



Lecture 10

3. Linear transverse beam optics

3.7 Phase space ellipse

3.8 Emittance and beam envelope



Twiss Parameters

particle trajectory: $u = x$ $u = z$

$$u(s) = \sqrt{2\mathcal{J}\beta(s)} \sin(\psi(s) + \phi_0)$$

where \mathcal{J} and ϕ_0 given by starting position u_0, u_0'

Optical functions: defined by beam optics + initial $\alpha(s_0), \beta(s_0), \gamma(s_0)$ [from initial beam distribution or periodicity conditions in a circular accelerator]

$$\beta'(s) = -2\alpha(s)$$

$$\alpha'(s) = \mathcal{K}(s)\beta(s) - \gamma(s)$$

$$\gamma(s) = \frac{1 + \alpha^2(s)}{\beta(s)}$$

$$\psi(s) = \int_0^s \frac{1}{\beta(\tilde{s})} d\tilde{s}$$

$[\beta] = m$, typically
several m to several 10 m

$$[\alpha] = 1$$

$$[\gamma] = \frac{1}{m}$$



\Rightarrow since $\psi' = \frac{1}{\beta} = \frac{d\psi}{ds} \Rightarrow d\psi = \frac{1}{\beta} ds = \frac{2\pi}{\lambda} ds$
 \Rightarrow local wavelength of quasi-harmonic motion : $\lambda(s) = 2\pi \beta(s)$
 \Rightarrow of order of several 10 meters



3.7 Phase space ellipse

particle trajectory: $u(s) = \sqrt{2J} \beta(s) \sin(\psi(s) + \phi_0)$

\Rightarrow consider family of trajectories with same amplitude $\sqrt{2J}$ but different phase ϕ_0

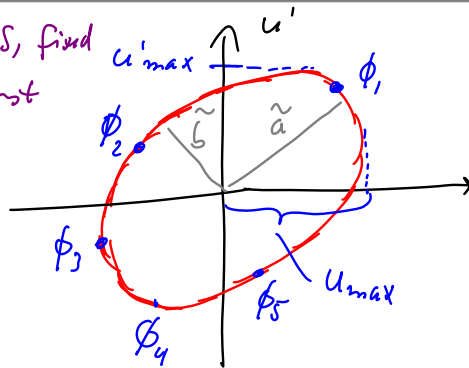
\Rightarrow at fixed value of s , plot vector $\mathcal{U}(\phi_0) = (u, u')$

with
parametric representation of ellipse in (u, u') plane

$$\begin{cases} u = \sqrt{2J} \beta \sin(\psi + \phi) \\ u' = \frac{\sqrt{2J}}{\beta} [\cos(\psi + \phi) - \alpha \sin(\psi + \phi)] \end{cases}$$



at $s=s_1$, find
 $J = \text{const}$



area of ellipse:

$$\begin{aligned}
 A &= \iint_{\text{area}} dJ d\phi \\
 &= \int \int du du' \\
 &= \pi \tilde{a} \tilde{b} \\
 &= \underline{\underline{\pi 2J}}
 \end{aligned}$$

$[A] = \pi$
in red

$$u_{\max} = \sqrt{2J\beta} \quad \text{at } u' = -\alpha \sqrt{\frac{2J}{\beta}}$$

$$u'_{\max} = \sqrt{\frac{2J}{\beta}} \sqrt{1+\alpha^2} = \sqrt{2J\gamma} \quad \text{at } u = -\alpha \sqrt{\frac{2J}{\gamma}}$$



$$\Rightarrow \text{from } u(s) = \sqrt{2J} \sqrt{\rho} \sin(\psi + \phi) \quad (a)$$

$$u'(s) = \frac{\sqrt{2J}}{\sqrt{\rho}} [\cos(\psi + \phi) - \alpha \sin(\psi + \phi)] \quad (b)$$

$$\text{— (a) gives: } \sin(\psi + \phi) = \frac{u}{\sqrt{2J}\rho}$$

— insert into (b)

$$\cos(\psi + \phi) = \frac{\sqrt{\rho} u'}{\sqrt{2J}} + \frac{\alpha u(s)}{\sqrt{2J} \sqrt{\rho}}$$

— using $\sin^2 \theta + \cos^2 \theta = 1$ gives

$$\frac{u^2}{2J\beta} + \left(\frac{\alpha u}{\sqrt{2J}\sqrt{\rho}} + \frac{\sqrt{\rho}}{\sqrt{2J}} u' \right)^2 = 1$$

$$\Rightarrow \frac{u^2}{\beta} + \left(\frac{\alpha}{\sqrt{\rho}} u + \sqrt{\rho} u' \right)^2 = 2J$$



$$\Rightarrow \text{with } \gamma(s) = \frac{1 + \alpha'^2(s)}{\beta(s)}$$

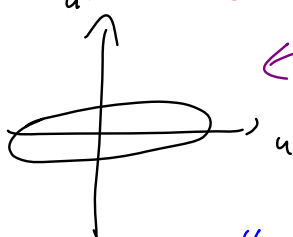
$$\begin{aligned} \Rightarrow f(u, u'; s) &= \gamma(s) u^2(s) + 2\alpha(s) u(s) u'(s) + \beta(s) u'(s)^2 = 2J \\ &= \text{const through motion along beam line!} \\ &= \text{Courant - Snyder invariant} \\ &= \text{area of ellipse} / \pi \end{aligned}$$

$$\Rightarrow \frac{df}{ds} = 0$$



\Rightarrow area of phase space ellipse is invariant!

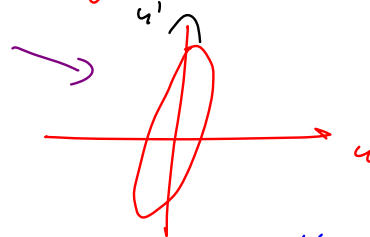
\Rightarrow shape and orientation of ellipse change when moving through accelerator



at position with large β
 $u \propto \sqrt{\beta}$ large
 $u' \propto \frac{1}{\sqrt{\beta}}$ small

Same area
 $2\pi J$

\Rightarrow

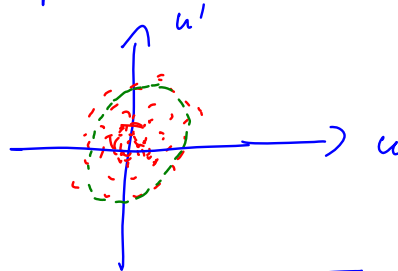


at position with small β
 $u \propto \sqrt{\beta}$ small
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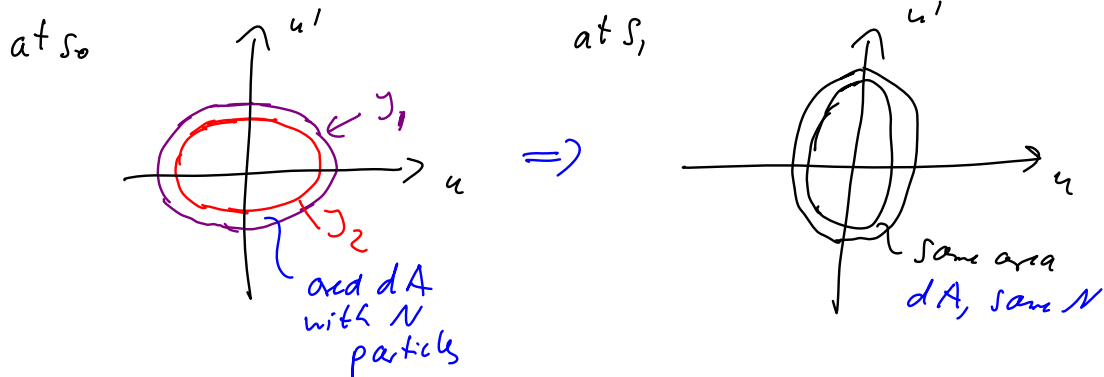
3.8 Emittance and beam envelope

now: consider particle beam with many particles
and a distribution of initial parameter ψ, ϕ_0
 \Rightarrow particles fill the (u, u') phase space at
a certain point s :



\Rightarrow trajectories: $u(s) = \sqrt{2\sigma} \rho \sin(\psi + \phi_0)$

\Rightarrow areas of phase space ellipses are constant!



\Rightarrow phase space density $n = \frac{dN}{dA} = \text{const!}$



Liouville's Theorem:

A phase space volume / density does not change when it is transported along the beam line!

=> (u, u') phase space occupied by the beam

= area of phase space ellipse enclosing a certain fraction of particles of the beam

= emittance $\epsilon \cdot \pi$ $\epsilon = 2 J_{\text{ellipse here}}$

= const! $[\epsilon] = m(\text{rad})$

condition: Hamiltonian motion with constant energy
i.e. no acceleration, neglecting effects like beam scattering, synchrotron radiation, ...)



$$\text{Volume} = V = \int_V d^{\vec{n}}\vec{x} = \int_{V_0} \left| \frac{\partial \vec{x}}{\partial \vec{x}_0} \right| d^{\vec{n}}\vec{x}_0 = \int_{V_0} |M| d^{\vec{n}}\vec{x}_0 = \int_{V_0} d^{\vec{n}}\vec{x}_0 = V_0$$

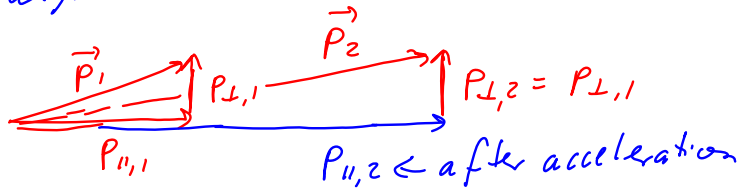
$$\vec{x} = \underline{M}(s) \vec{x}_0 \quad \text{with} \quad \det \underline{M} = 1$$

$$V = V_0 \iff \det \underline{M} = 1$$



Note: If particles are accelerated, the emittance ϵ decreases inversely proportional to the momentum

Why:



$$\Rightarrow x_2' = \frac{dx_2}{ds} = \frac{p_{\perp,2}}{p_{0,2}} < x_1' = \frac{dx_1}{ds} = \frac{p_{\perp,1}}{p_{0,1}}$$

$$\Rightarrow \epsilon_2 < \epsilon_1$$



recall: Hamiltonian formalism

Canonically conjugate momenta of position u is not u' but transverse momentum $p_u = p_0 u'$

\Rightarrow phase space ellipse in (u, p_u) plane

$$\begin{aligned} \text{with constant area} &= \pi \epsilon p_0 \\ &= \pi E_N (m_0 c) \end{aligned}$$

with normalized emittance:

$$E_N = \left(\frac{p_0}{m_0 c} \right) \epsilon = \text{const, even if accelerated}$$

$\leftarrow \beta = v/c$

$$\Rightarrow \text{for } \beta \approx 1 \Rightarrow \epsilon \propto \frac{1}{\gamma} = \beta \gamma \epsilon$$

$\leftarrow \gamma = \frac{1}{\sqrt{1-\beta^2}}$



$\Rightarrow E_N$ stays constant during acceleration
 (as long as synchrotron radiation effects
 can be neglected!)

- for gaussian particle distribution in phase space
 (often good fit for electrons)

$$\rho(u, u') = \frac{1}{2\pi\epsilon} e^{-\frac{\gamma u^2 + 2\alpha u u' + \rho u'^2}{2\epsilon}}$$

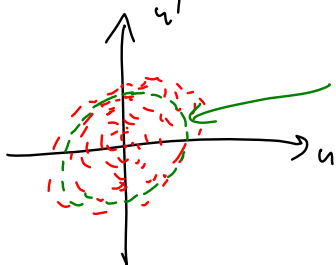
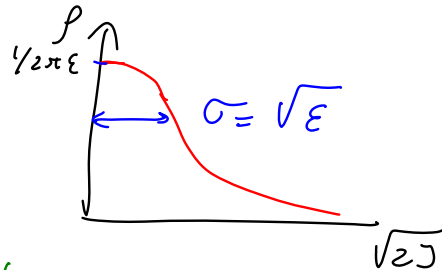
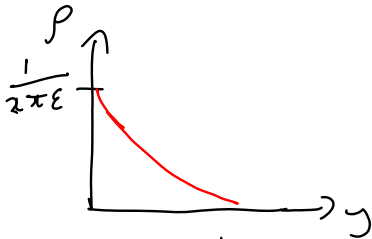
\Rightarrow equi-density lines are ellipses in phase space

\Rightarrow choose starting conditions for α, ρ, γ
 according to initial particle distribution



\Rightarrow since $\gamma u^2 + 2\alpha u u' + \rho u'^2 = 2\mathcal{J}$

$$\Rightarrow \rho(\mathcal{J}, \phi_0) = \frac{1}{2\pi\epsilon} e^{-\mathcal{J}/\epsilon} = \frac{1}{2\pi\epsilon} e^{-\frac{(\sqrt{2\mathcal{J}})^2}{2\epsilon}}$$



phase space ellipse with $2\mathcal{J} = \epsilon$

contains

$$\int_0^{2\pi} \int_0^{\mathcal{J}_{max}} \frac{1}{2\pi\epsilon} e^{-\mathcal{J}/\epsilon} d\phi_0 d\mathcal{J} = 1 - e^{-1/2} = 39\%$$

of all particles



⇒ $\gamma \neq \alpha$:

$$\langle 1 \rangle = \frac{1}{2\pi \epsilon} \int_0^{2\pi} \int_0^{\infty} e^{-\gamma/\epsilon} d\gamma d\phi_0 = 1$$

$$\langle x^2 \rangle = \frac{1}{2\pi \epsilon} \int_0^{2\pi} \int_0^{\infty} 2\gamma \beta \sin^2 \phi_0 e^{-\gamma/\epsilon} d\gamma d\phi_0 = \epsilon \beta$$

$$\langle x'^2 \rangle = \epsilon \gamma$$

$$\langle x x' \rangle = -\frac{L}{2\pi \epsilon} \int_0^{2\pi} \int_0^{\infty} 2\gamma \alpha \sin^2 \phi_0 e^{-\gamma/\epsilon} d\gamma d\phi_0 = \epsilon \alpha$$

gives

$$\text{emittance} = \epsilon = \sqrt{\langle x^2 \rangle \langle x'^2 \rangle - \langle x x' \rangle^2}$$

$$= \epsilon \sqrt{\beta \gamma - \alpha^2} = \epsilon \sqrt{1 + \alpha^2 - \alpha^2} = \underline{\underline{\epsilon}}$$

$\gamma = \frac{1 + \alpha^2}{\beta}$