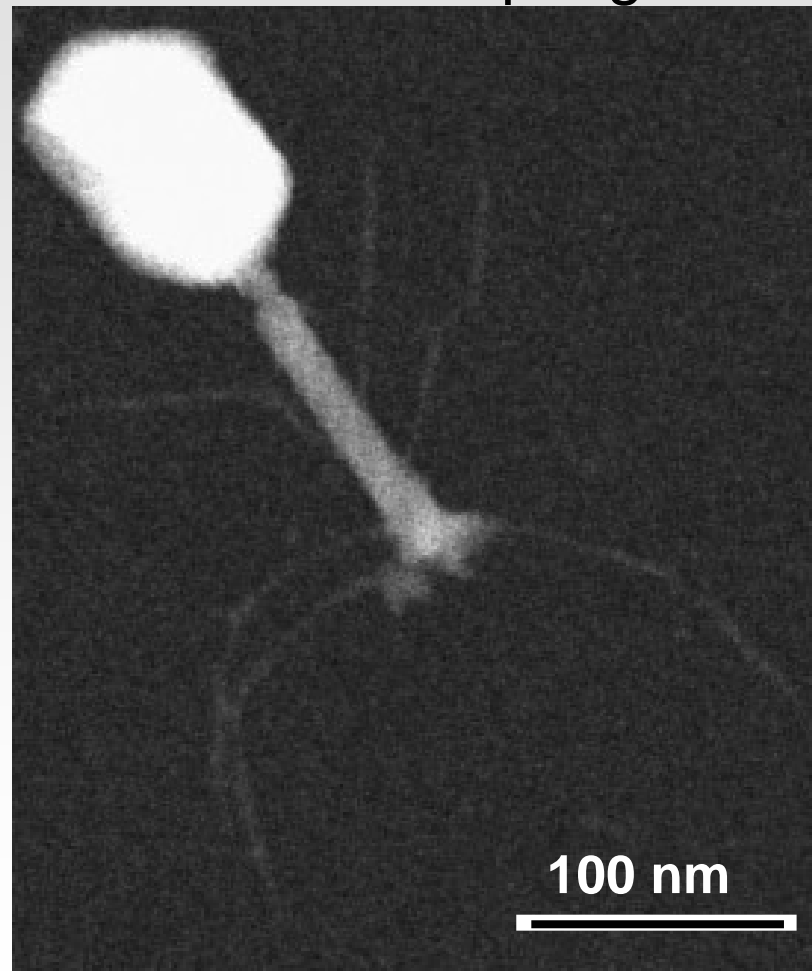


2003



2007

T2 Bacteriophage



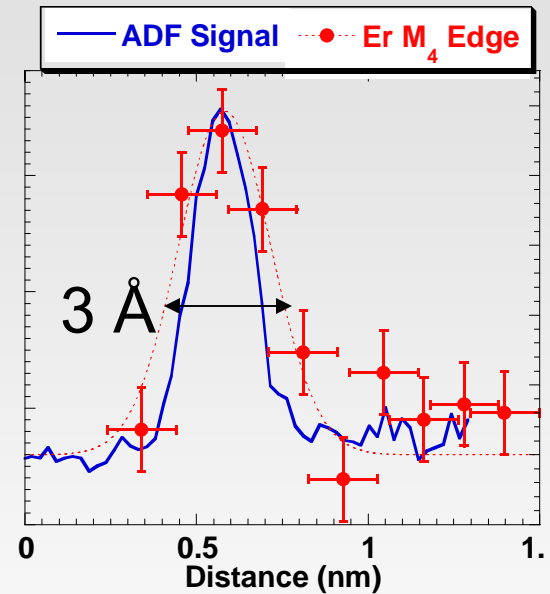
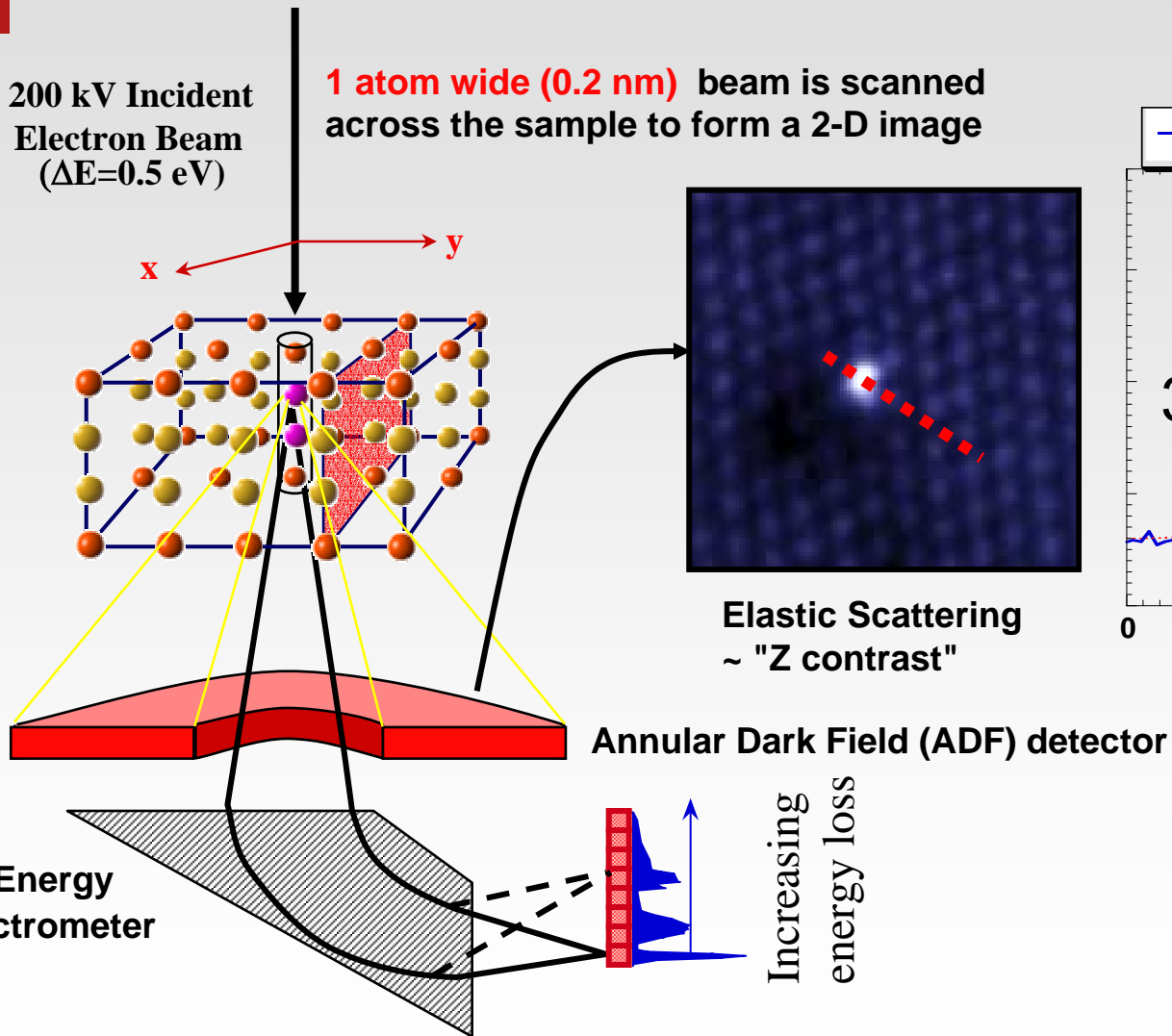
STEM (J. Wall, BNL)

# Scanning Transmission Electron Microscopy



200 kV Incident  
Electron Beam  
( $\Delta E=0.5$  eV)

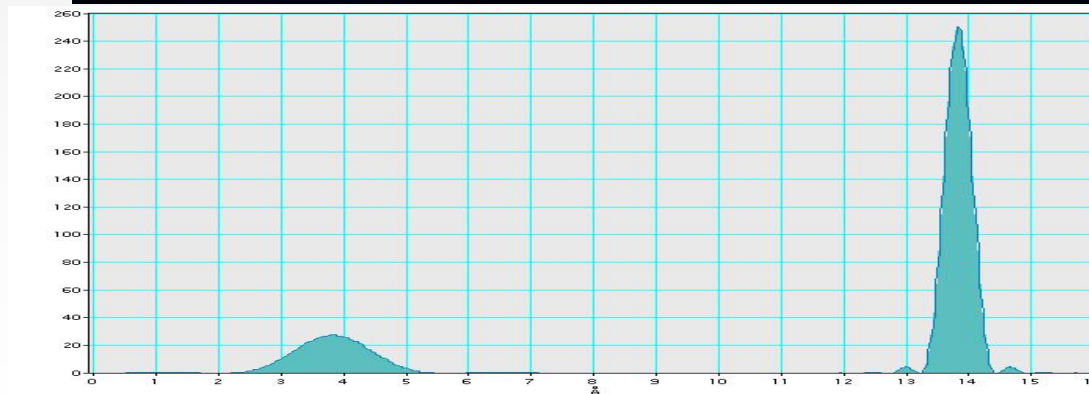
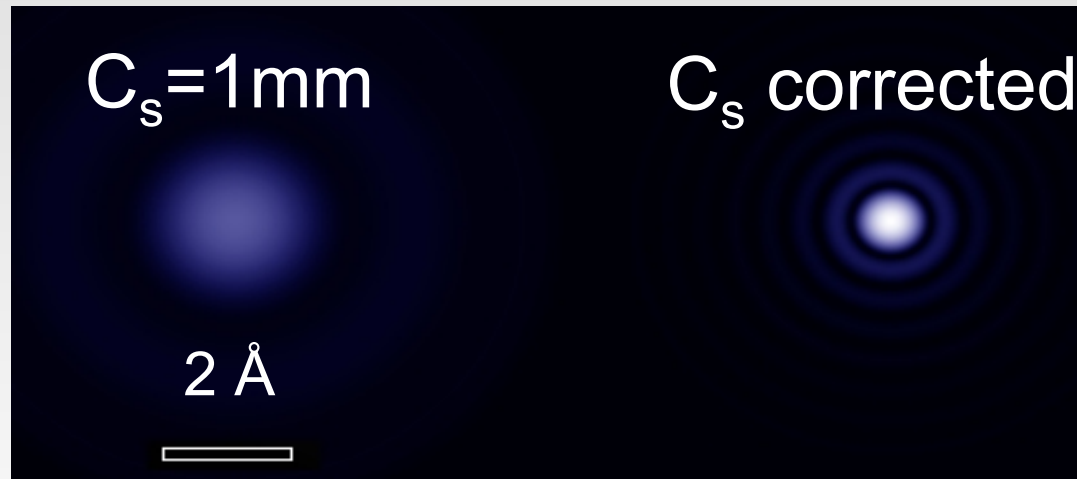
1 atom wide (0.2 nm) beam is scanned  
across the sample to form a 2-D image



Single atom Sensitivity: P. Voyles, D. Muller, J. Grazul, P. Citrin, H. Gossmann, *Nature* **416** 826 (2002)  
U. Kaiser, D. Muller, J. Grazul, M. Kawasaki, *Nature Materials*, **1** 102 (2002)

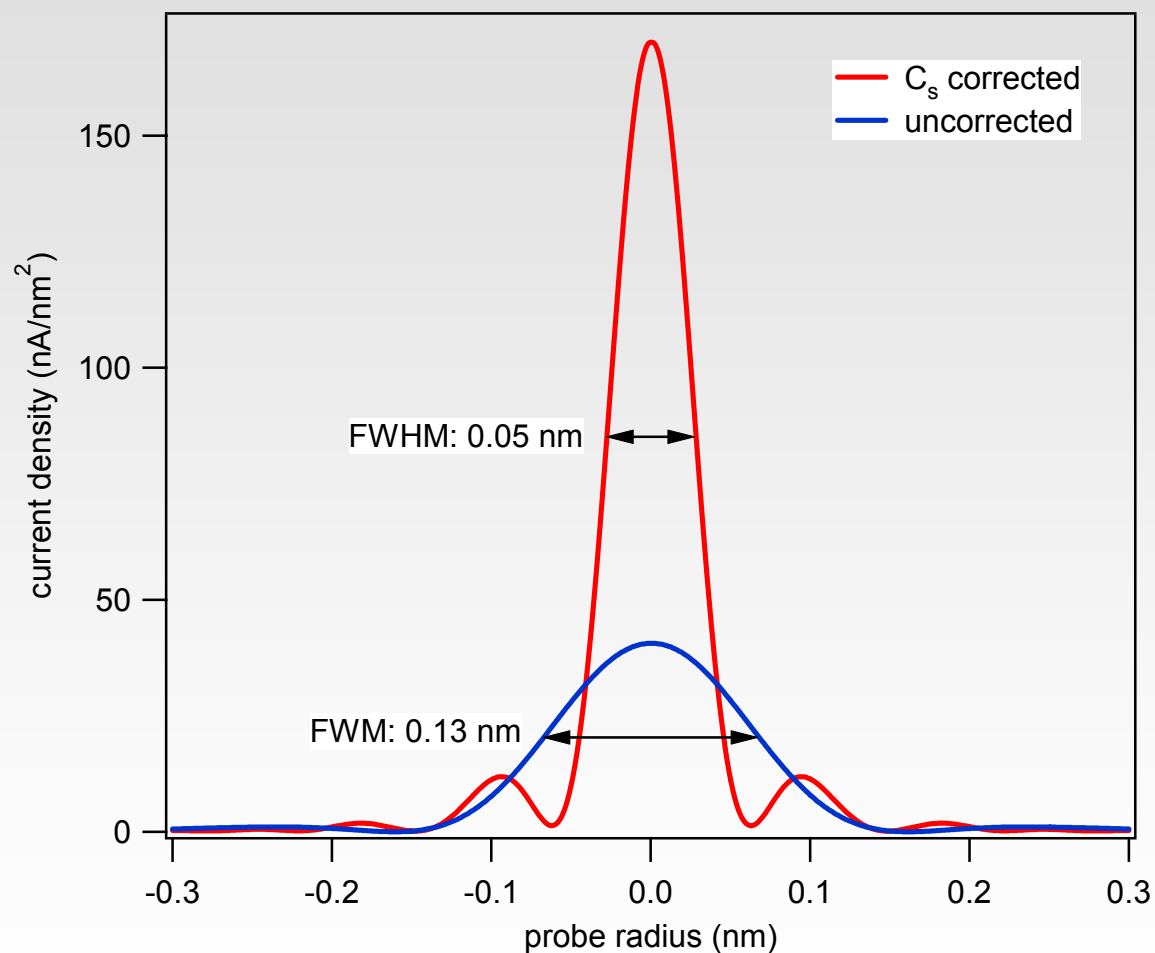
# Why is the Probe so Large?

- Probe diameter is  $\sim 2 \text{ \AA}$
- Electron Wavelength at 200 kV is  $0.0251 \text{ \AA}$
- Non-ideal lenses  $\Rightarrow$  large aberrations  $\Rightarrow$  tiny numerical apertures

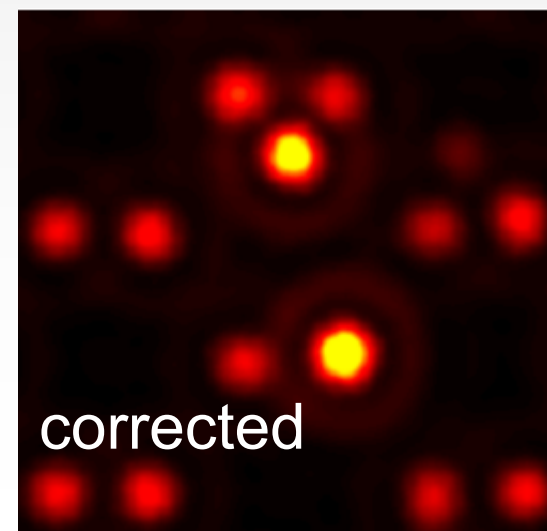
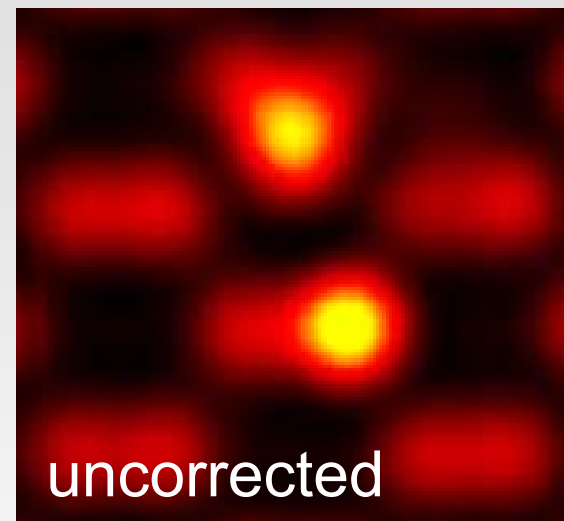


**Corrector Benefits: Increased current, resolution, contrast**  
[see P. E. Batson *et al.*, *Nature* **418**, 617 (2002)]

# $C_s$ -Corrected STEM



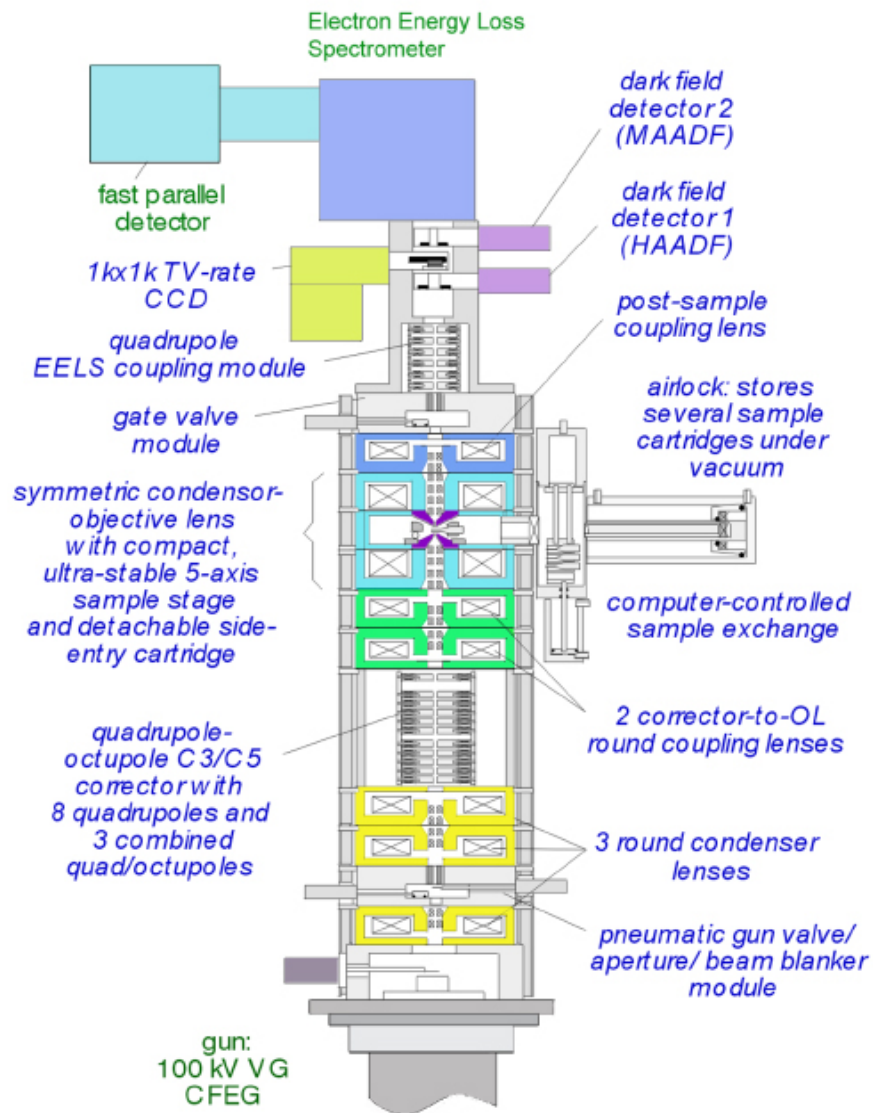
DP2 paired-Sb defect



**Corrector Benefits:** Increased resolution, contrast or current.



# Aberration-Corrected STEM



## NION SuperSTEM with PEELS

- 0.4 eV energy resolution
- **0.05 nm** spatial resolution
- 1 nm depth of focus -> 3D!
- EELS spectral maps in real time

This will be the world's first  
5<sup>th</sup>-order corrected STEM  
(x 4 improvement over previous)

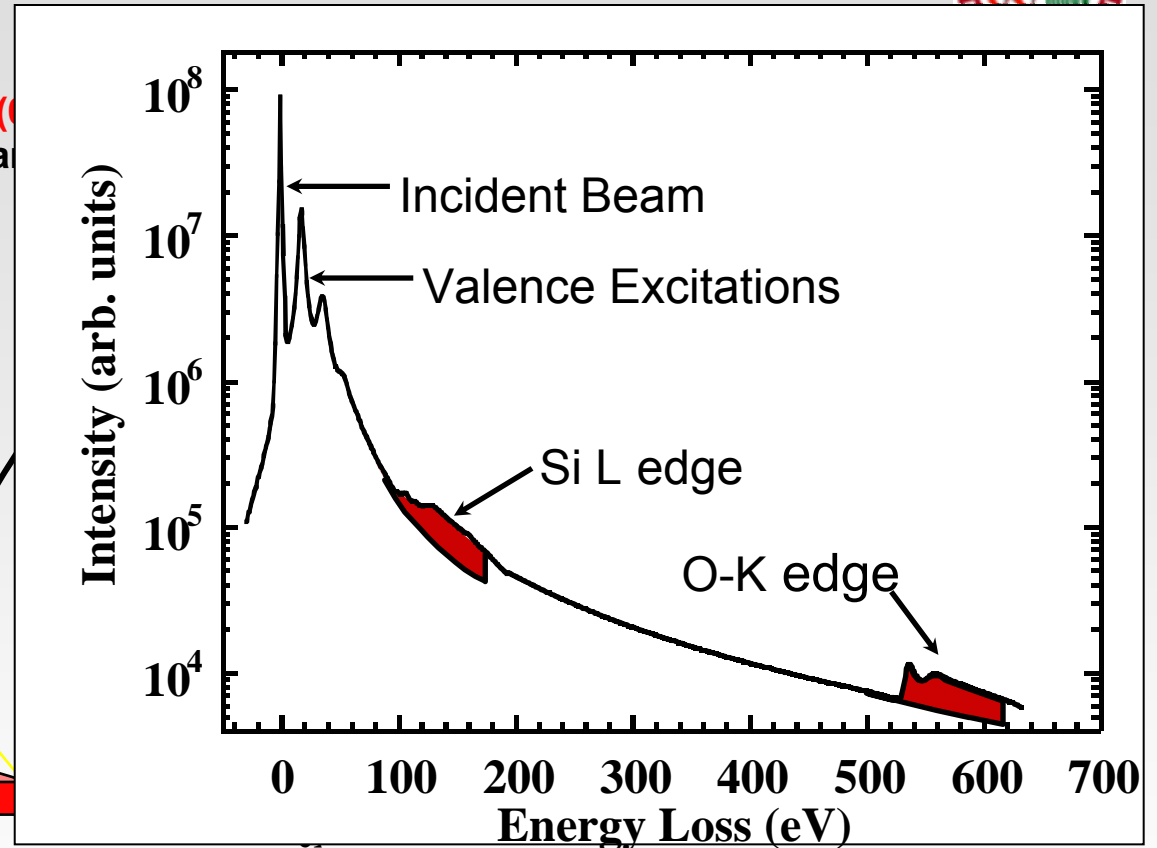
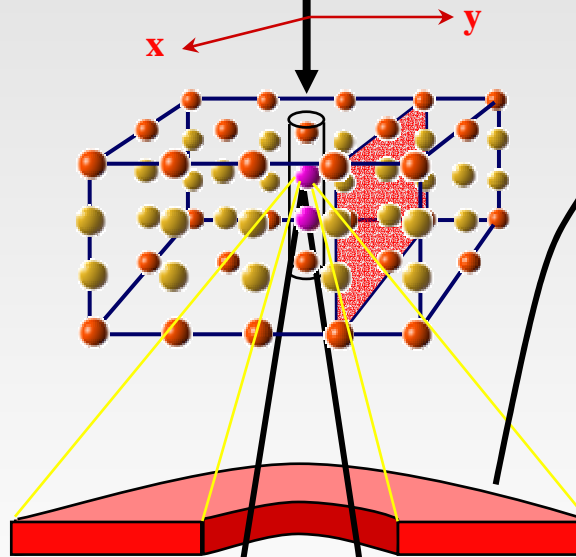
Due ~~early~~ <sup>late</sup> '06

# Scanning Transmission Electron Microscopy

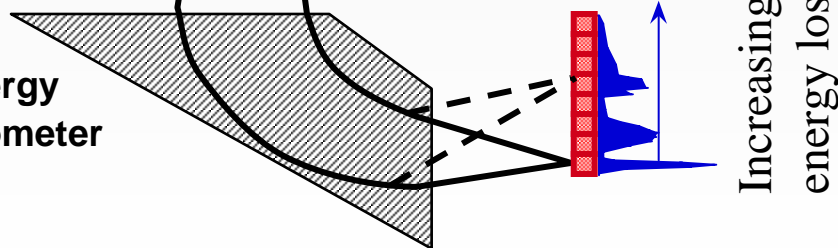


200 kV Incident  
Electron Beam  
( $\Delta E=1$  eV)

1 atom wide (1 Å)  
across the sample

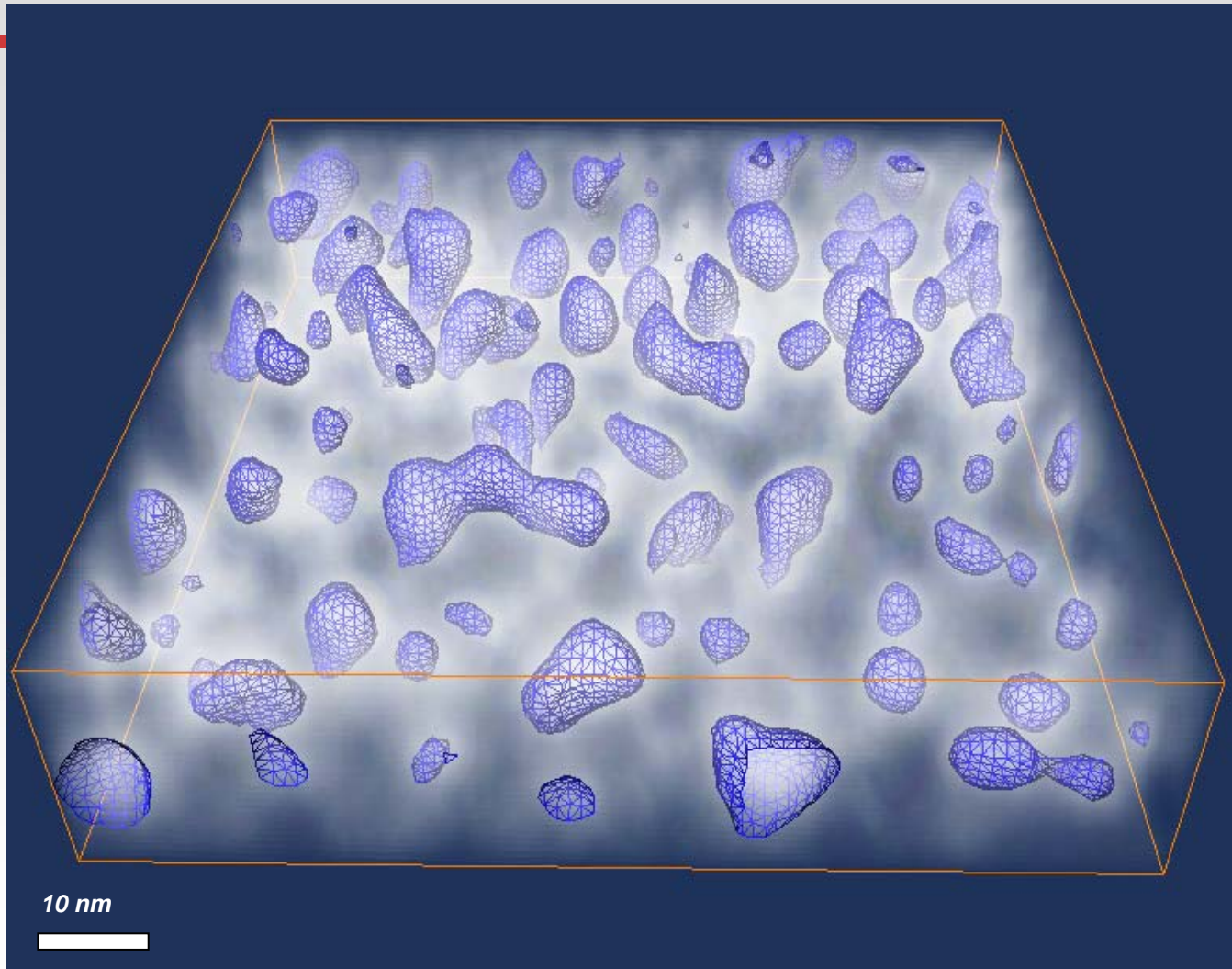


Electron Energy  
Loss Spectrometer



Single atom Sensitivity: P. Voyles, D. Muller, J. Grazul, P. Citrin, H. Gossmann, *Nature* **416** 826 (2002)  
U. Kaiser, D. Muller, J. Grazul, M. Kawasaki, *Nature Materials*, **1** 102 (2002)

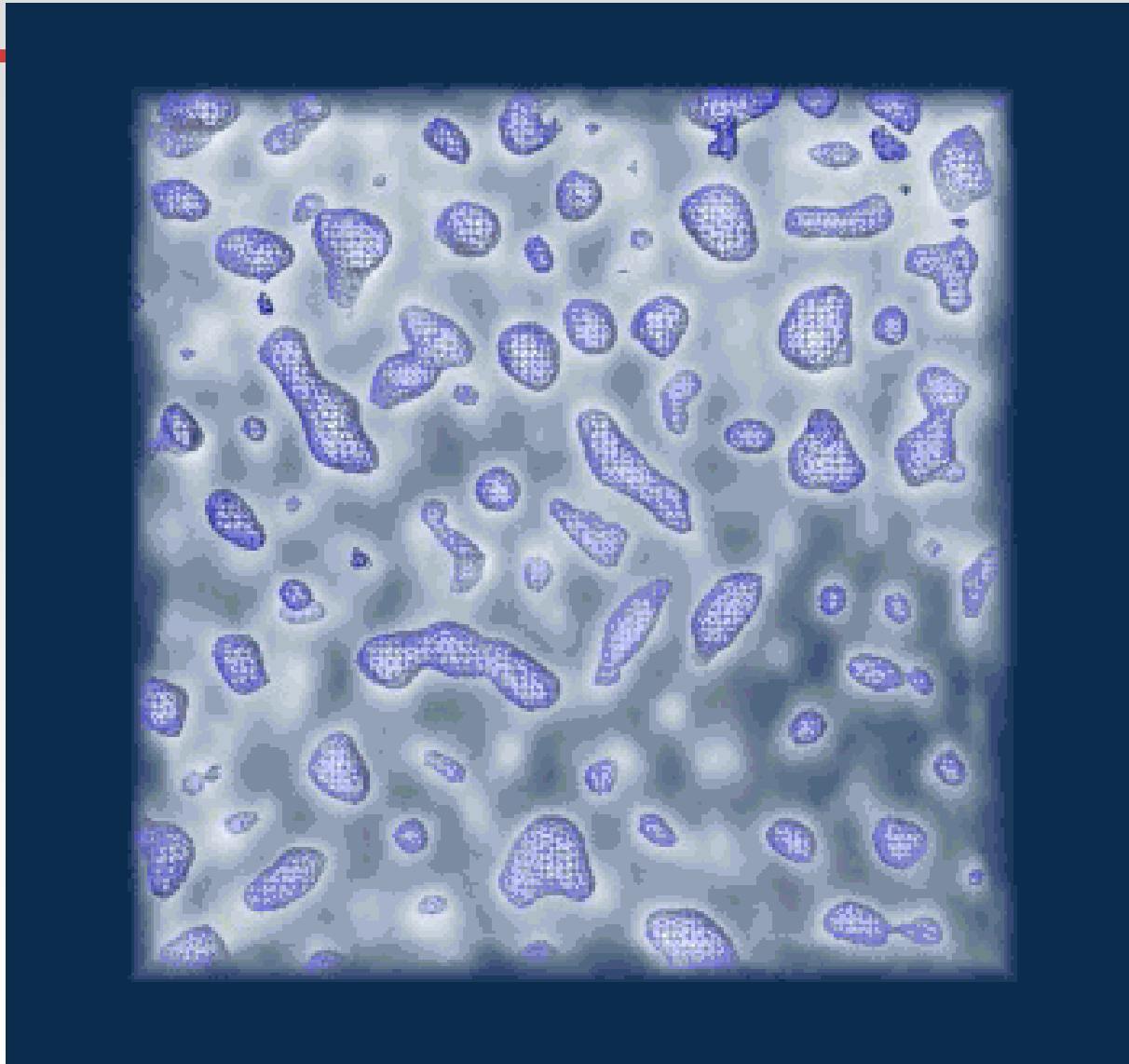
# 3D-Characterization of Si Nanoparticles embedded in Silicon Oxide



Tomographic reconstruction of the Silicon plasmon signal at 17eV



# *Silicon Nano-particles Embedded in Silicon Oxide*

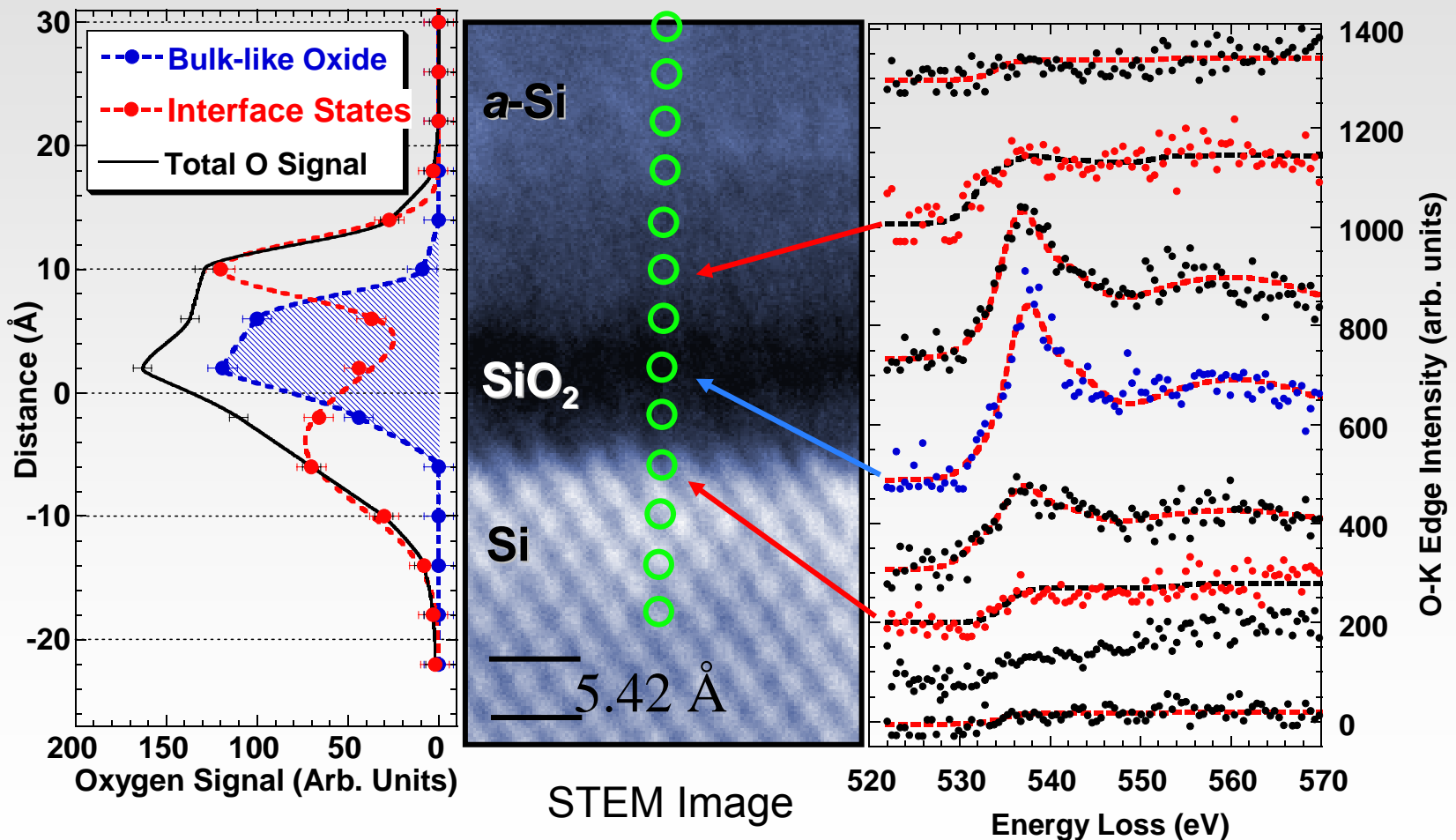


Tomographic reconstruction of the Silicon plasmon signal at 17eV

# Atomic Scale Oxygen Bonding in SiO<sub>2</sub>

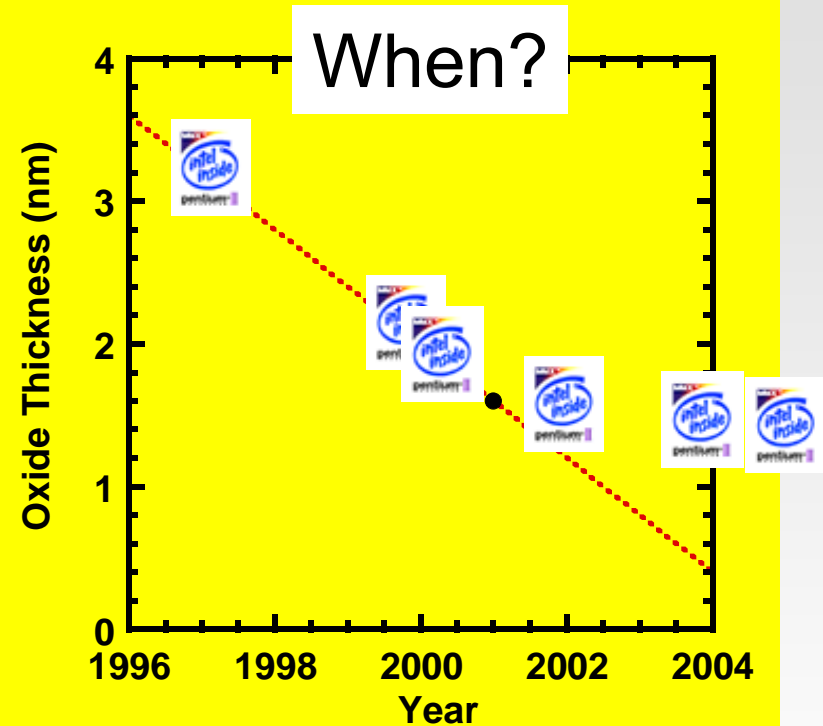
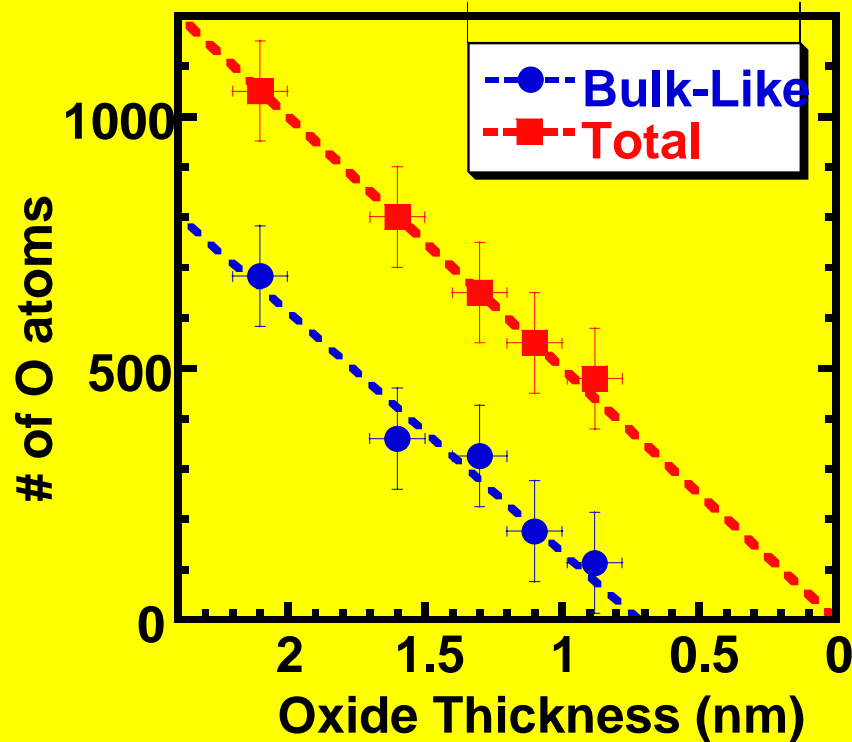


1.6 nm wide oxygen profile with 0.8 - 1 nm Bulk SiO<sub>2</sub>



D. A. Muller et al., Nature **399**, 758 (1999).

# Implications for Scaling $\text{SiO}_2$



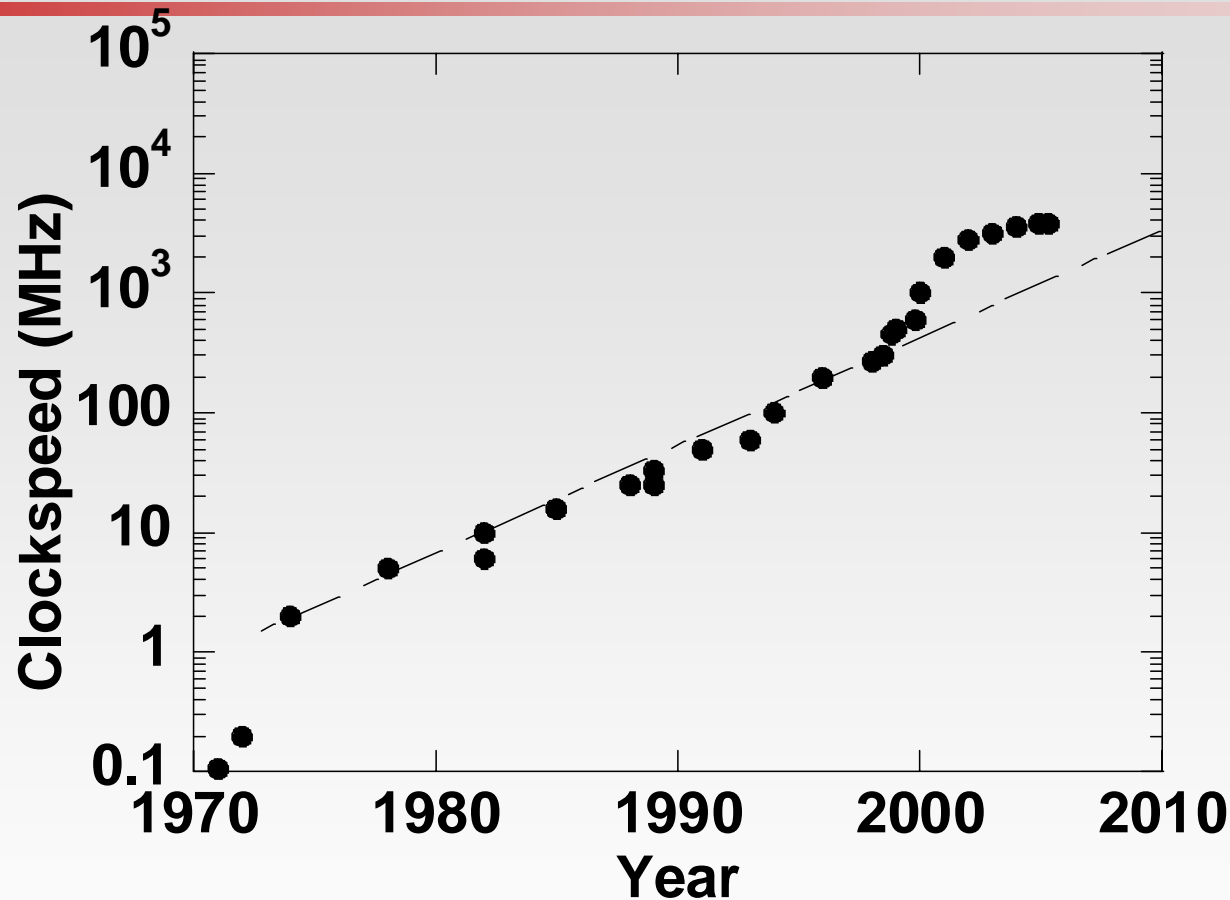
**The Interface width is fixed**

**➔ There will be no more Bulk-like bonding when the Oxide is less than 0.7 nm.**

*Theory in*

J. B. Neaton, D. A. Muller, and N. W. Ashcroft, Phys. Rev. Lett. **85**, 1298 (2000).

# Does Clockspeed Matter?



From 1970-2005, bits/second increased by x 3,000,000

Clockspeed increased x 40,000

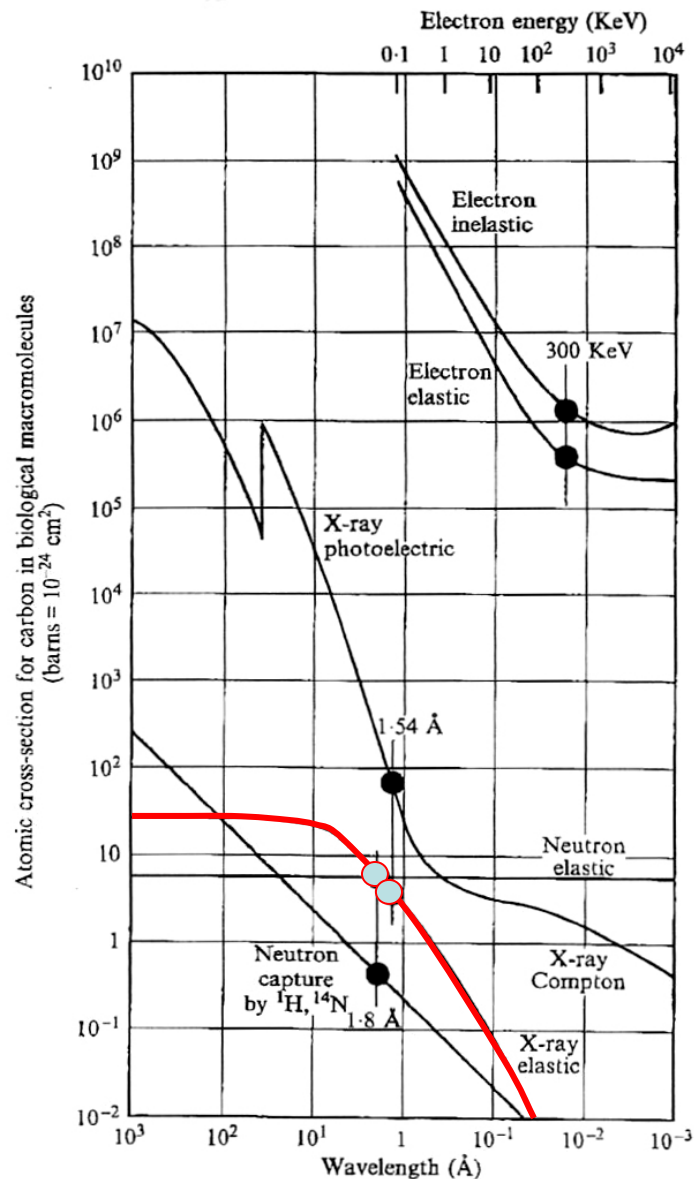
Bus Width increased x 8

“Smarter Design” - only x 5

D. A. Muller, Nature Materials, 4 p 645 (2005)

# How Bad is Radiation Damage?

R. Henderson, Quarterly Reviews of Biophysics 28 (1995) 171-193.



It's not the cross-section, but

How many damaging events per useful imaging event?

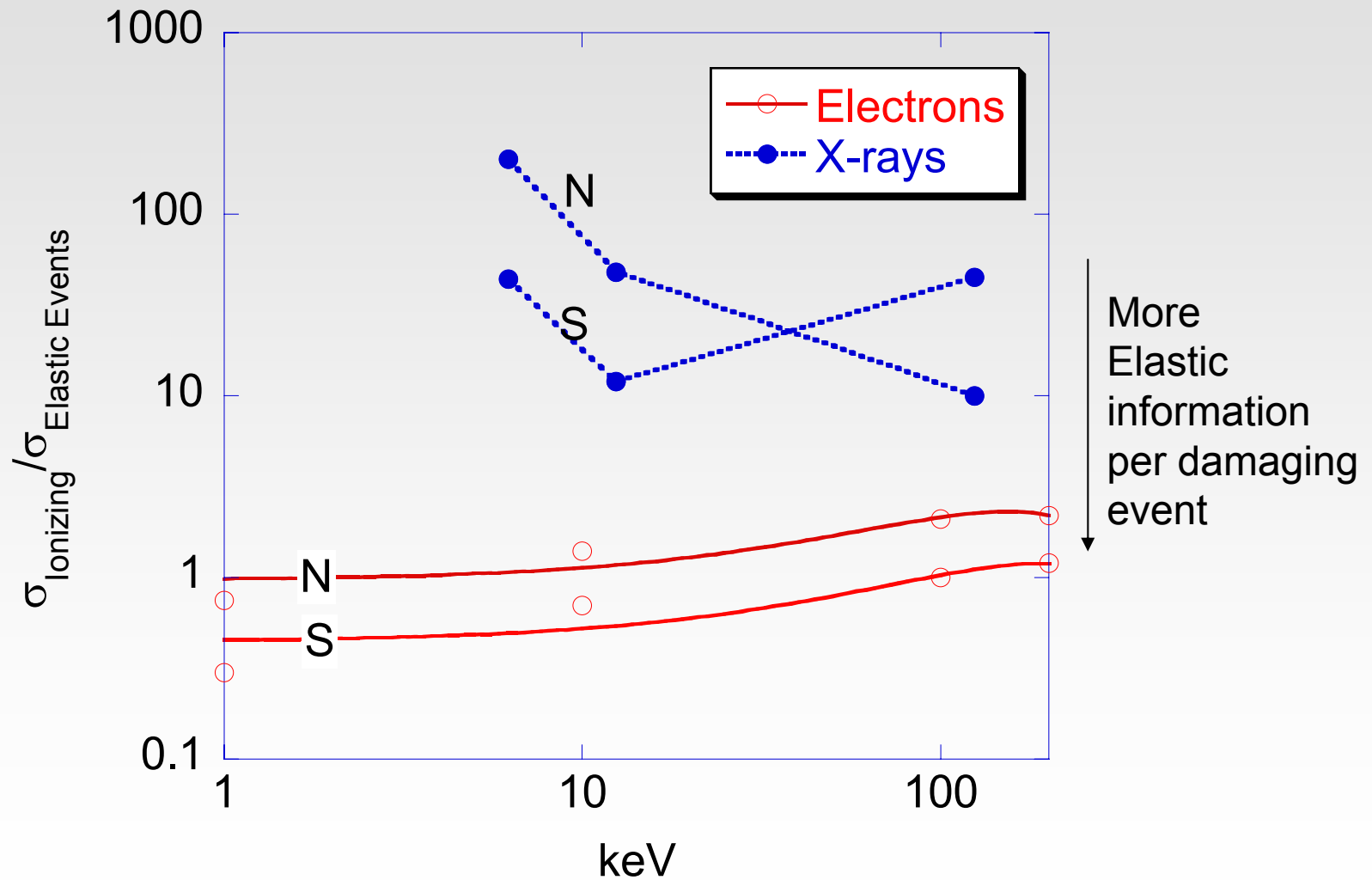
Least Damage:

Elastic imaging - Electrons wins

Inelastic imaging - Soft X-rays win



# Radiation Damage as a Fundamental limit

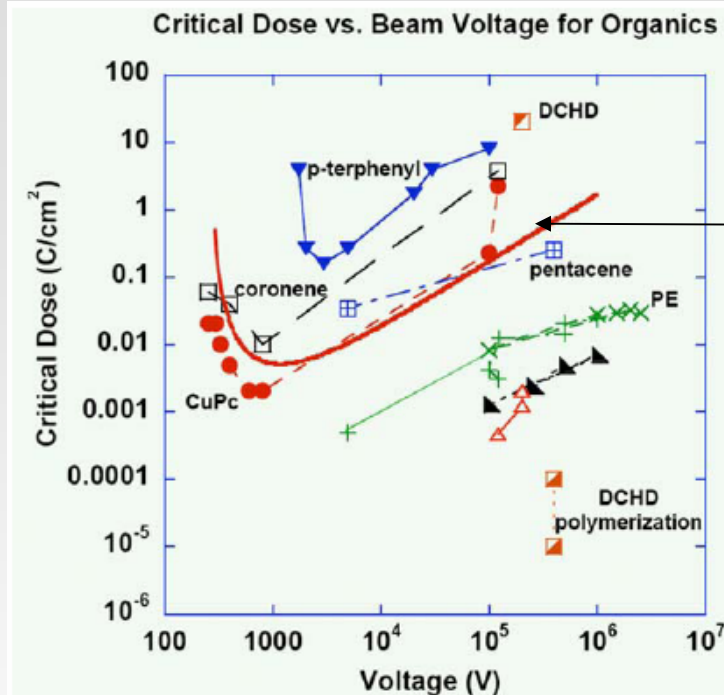


Data from Breedlove and Trammell, Science 170 (1970) 1310-1313

For electrons  $\sigma_i / \sigma_e \sim \ln(E)$

# What Causes the Damage?

(Temperature rise is < 2K- smaller beam is less)



Calculated  
C-K shell ionization  
Cross-section

Suggests  
Auger Transitions  
could be suspect,  
Rather than the  
20 eV valence losses

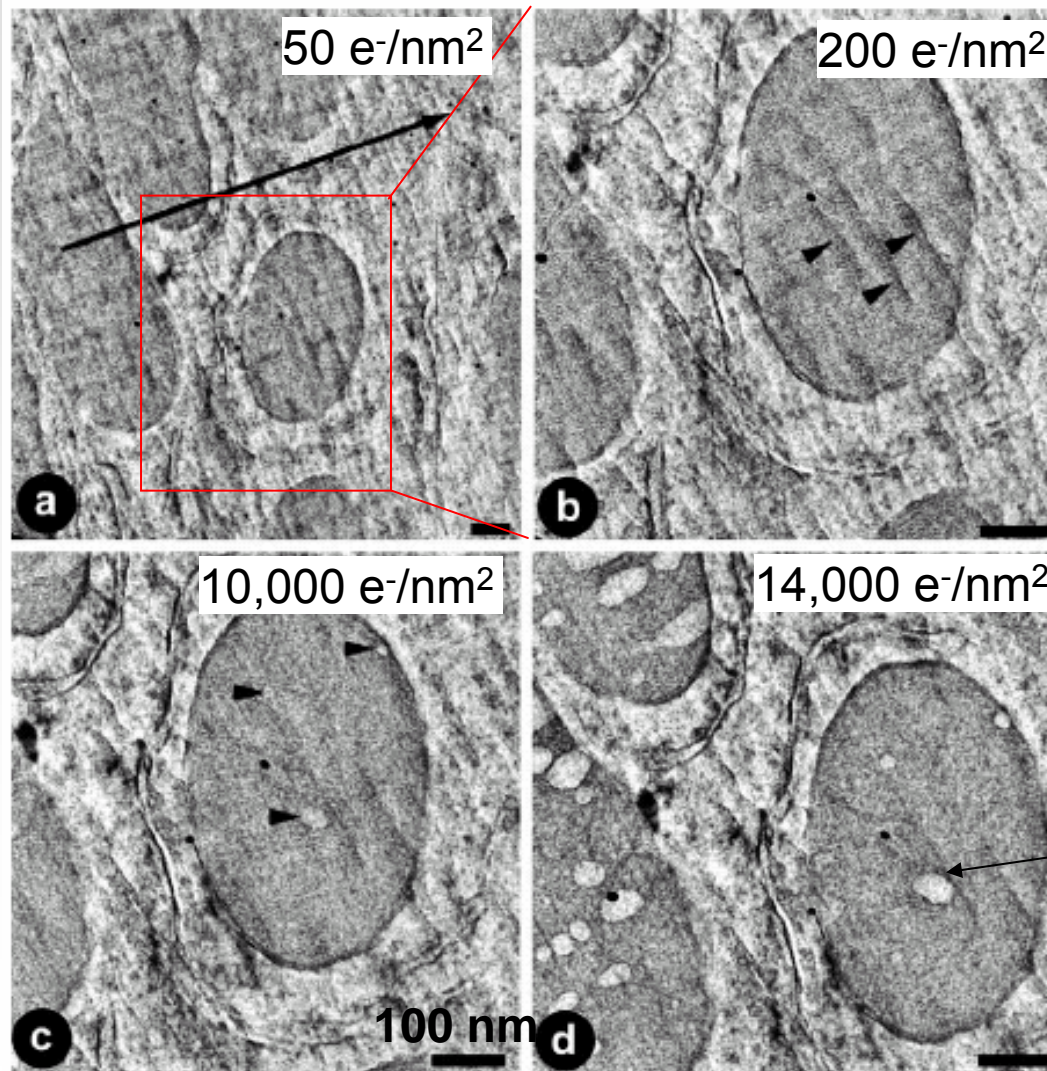
- ● - CuPc 0.64 nm (Stevens, 2000)
- □ - coronene (Stevens, 2000)
- × - PE (Boudet and Roucau, 1985)
- ▲ - behenic acid (Ohno, 2000)
- ◻ - DCHD (Liao and Martin, 1993)
- △ - PEO (Kumar and Adams, 1990)
- + - PE (Kumar and Adams, 1990)
- ◻ - DCHD (Read and Young, 1985)
- + - PE LVEM (Martin and Drummy, 2001)
- ◻ - Pentacene (Drummy, 2002)
- ▼ - p-terphenyl (Howie, 1985)

# Electron Beam (400 keV) Radiation Damage in Vitreous Ice

(Damage Threshold  $\sim 500 \text{ e}^-/\text{nm}^2$ )



C.-E. Hsieh et al. / *Journal of Structural Biology* 138 (2002) 63–73



Hydrogen  
Bubbles  
form in densest  
sections





# Dose Required for 2D-Imaging



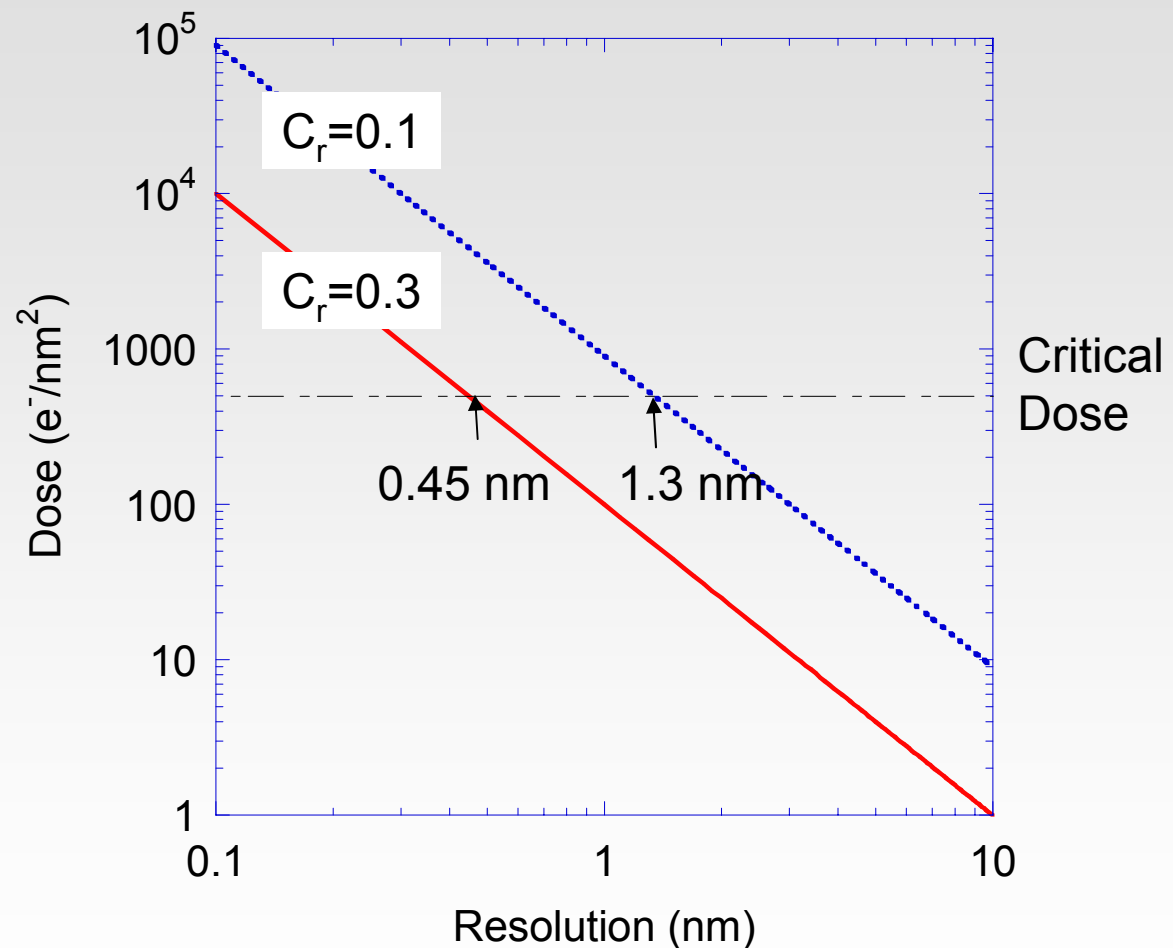
$$n_0 > \frac{k^2}{f C_r^2 r^2}$$

k : S/N = 3

f : fraction contributing to Background = 1

$C_r$ : contrast = 0.3

r: resolution



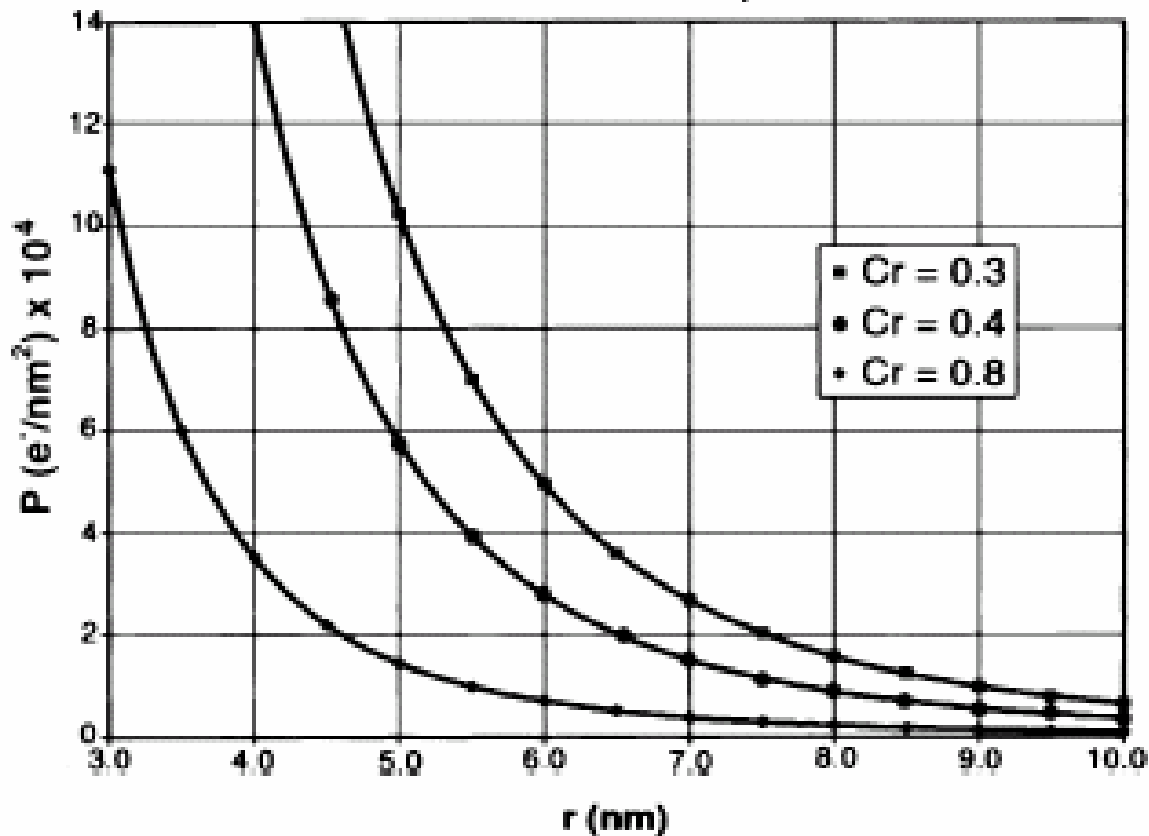
It's almost impossible to do atomic-resolution phase contrast imaging with biological samples (except by averaging over many similar molecules)!

# Dose Required for 3-D Reconstructions is worse!



Dose Contrast Resolution

$$P = 5.76 \times 10^6 / C_r^2 \times r^4$$



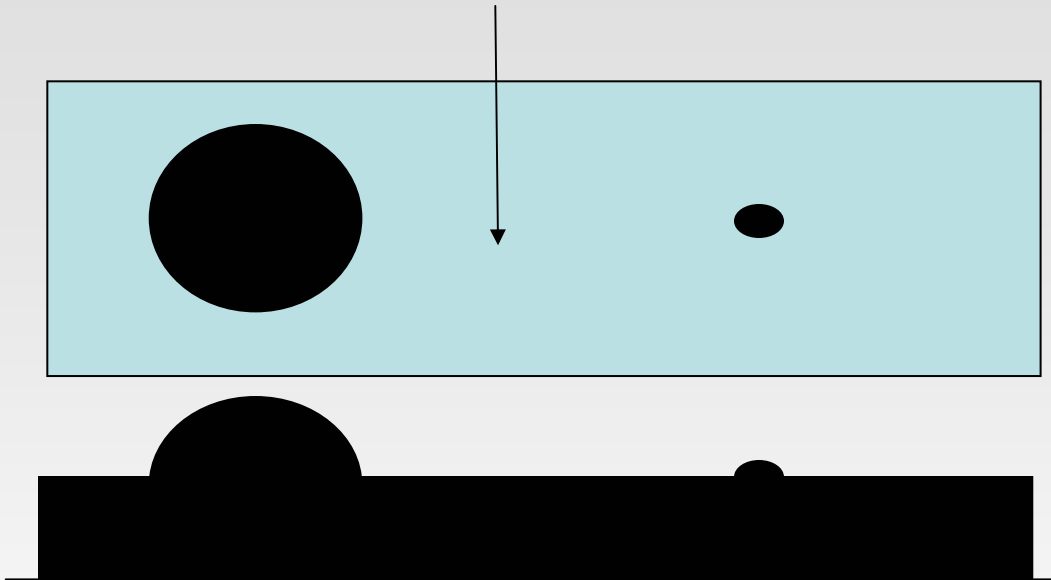
Dose  $\propto 1/(\text{Resolution})^4$

P  $\propto 1/(\text{Contrast})^2$

B. F. McEwen et al, Journal of Structural Biology **138** 47–57 (2002)  
Saxberg & Saxton, W.O., Ultramicroscopy **6**, 85–90 (1981)

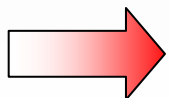


# High Resolution= Thin Sections



Small features have low contrast (and for a fixed dose we trade 2D resolution for contrast)

**Resolution  $\propto$  Sample Thickness**



Need to make thin samples (true for x-rays as well as electrons)

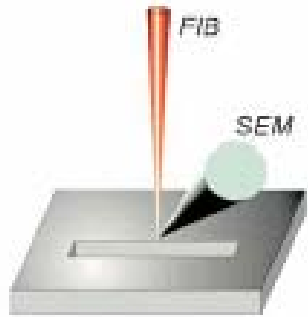
(unless we have a fluorescence detection method)



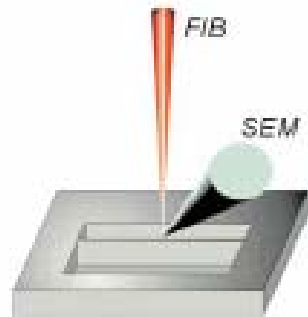
# Focused Ion Beam Milling



Cut out a shape with a 5-30 keV Ga<sup>+</sup> ion beam



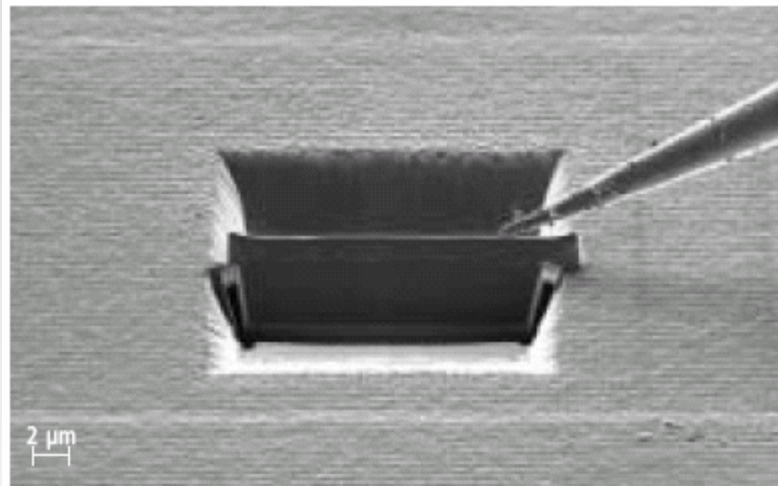
FIB milling and polishing of front side of TEM lamella



Sample is rotated 180° and the back is milled and polished



Pick up of TEM lamella with Lift-out Tool



Sample can be  
As thin as 100 nm  
(but damage layer  
is 10-30 nm/side)

Hitachi Review Vol. 54 (2005), No. 1 29

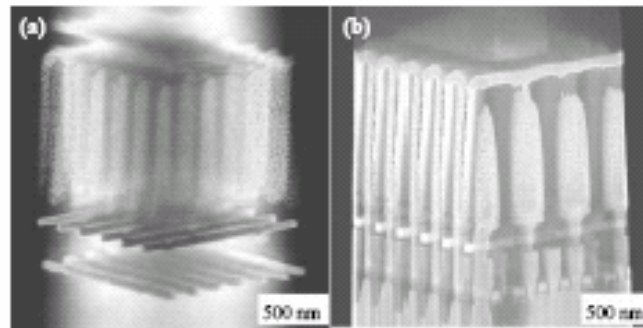
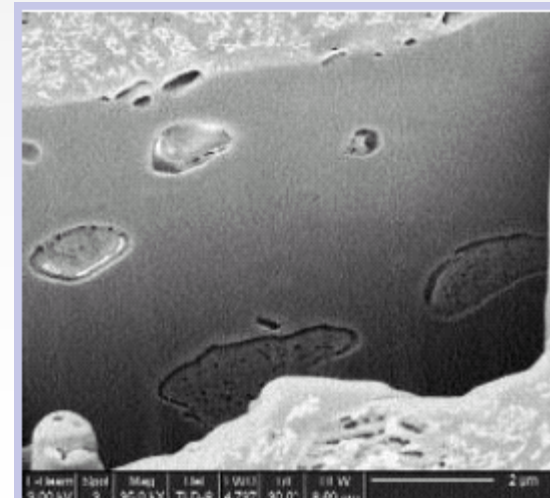
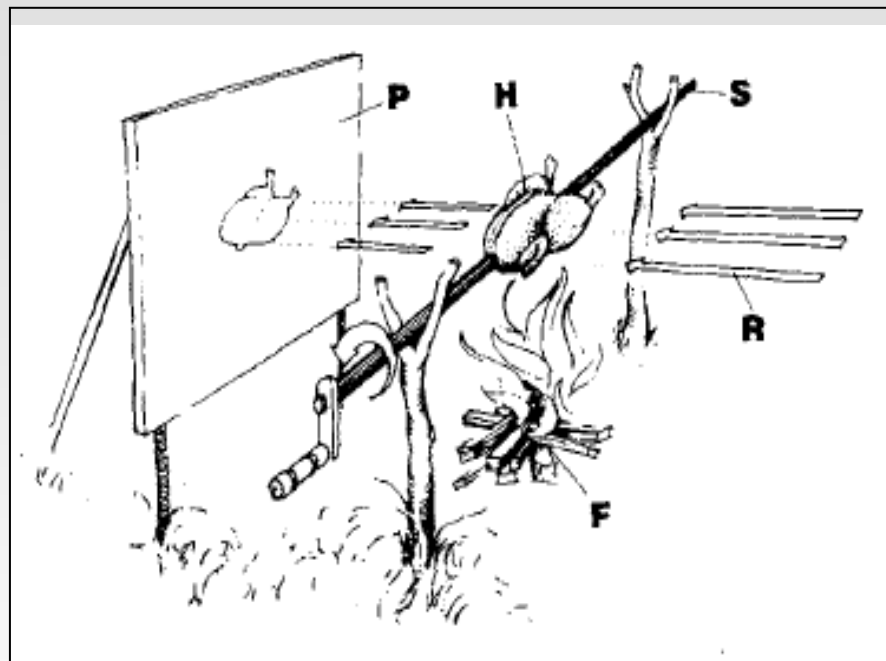


Fig. 4—Observation Examples of DRAM Capacitor Plug (2-μm square).



Water Droplets in Liquid Margarine

# Tomography at the Nanoscale



Walter Hoppe, *Angew. Chem. Int. Ed. Engl.* **22** (1983) 456-485

## 3D resolution function

along X,  $dx \sim 0.2$  nm

along Y,  $dy \sim 1$  nm

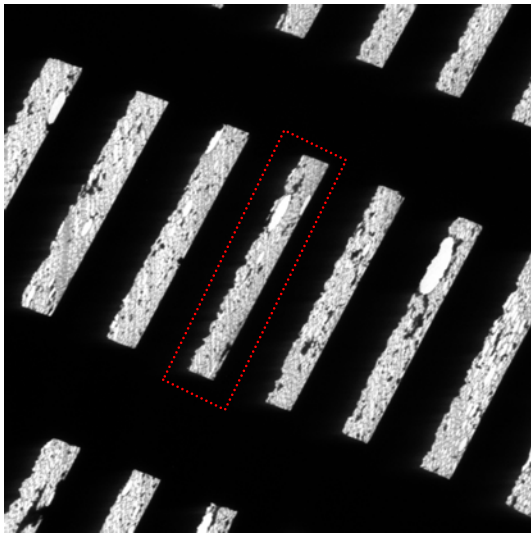
along Z,  $dz \sim 1$  nm

(due to limited tilt range and finite number of projection images)

Sample thickness: 20-600 nm

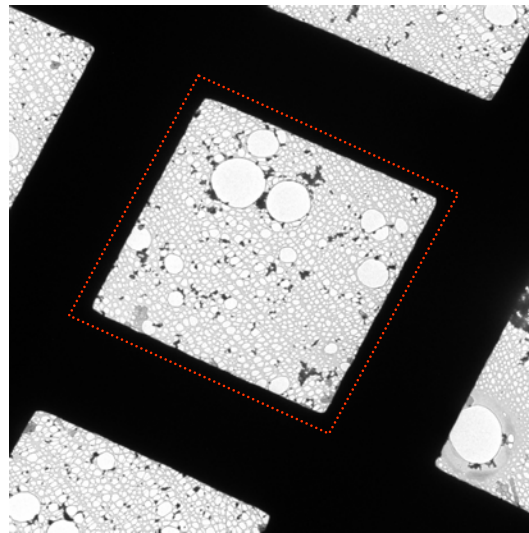


# High tilt tomography holder (Fischione 2020)



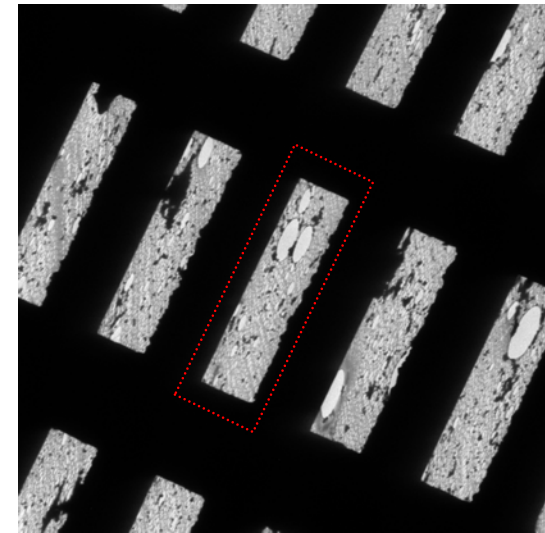
**-80°**

Limit of goniometer  $\alpha$  tilt



**No tilt (0°)**

Low magnification (57x)  
CCD image



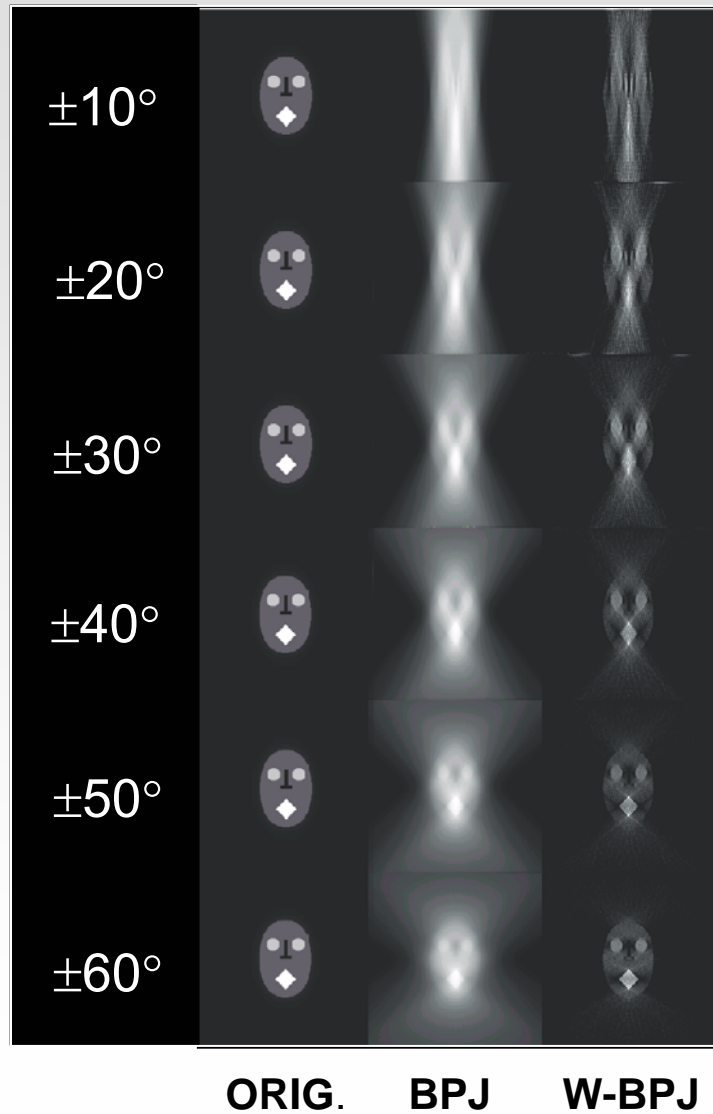
**+80°**

Limit of goniometer  $\alpha$  tilt

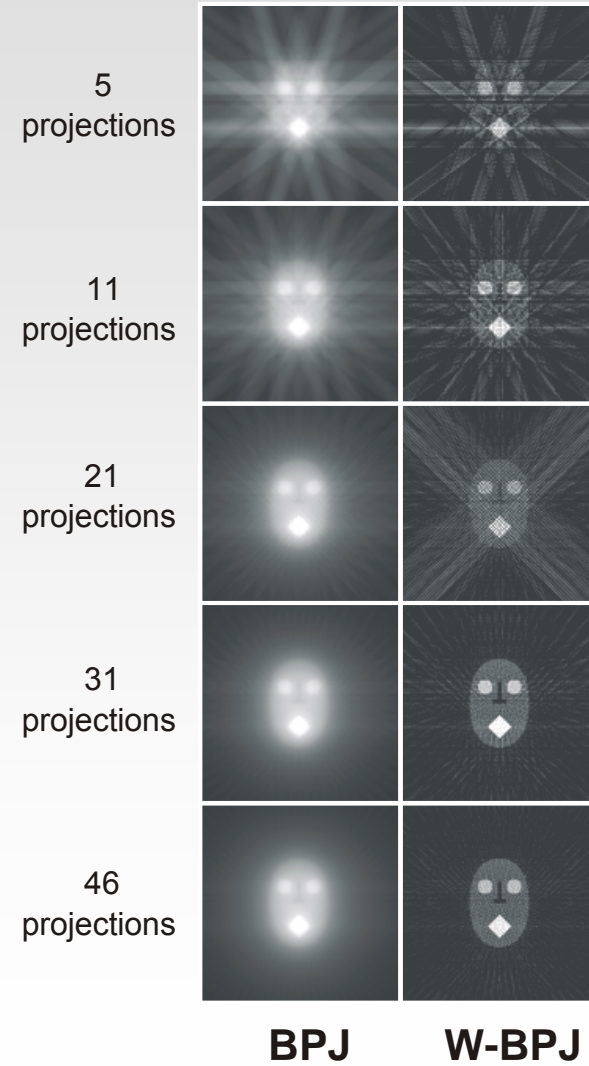
# Finite Sampling



Effect of Tilt

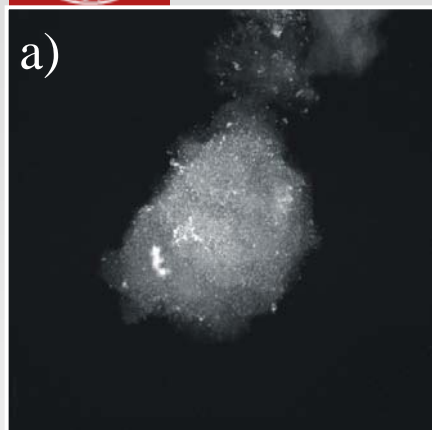


Effect of Sampling

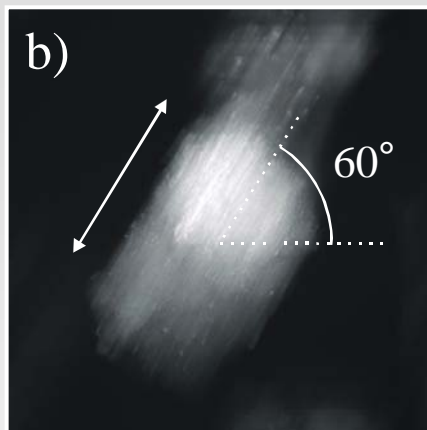




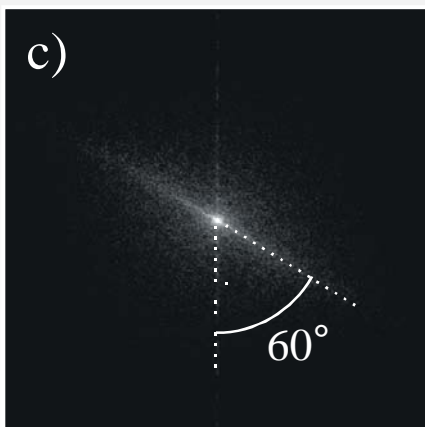
# Determining the tilt axis



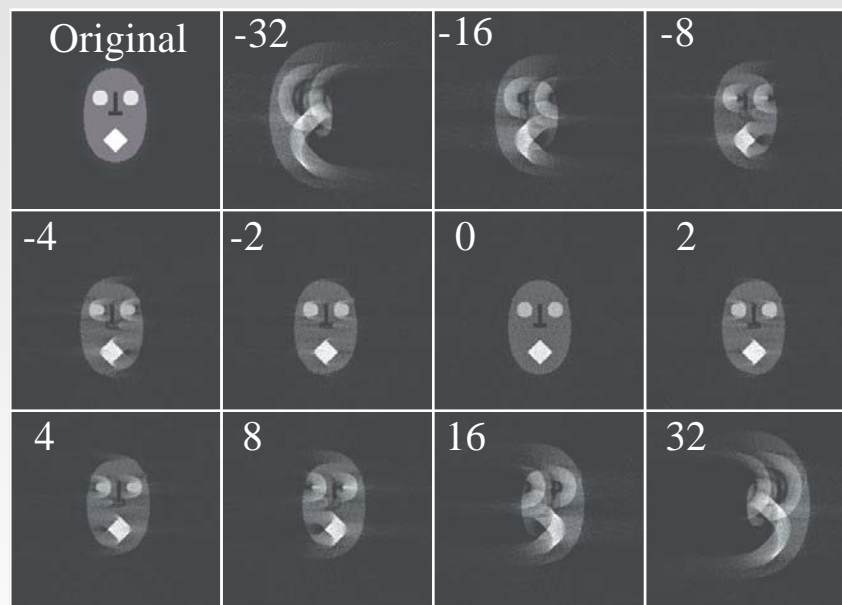
Single Image



Projection through aligned series

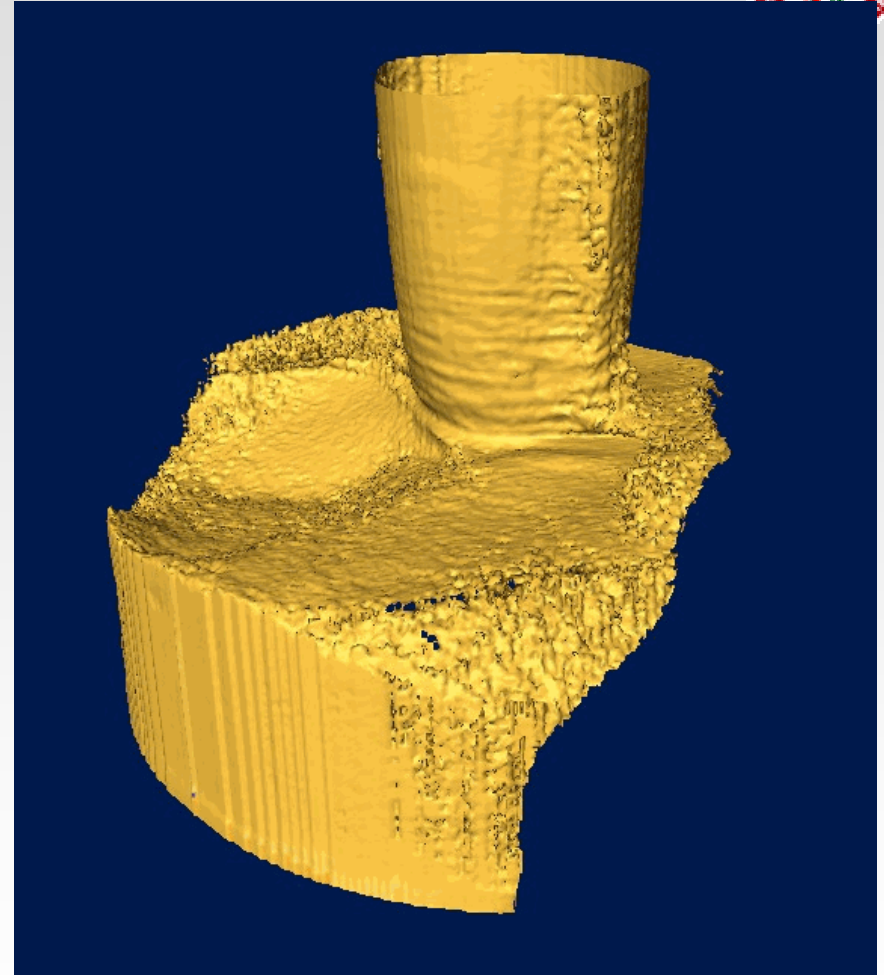
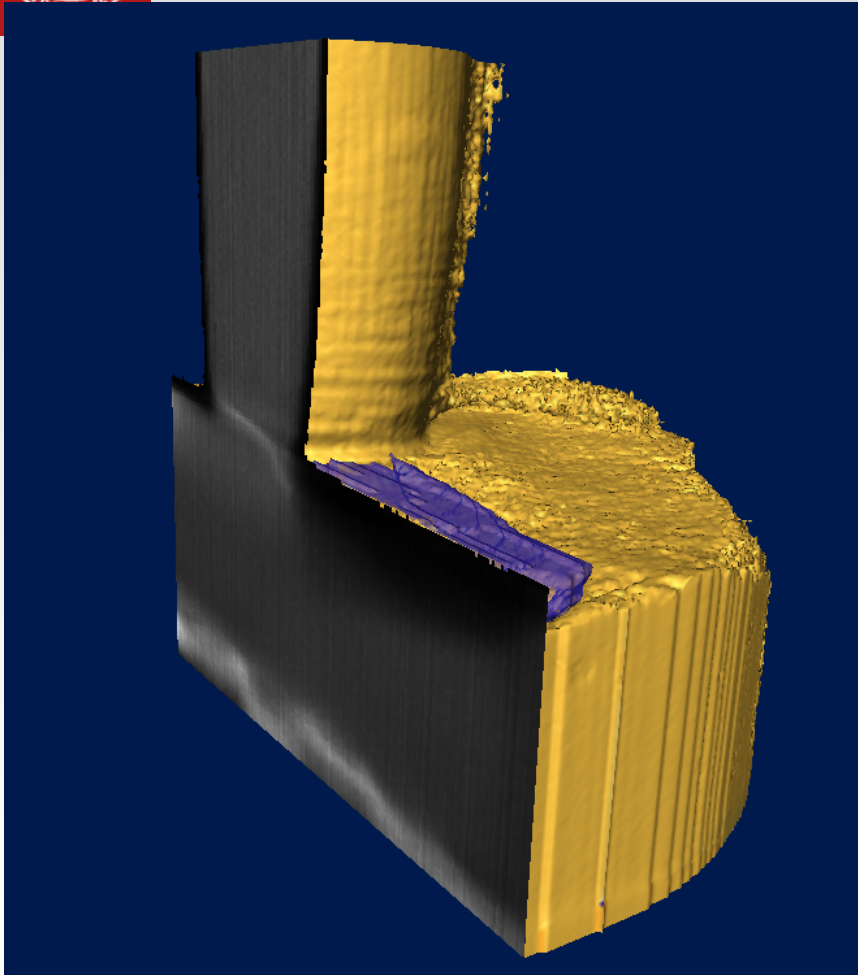


Power spectrum of (b)





# Stress Void Reconstruction

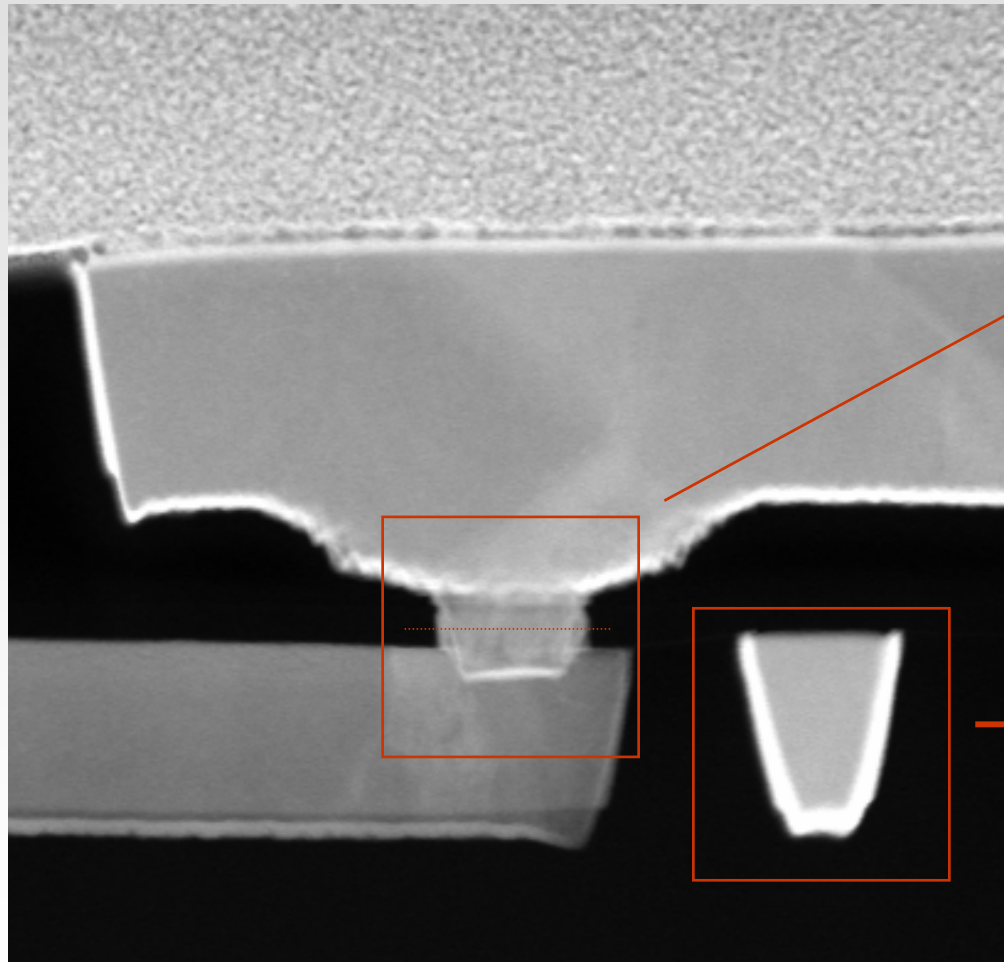


Via is 250 nm thick, inside a 500 nm thick Cu section

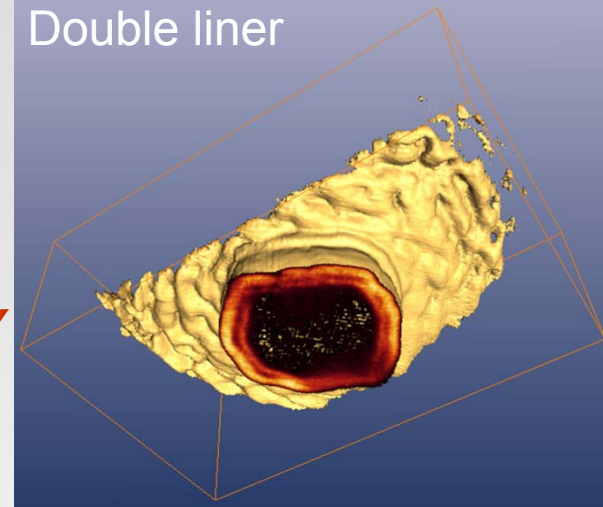
P. Ercius, M. Weyland, D. A. Muller, L. M. Gignac, *Appl. Phys. Lett.* **88** 243116 (2006).

# 3D Imaging Inside Interconnects

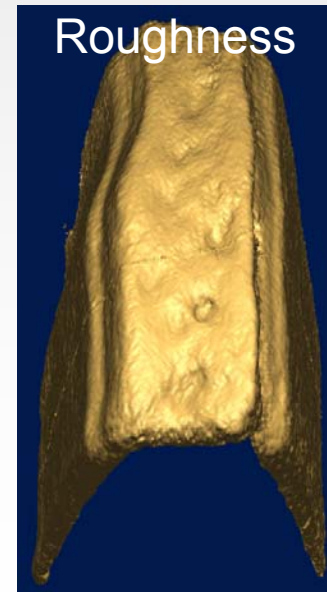
(100 nm wires inside an IBM chip)



Double liner

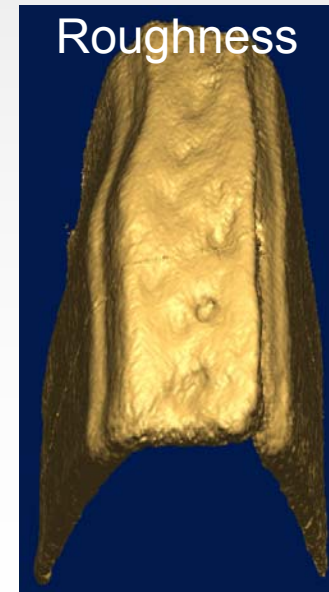
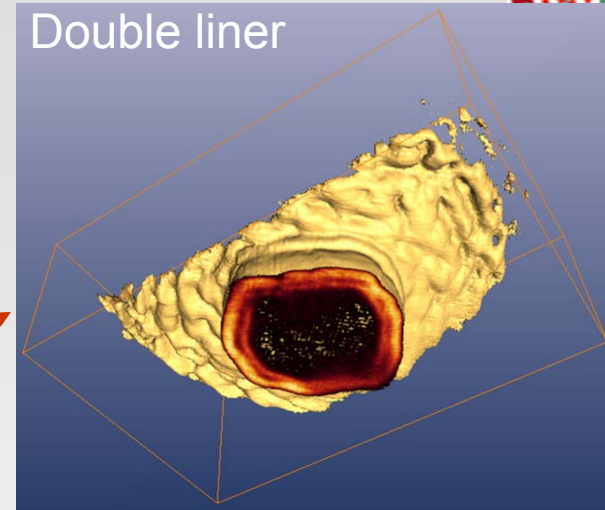
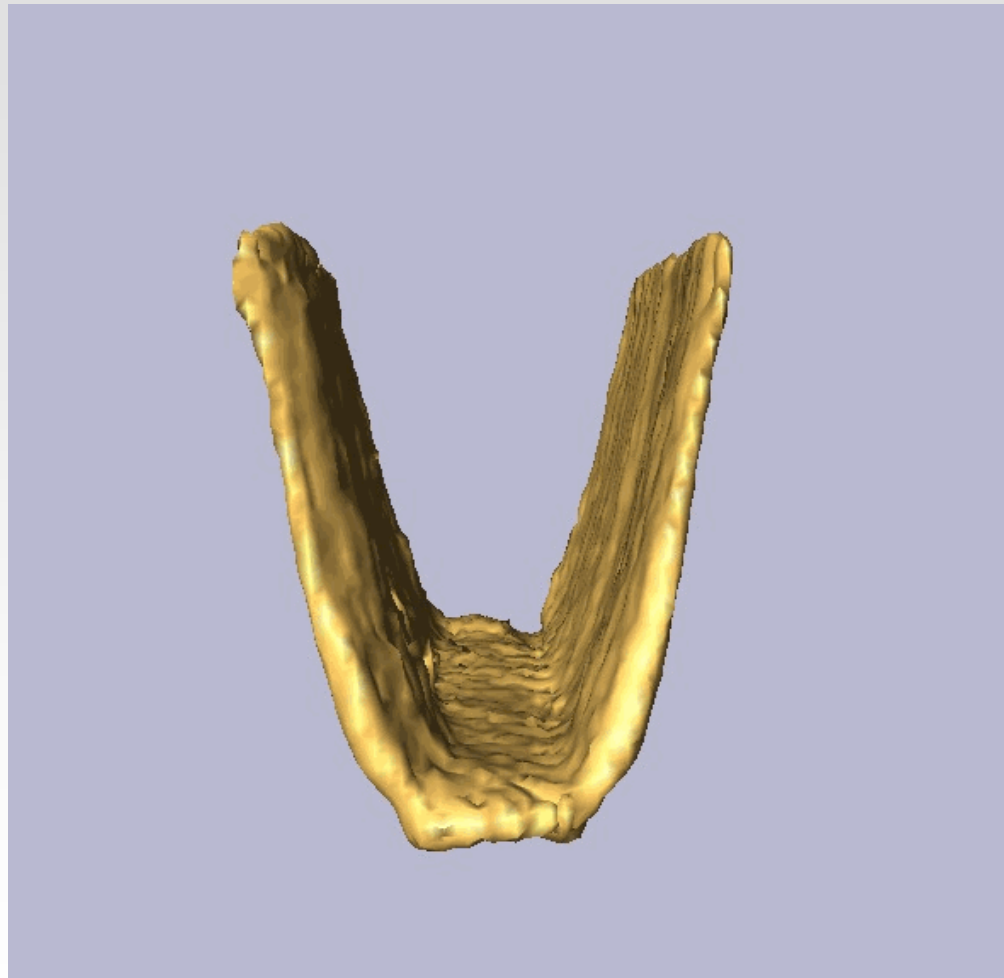


Roughness

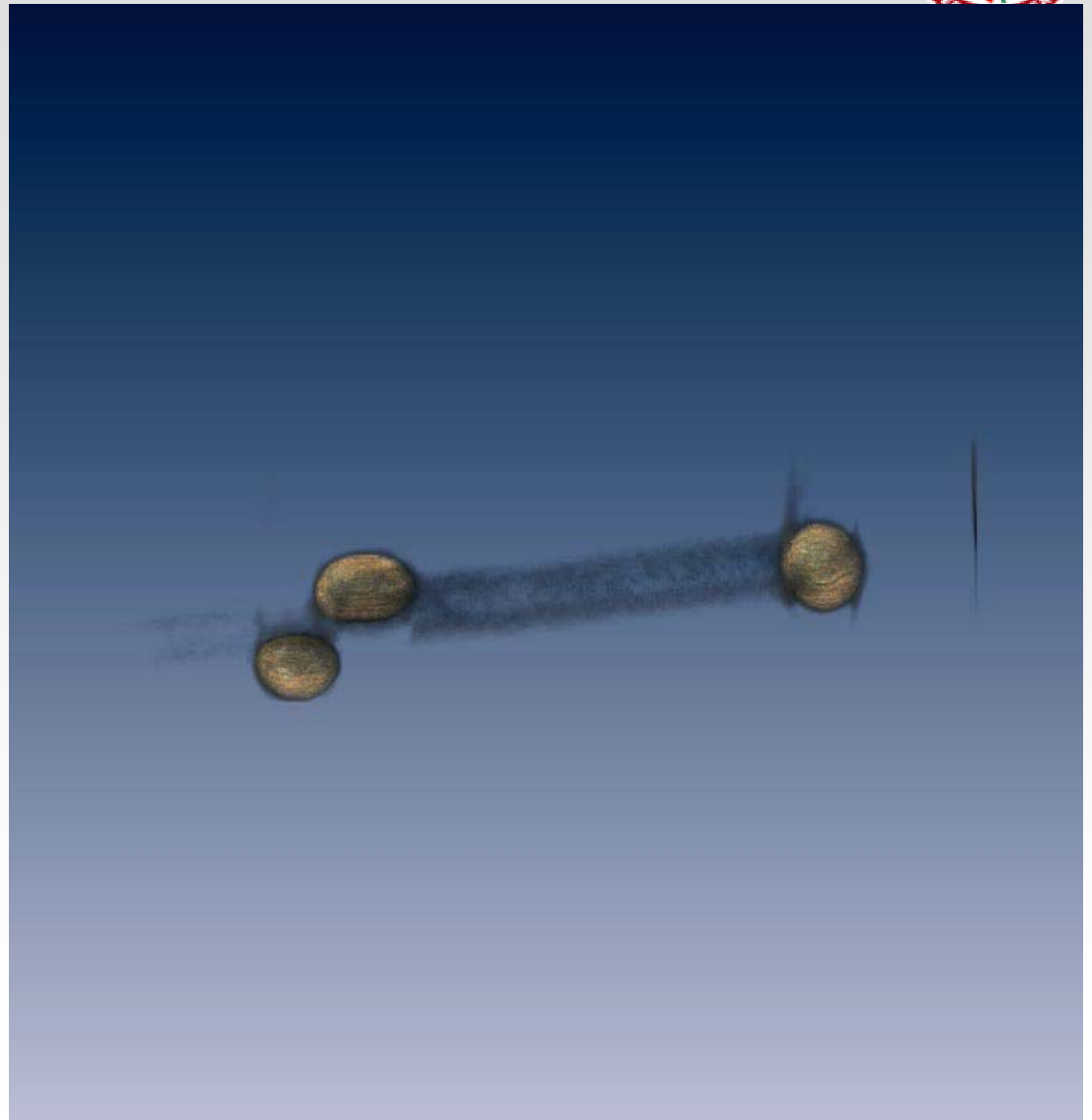
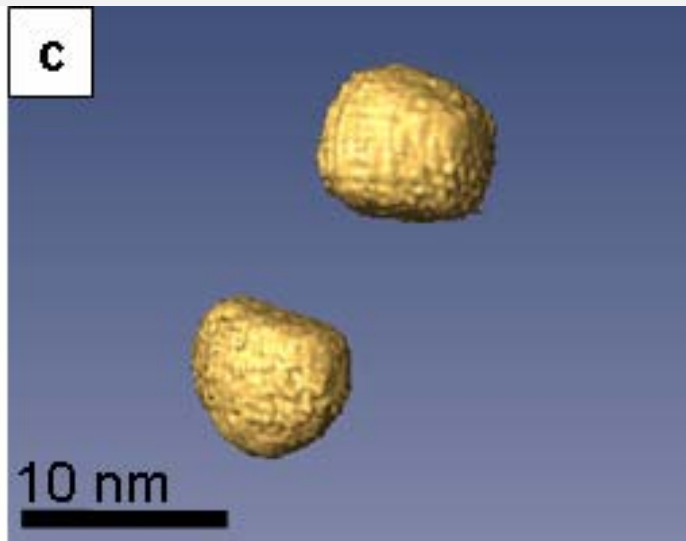
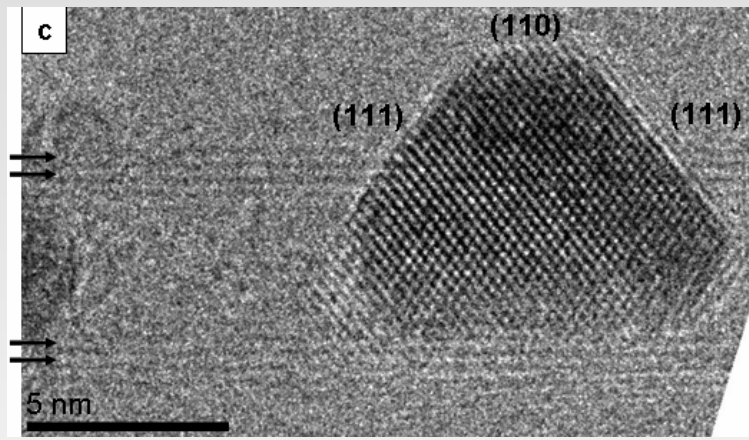


# 3D Imaging Inside Interconnects

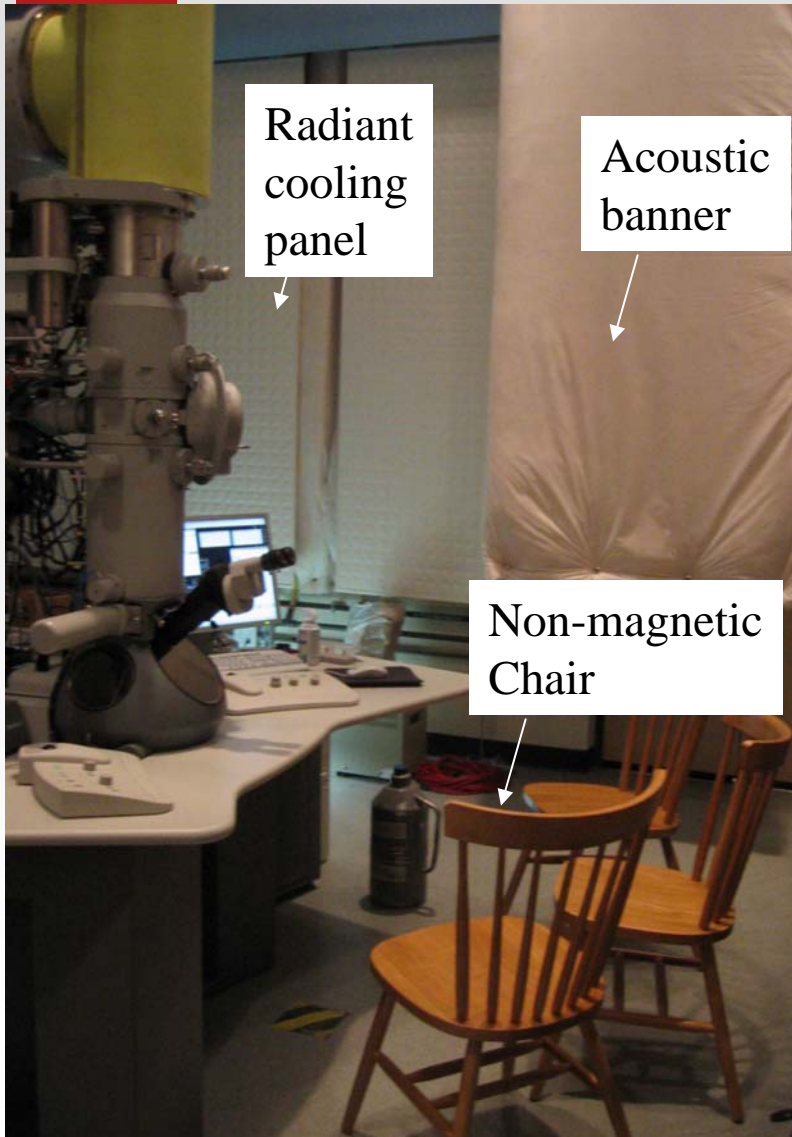
(100 nm wires inside an IBM chip)



# How Metal Contacts Form on a Carbon Nanotube

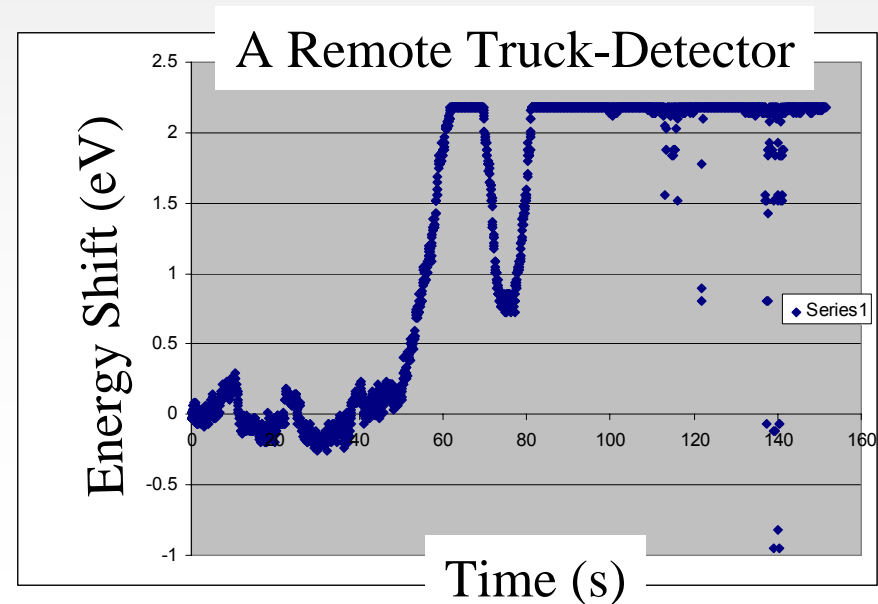


# Environmental Sensitivity



We don't just see atoms:

- Can detect moving chairs, elevators, trucks and air pressure changes.
- “Drift to the right, rain tonight”



# Cooling and Airflow



“DuctSox”  
porous mesh  
for uniform,  
low airflow

Radiant  
cooling  
panel

Acoustic  
damping  
material



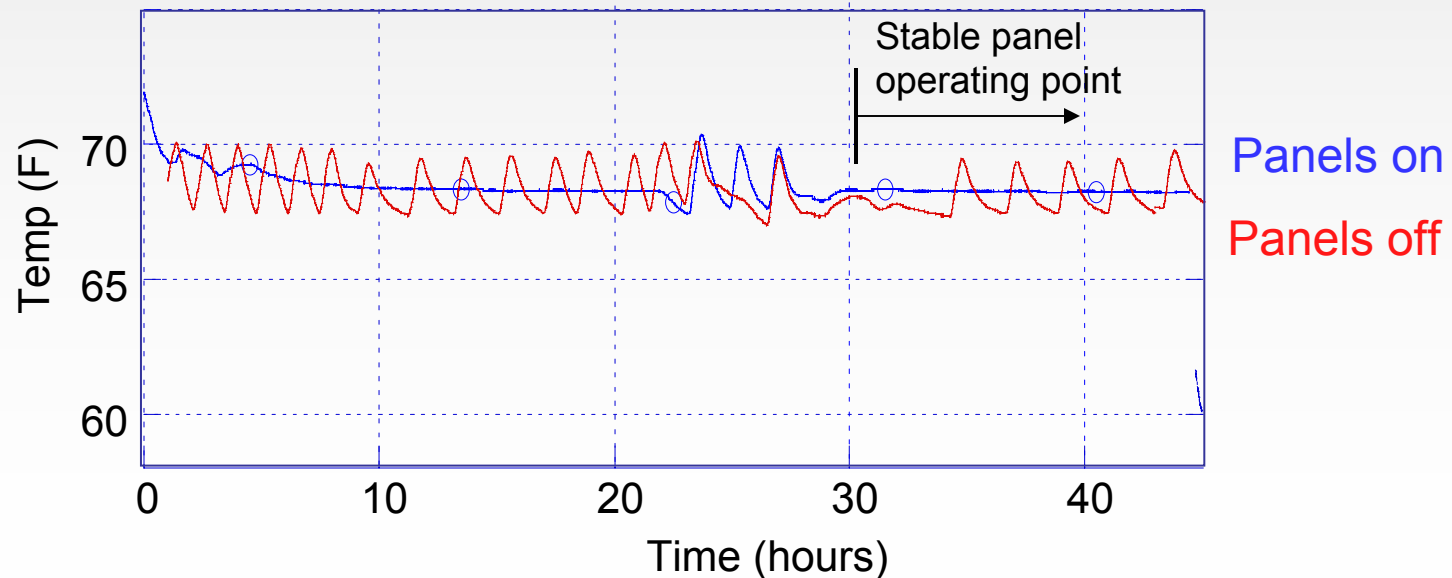
# Radiant Cooling Panels: Heat Transfer without Airflow



(allows us to cut back airflow – which is now used to control humidity)



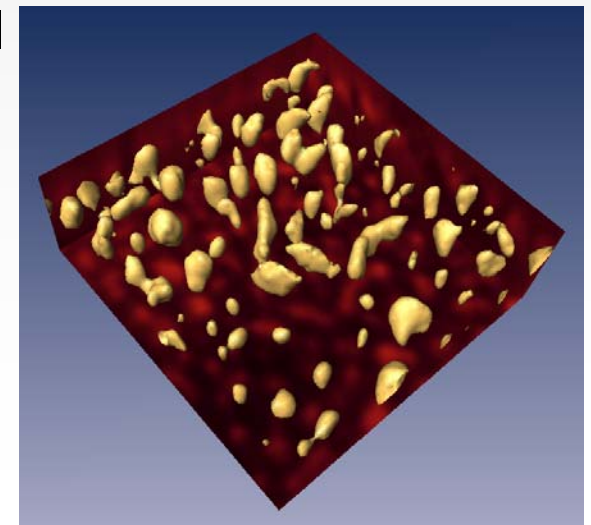
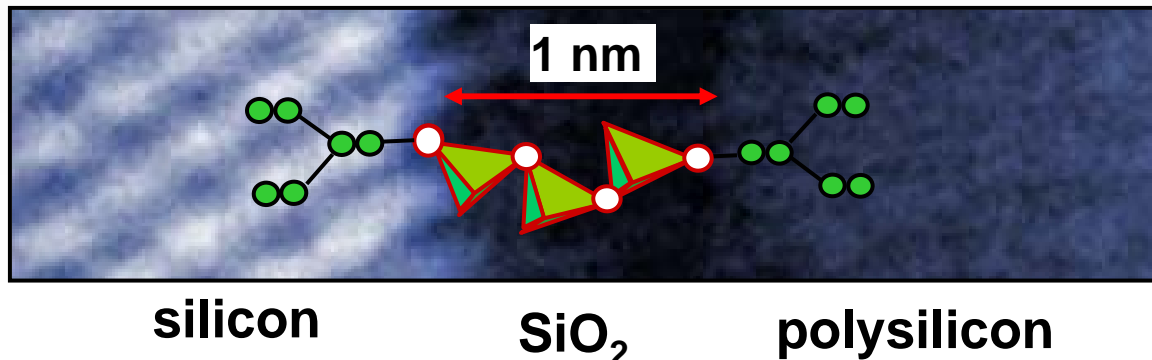
- Radiant cooling panel temperature regulated by closed-loop chiller
- Brings room into thermal equilibrium with panels by radiative transfer
- By tuning the panel temperature, we can keep the building heat from pulsing
- Effect is to add a huge thermal mass to the room (a giant wine cellar)



# Outlook



- *Electron Microscopy:* 0.5-0.7 Å resolution (1-2 Å standard today)  
0.1-0.5 eV energy resolution  
Sample thickness < 100-1000 nm  
Small working distance (~3-10 mm)  
Nitride-window e-cells for imaging liquids
- *X-Ray Microscopy:*
  - Radiation damage will be worse for elastic imaging ( $1/r^4$  in 3D)
  - Best resolution will require TEM-like sample preparation
  - 10 nm res & 1- 10  $\mu\text{m}$  thick samples for whole-cell mapping





# Acknowledgements



Peter Ercius

L->R: Aycan Yurtsever, Matt Weyland, Jerome Hyun,  
David Muller, Lena Fitting, Earl Kirkland, John Grazul, Judy Cha

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Office of Naval Research,  
Semiconductor Research Corporation

# Acknowledgements



## *Cu/CoWP (Cornell)*

- *Peter Ercius*, Tom Shaw, Mike Lane, Lynne Gygnac, IBM

## *Grain Boundaries in Ni<sub>3</sub>Al (Cornell)*

- David Singh (*NRL*), Phil Batson (*IBM*), Shanthi Subramanian, Steve Sass, John Silcox

## *Imaging Individual Dopant Atoms (Bell Labs)*

- **Paul Voyles**, **John Grazul**, Hans Gossmann, Paul Citrin, Ute Kaiser (*Jena*)

## *SiO<sub>2</sub> and High-k Gate Oxides (Bell Labs)*

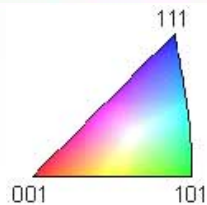
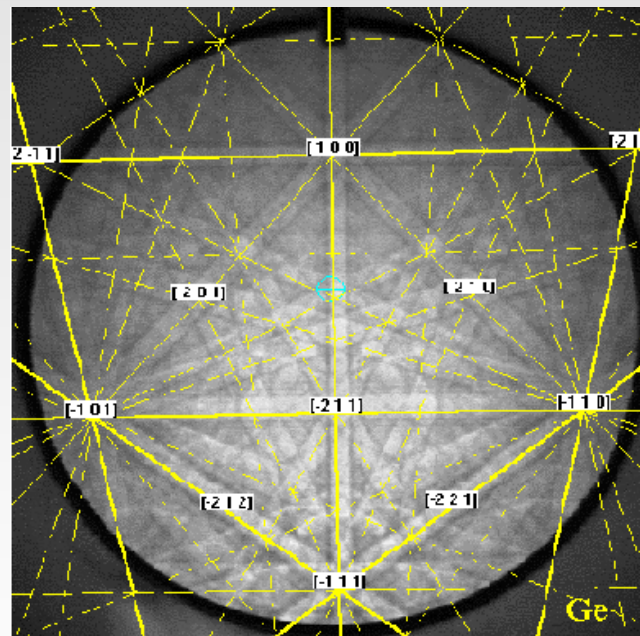
- Frieder Baumann, Greg Timp, Ken Evans-Ludderodt, Tom Sorsch, Glen Wilk, Yves Chabal, Jack Hergenrother, Jeff Neaton (*Cornell*)

# Electron Backscatter Imaging



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Use electron channeling patterns to  
Produce maps of grain orientations



Needs clean surfaces, grains > 200 nm

(With a FEG-SEM, as small as 20-50 nm)